

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zive, Gregg W.	2. Court or Organization U.S. Bankruptcy Court - Nevada	3. Date of Report 07/30/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 300 Booth Street, 5th Floor Reno, NV 89509		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee Emeritus	University of Nevada, Reno Foundation
2.	Advisory Board	Nevada Land Trust
3.	Limited Partner	Plumas Garden Partners, Reno NV
4.	Trustee	Trust #3
5.	Trustee	Trust #4 (see Part VIII for comments)
6.	Leadership Board	KUNR

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Bighorns Basketball LLC-scorekeeper	\$275.00
2. 2016	Pacific Coast League-scorekeeper	\$1,260.00
3. 2016	Reno Sparks Convention & Visitor Authority-scorekeeper	\$300.00
4. 2016	Board of Regents Nevada State Higher Education-teaching	\$3,125.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Boyd School of Law, UNLV	February 18, 2016	Las Vegas, NV	Educational seminar	(transportation, meals and room)
2. American Bankruptcy Institute	April 13-17, 2016	Washington D.C.	Conference	(transportation, meals and room)
3. National Conference of Bankruptcy Judges	April 28-30, 2016	Las Vegas, NV	Conference	(transportation, meals and room)
4. State Bar of Nevada	June 12-13, 2016	Las Vegas, NV	Educational seminar	(transportation, meals and room)
5. American Bankruptcy Institute	September 9-11, 2016	Las Vegas, NV	Conference	(transportation, meals and room)

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting Zive, Gregg W.	Date of Report 07/30/2017
---	---

6.	National Conference of Bankruptcy Judges	October 25-29, 2016	San Francisco, CA	Conference	(transportation, meals and room)
----	---	---------------------	-------------------	------------	----------------------------------

