

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alvarez, Micaela	2. Court or Organization District Court - S.District-TX	3. Date of Report 06/04/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1701 W. Bus. 83 McAllen, Texas 78501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Texas Exes, University of Texas School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property- Hidalgo County, TX - see Part VIII		None	J	R					
2. American Centy Mut. FDS Growth Fund	A	Dividend			Sold	09/21/12	J		
3. American Centy Invt. TR Diversified BD INSTL CL	A	Dividend	J	T					
4. Artisan FDS Inc Small Cap Value FD ARTVX	A	Dividend			Sold	09/21/12	J		
5. Dodge & Cox FDS INTL STK FD DODFX	A	Dividend			Sold	09/21/12	J		
6. Eaton Vance SER II Income Fund Goston CL I EIBIX	A	Dividend			Sold	09/21/12	J		
7. Eaton Vamce Large Cap Value Fund Class I EILVX	A	Dividend			Sold	09/21/12	J		
8. Goldman Sachs TR Finl Square Treas Instrs FD INSTL CL FTIXX	A	Dividend	J	T					
9. Harbor Fund Cap Appreciation FD INSTL CL HACAX	A	Dividend			Sold	09/21/12	J		
10. Harbor FD INTL Crowth Fund ISTL Class HAIGX	A	Dividend			Sold	09/21/12	J		
11. Janus INVT FD Flexible BD FD Class I JFLEX	A	Dividend			Sold	09/21/12	J		
12. JPMorgan Core Bond FD Slect WOBDX	A	Dividend	J	T					
13. Munder Ser TR Midcap Core Grwth FD CL Y MGOYX	A	Dividend			Sold	09/21/12	J		
14. Nuveen INVT FDS Inc Real Estate Secs Fund CL I FARCX	A	Dividend	J	T					
15. Pimco FDS Pac Invt Mgmt Ser Total Return FD Instl CL PTTRX	A	Dividend	J	T					
16. Pimco FDS Pac Invt Mgmt Ser-Commodity Real Return STrat FD I	B	Dividend	J	T					
17. Pioneer Ser Tr III Cullen Value FD CL Y CVFYX	A	Dividend			Sold	09/21/12	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P4 = More than \$50,000,000	S = Assessment T = Cash Market	
(See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		

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18. Pioneer Ser TR I Pioneer OAD Ridge Small Cap Growth Fund CL	A	Dividend			Sold	09/21/12	J		
19. Dreyfus Emerging Mkts Fd CL I DRPEX	A	Dividend			Sold	09/21/12	J		
20. Royce Funds Value Plus Fund-Inv RVPHX	A	Dividend			Sold	09/21/12	J		
21. Wt Mut FD Crm Mid Cap Value FD Instl CL CRIMX	A	Dividend			Sold	09/21/12	J		
22. Blackrock FDS Core Bond Port Instl Class BFMCM	A	Dividend	J	T	Buy	09/21/12	J		
23. CRM Small/Mid Cap Value Fund InvClass CRMAM	A	Dividend	J	T	Buy	09/21/12	J		
24. Europacific Growth FD CL F-1 AEGFX	A	Dividend	J	T	Buy	09/21/12	J		
25. Fidelity ADV Emerg MKTS Inc CL I FMKIX	A	Dividend	J	T	Buy	09/21/12	J		
26. John Hancock FDS III Global Shareholder YLD Class I JGYIX	A	Dividend	J	T	Buy	09/21/12	J		
27. MFS Ser Tr I Value FD CL I MEIIX	A	Dividend	J	T	Buy	09/21/12	J		
28. Mainstay FD High Yield Corporate BD FD CL I MHYIX	A	Dividend	J	T	Buy	09/21/12	J		
29. Managers Funds Bond Fund MGFIX	A	Dividend	J	T	Buy	09/21/12	J		
30. Metropolitan West FDS Total Return BD CL I MWTIX	A	Dividend	J	T	Buy	09/21/12	J		
31. Virtus Emerging Markets Opportunities FND CL I HIEMX	A	Dividend	J	T	Buy	09/21/12	J		
32. Alger Smallcap and Midcap Grow CL I ASIMX	A	Dividend	J	T	Buy	09/21/12	J		
33. Pimco FDS Invt Diversified Inc FD Instl PDIIIX	A	Dividend	J	T	Buy	09/21/12	J		
34. T Towe Price Blue Chip Growth Fund TRBCX	A	Dividend	J	T	Buy	09/21/12	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Positions - this position commenced July 2009

The property listed in Part VII, line 1 was purchased on July 25, 2003 for the price of \$14,500.00. No rental income was received during the reporting period but it is listed for the sake of thoroughness.

In Part VII, the assets sold were reinvested in the asset purchased.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Micaela Alvarez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544