

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dancks, Therese W.	2. Court or Organization NDNY District Court-Syracuse	3. Date of Report 05/08/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 100 Clinton Street Syracuse, NY 13261		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Volunteer Lawyers Project, Inc.
2. Director	Oneida Lake Association
3. Board Member, Beginning August 2014	Le Moyne College Board of Regents
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Kraushaar; Personal Note	C	Interest	K	T					
2. Key Bank Account	A	Interest	J	T					
3. Oneida Savings Account 1	A	Interest	K	T					
4. Oneida Savings Account 2	A	Interest	J	T					
5. Fidelity Investments:									
6. -Fidelity NY Muni Money Market	A	Int./Div.	K	T					
7. -First TR Exchange Traded FD II	A	Dividend	K	T	Buy (add'l)	04/22/14	J		
8. -First Trust Portfolios Large Cap Growth	A	Dividend	J	T	Buy	04/22/14	J		
9. -General Electric Co	B	Dividend	K	T					
10. -iShares Latin America 40 ETF	B	Dividend	L	T	Buy (add'l)	04/22/14	K		
11. -iShares MSCI Emerging Markets ETF	B	Dividend	L	T	Buy (add'l)	04/22/14	K		
12. -iShares MSCI Pacific Ex Japan ETF	C	Dividend	L	T	Buy (add'l)	04/22/14	J		
13. -iShares Nasdaq Biotechnology	A	Dividend	K	T					
14. -iShares New York AMT-Free Muni	A	Int./Div.	K	T					
15. -iShares 2015 AMT-Free Muni Term ETF	A	Int./Div.	K	T					
16. -iShares 2016 AMT-Free Muni Term ETF	A	Int./Div.	J	T	Buy	04/22/14	J		
17. -iShares S&P Mid Cap 400 Growth ETF	A	Dividend	J	T	Buy	04/22/14	J		

1. Income Gain Codes:	A = \$1,001 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. -iShares S&P MidCap 400 Value ETF	A	Dividend	J	T	Buy	04/22/14	J		
19. -iShares US Financials ETF	A	Dividend	J	T	Buy	04/22/14	J		
20. -Lord Abbett Floating Rate Inc Fd Cl F	A	Dividend	K	T	Buy	04/17/14	K		
21. -Lord Abbett Short Duration Income Cl F	B	Dividend	K	T	Buy	04/17/14	K		
22. -Oppenheimer Rochester Muni CL C	A	Int./Div.	J	T					
23. -SPDR Ser Tr Dj Wilshire Reit ETF	A	Dividend	J	T	Buy	04/22/14	J		
24. -Vanguard Index Fds Vanguard Small Cap VBK	A	Dividend	J	T	Buy	04/22/14	J		
25. -Vanguard Index Fds Vanguard Small Cap VBR	A	Dividend	J	T	Buy	04/22/14	J		
26. -Vanguard Intl Equity Index Fds	A	Dividend	K	T					
27. U.S. Savings Bonds	B	Interest	J	T	Matured (part)	01/10/14	J		
28. Fidelity IRA:									
29. -Fidelity Cash Reserves	A	Dividend	J	T					
30. -First Tr Large Cap Value Opportunities	A	Dividend	K	T	Buy (add'l)	04/22/14	J		
31. -First Trust Portfolios Large Cap Growth	A	Dividend	L	T					
32. -Global X Funds Global X Ftse Greece 20		None	J	T	Buy	01/31/14	J		
33.					Buy (add'l)	02/05/14	J		
34. -iShares MSCI Australia ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -iShares MSCI Brazil ETF	A	Dividend	K	T					
36. -iShares Tips Bond ETF	A	Dividend	K	T	Sold (part)	01/31/14	K		
37.					Sold (part)	02/05/14	K		
38. -iShares MSCI Emerging Markets ETF	A	Dividend	J	T					
39. -iShares S&P Mid Cap 400 Growth ETF	A	Dividend	L	T					
40. -iShares S&P Mid Cap 400 Value ETF	B	Dividend	L	T					
41. -iShares US Financials ETF	A	Dividend	K	T					
42. -iShares iBoxx High Yield Corporate Bond	C	Dividend	K	T					
43. -iShares US Preferred Stock ETF	A	Dividend	J	T	Buy (add'l)	04/22/14	J		
44. -iShares 2016 AMT-Free Muni Term ETF	A	Dividend	K	T	Buy	01/31/14	K		
45.					Buy (add'l)	02/05/14	K		
46. -SPDR Ser Tr Barclays High Yield BD ETF	C	Dividend	K	T					
47. -SPDR Ser Tr Db Intl Govt Inflation	A	Dividend	K	T					
48. -SPDR Ser Tr DJ Wilshire Reit ETF	A	Dividend	K	T					
49. -Vanguard Index Fds Vanguard Small Cap Value	A	Dividend	L	T					
50. -Vanguard Index Fds Vanguard Small Cap Growth	B	Dividend	L	T					
51. -Vanguard Index Fds Vanguard Growth	A	Dividend	K	T					

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52. -Vanguard Index Fds Vanguard Value Vipers	A	Dividend	J	T					
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Therese W. Dancks**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544