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Plumas Garden Partners, Reno, Nevada	A	Interest	K	R					See comments (Part VIII)
2.	D	Rent							
3. Bank of America, Reno, NV	A	Interest	J	T					
4. Bank of America, Reno, NV		None	J	T					
5. City National Bank Money Market, Reno NV	A	Interest	M	T					
6. Wells Fargo Advisors IRA (H)									
7. -Wells Fargo Bank Deposit	A	Interest	K	T					
8. - Lehman Bros. Holding		None	J	T					
9. - Chevron Corporation	B	Dividend	K	T					
10. - Franklin Real Estate Secs Tr	A	Dividend			Sold	07/26/16	K	C	
11. - Europacific Growth Fund	A	Dividend	J	T	Sold (part)	08/18/16	K		
12. - Growth Fund America Inc (Class A)					Sold	07/26/16	L	D	
13. - Growth Fund America Inc (Class C)					Sold	08/16/16	L	D	
14. - Franklin Mutual Global Discovery FD (Class C)					Sold	08/08/16	M		
15. - Allianz FDS Technology FD (Class C)					Sold	08/08/16	K		
16. - Alger Health Sciences Fund (Class C)					Sold	07/26/16	K		
17. - Federated Kaufmann Lg (Class C)	A	Dividend			Sold	08/08/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Investment Co. of America	B	Dividend			Sold	07/26/16	M	E	
19. - First TR Dorsey ET Wright Focus Five Fd	A	Dividend	K	T	Buy	08/08/16	K		
20. - Ishares ET Russell 2000 Growth	A	Dividend	K	T	Buy	08/16/16	J		
21. - Ishares ET Russell 1000 Value	A	Dividend	K	T	Buy	08/08/16	K		
22. - Ishares ET Russell 1000 Growth	A	Dividend	K	T	Buy	08/08/16	K		
23. - Ishares Russell 2000 Value	A	Dividend	K	T	Buy	08/16/16	K		
24. - SPDR S&P Dividend ET	A	Dividend	K	T	Buy	08/08/16	K		
25. - Vanguard Health Care ET	A	Dividend	J	T	Buy	08/15/16	J		
26. - Vanguard Information ET	A	Dividend	J	T	Buy	08/15/16	J		
27. - Baron Select Funds Real Estate FD	A	Dividend	K	T	Buy	07/28/16	J		
28.					Buy (add'l)	08/18/16	J		
29. - Baron Invt Funds Trust Small Cap FD	C	Dividend	K	T	Buy	07/28/16	J		
30. - Baron Select Funds Partners FD	A	Dividend	K	T	Buy	07/28/16	K		
31.					Buy (add'l)	08/16/16	J		
32. - Brandes Invt TR Intl Small Cap Equity FD	A	Dividend	K	T	Buy	08/15/16	J		
33. - Brandes Invt TR Emerging Mkts Value FD (Class I)	A	Dividend	K	T	Buy	08/15/16	K		
34. - Brandes Invt TR Intl Equity FD (Class I)	A	Dividend	K	T	Buy	08/08/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Federated Equity FDS Strategic Value FD	A	Dividend	K	T	Buy	07/28/16	K		
36. - Federated Instl TR High Yield Bond FD	A	Dividend	K	T	Buy	07/28/16	K		
37.					Buy (add'l)	08/11/16	J		
38.					Buy (add'l)	08/15/16	J		
39. - Fidelity ADV New Insights (Class I)	A	Dividend	K	T	Buy	08/16/16	K		
40. - JP Morgan Mid Cap Value FD (Class L)	C	Dividend	K	T	Buy	07/28/16	K		
41.					Buy (add'l)	08/16/16	K		
42. - Prudential Invt Port12 Global Real Estate FD (Class Z)	A	Dividend	K	T	Buy	07/28/16	J		
43.					Buy (add'l)	08/18/16	J		
44. - Thornburg Invt TR Invt Income Builder FD (Class I)	A	Dividend	K	T	Buy	08/18/16	K		
45. - Vanguard Horizon FDS Strategic Small Cap Equity FD	A	Dividend	K	T	Buy	07/28/16	J		
46. -Tekla Healthcare Investors	A	Dividend	J	T	Buy	08/15/16	J		
47. Brokerage #1 (H)									See Comments (Part VIII)
48. - Boeing Company	A	Dividend	K	T					
49. - Coca-Cola	B	Dividend	K	T					
50. - Blackrock FDS US Opportunities Port (Class C)					Sold	07/26/16	L		
51. - Alger Cap Appreciation Fund Class C					Sold	07/26/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Eaton Vance Natl Ltd Maty Income FD Class C	A	Dividend			Sold	07/26/16	L	B	
53. - Wells Fargo Bank Deposit	A	Interest	J	T					
54. - AB Mun Income FD Inc High Income Mun	A	Dividend	K	T	Buy	08/11/16	K		
55.					Buy (add'l)	08/15/16	J		
56. - Eaton Vance Muns TR Municipal Opp (Class I)	A	Dividend	K	T	Buy	08/08/16	K		
57.					Buy (add'l)	08/16/16	J		
58. - Eaton Vance Muns TR Natl Muns Income FD	A	Dividend	K	T	Buy	08/08/16	K		
59.					Buy (add'l)	08/16/16	J		
60. - Federated Incm Secs TR Muni & STK Advantage FD Instl	A	Dividend	K	T	Buy	08/15/16	K		
61. - Franklin Fed Tax Free Income Fund		None	K	T	Buy	08/16/16	K		
62. - TCW FDS Inc Emerging Mkts Income FD (Class I)	A	Dividend	K	T	Buy	08/15/16	K		
63. - Nuveen Enhanced AMT Free Municipal Credit Optys FD	A	Dividend	K	T	Buy	08/08/16	K		
64. Brokerage #2 (H)									See Comments (Part VIII)
65. -Harbor Fd Intl Fd Inv CL					Sold	07/26/16	J		
66. - Buffalo Small Cap Fd					Sold	07/26/16	J		
67. - Hotchkis & Wiley Fds Diversified Value Fund					Sold	07/26/16	J	A	
68. - MFS Ser Tr Value Fund Class W	A	Dividend			Sold	07/26/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Pimco Fds Mgmt Ser Real Return Strat Fd	A	Dividend			Sold	07/26/16	J		
70. - Rainier Funds Md Cap Equity Portfolio					Sold	07/26/16	J		
71. - Touchstone Instl Fds Tr Sands Cap Instl Growth					Sold	07/26/16	J		
72. - WT Mut Fd Crm Mid Cap Value Fd Instl					Sold	07/26/16	J		
73. - Janus Invt FD Flexible BD FD Class I	A	Dividend			Sold	07/26/16	J	A	
74. - Lazard Developing Markets Equity CL					Sold	07/26/16	J		
75. - Oppenheimer Intl Growth FD Class Y					Sold	07/26/16	J	A	
76. - Pimco FDS Pac Invt Mgmt Series Total Return	A	Dividend			Sold	07/26/16	J		
77. - Pioneer Fund Class Y	A	Dividend			Sold	07/26/16	J		
78. - Stratton FDS Inc Small Co Oppty CL I					Sold	07/26/16	J		
79. - Victory Portfolios Small Co Oppty CL I					Sold	07/26/16	J	A	
80. - Wells Fargo FDS TR Advantage FD CL I					Sold	07/26/16	J		
81. - Thornburg Intl Value FD	A	Dividend			Sold	07/26/16	J	A	
82. - William Blair FDS Small Cap Growth Fd CL					Sold	07/26/16	J	A	
83. - Bond Fund of America	A	Dividend			Sold	07/26/16	K	A	
84. - Aston Funds Montag & Caldwell Growth					Sold	07/26/16	J		
85. - T Rowe Price Real Estate Fund Inc	A	Dividend			Sold	07/26/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Trust #3 (H)									See Comments (Part VIII)
87. -City National Bank Money Mkt, Reno NV	A	Interest	J	T					
88. - Brokerage #1									
89. -Money Market Fund-Stifel Nicolaus	A	Interest	J	T					
90. - Franklin Cust FDS Income US Govt Ser Class A	A	Dividend	J	T					
91. - Franklin Income Fund CL A	A	Dividend	J	T					
92. - Nuveen All American Muni Bond FD CL A	C	Int./Div.	M	T					
93. - Eaton Vance Insured Municipal Bond Fd	A	Int./Div.	K	T					
94. - Pimco Muni Income Fd III	B	Int./Div.	K	T					
95. - Federated Stat Value Dividend CL A	C	Dividend	L	T					
96. - AIM Invesco Equity and Income CL A	C	Dividend	L	T					
97. - Nuveen High Yield Muni Bond	A	Int./Div.	J	T					
98. Nevada State Bank, Reno, NV Money Market	A	Interest	M	T					
99. RBC Dain Rauscher IRA (H)	D	Distribution							See Comments (Part VIII)
100. -Tamarack Invst FDS Prime Money Market	A	Interest	J	T					
101. -Invesco Select Real Estate Income Fund	A	Dividend	J	T					
102. - Growth Fund of America	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Henderson Global Intl Opps	A	Dividend	K	T					
104. - Franklin US Gov Sec Series	A	Dividend	K	T					
105. - Franklin Founding FD Alloc A	A	Dividend	K	T					
106. - Columbia FDS Ser TR II Mass Seligman Comm & Info CL C	B	Dividend	J	T					
107. - Ivy FDS Inc Small Cap Growth FD CL C	A	Dividend	J	T					
108. [REDACTED]		None	J	T					
109. Wells Fargo Bank, N.A., Reno, NV		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I Line 5. Filer became a trustee of this trust in 2016. Filer did not create the trust, does not receive income from this trust, nor does he have a beneficial interest in this trust. Therefore, no assets are reported in Part VII.

Part VII Line 1. 6.25 % limited partnership interest; apartment complex. Original purchase price of \$50,000 in April 1994.

Part VII Line 47. Added a header this year for the assets contained in brokerage #1 account. These assets have always been held in brokerage #1, but no header was used in prior reports.

Part VII Line 64. Added a header this year for the assets contained in brokerage #2 account. These assets have always been held in brokerage #2, but no header was used in prior reports. This brokerage was closed in 2016.

Part VII Line 86. This trust was created February 12, 2007. 100% beneficial interest in this trust.

Part VII Line 99. Beneficiary of this decedent IRA from which Required Minimum Distributions (RMD) are required to be taken annually.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/30/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregg W. Zive

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544