AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Perkin, Henry S.	Pennsylvania-Eastern District	04/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Magistrate Judge - Full-time	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2016 **to** 12/31/2016
7. Chambers or Office Address		
504 Hamilton Street Suite 4401 Allentown, PA 18101		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2016	Lehigh County Employee Retirement Plan with Lehigh County, Pennsylvania, former employer
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2016	Service Electric Cable T.V., Inc.; Value of cable service for permitting access to investment properties formerly owned.	$1,781.16
2.	2016	County of Lehigh Pension	$35,218.80
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York Intellectual Property Lawyers Association	April 1 & 2, 2016	Ritz Carlton, New York, NY	Annual Dinner	Lodging New York City and transportfor the annual dinner honoring the Federal Judiciary; April 1 & 2, 2016
2.	U.S. Magistrate's Judges Workshop	July 18 - 20, 2016	San Francisco	Judicial Conference	Lodging, dinner, conference cost and airfare
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Perkin, Henry S.	04/23/2017

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. American Bank (Bank Accounts and CDs)	B	Interest	M	T	Redeemed (part)	10/19/16	M	B	CD Redemption
2. BB&T - Bank Accounts	A	Interest	K	T	Redeemed (part)	09/06/16	M	C	CD Redemption
3. PNC - Bank Accounts & CDs	A	Interest	J	T					
4. Firstrust Savings Bank - IRA CD	A	Interest	K	T					
5. American Heritage Federal Credit Union (Bank Accounts and CDs)	B	Interest	M	T					
6. Synchrony Bank CD	A	Interest	L	T					
7. Provident Bank	A	Interest	M	T					
8. IRA #8									
9. -Air Products and Chemicals Common Stock	A	Dividend	J	T					
10. -Altria Group, Inc. Common Stock	A	Dividend	J	T					
11. -Boeing Company Common Stock	A	Dividend	K	T					
12. -Consolidated Edison, Inc. Common Stock	B	Dividend	K	T					
13. -Pepsico, Inc. Common Stock	A	Dividend	J	T					
14. -Proctor & Gamble Company Common Stock	A	Dividend	J	T					
15. -Powershares Global Water PT	A	Dividend	J	T					
16. -Powershares Aero & Defense	A	Dividend	K	T					
17. -Streettracks Gold Trust	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Perkin, Henry S.	04/23/2017

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -PrimeVest Financial Serv. (formerly First Southwest)Note 2	A	Interest	J	T					
19. -Hartford Inflation Plus C	A	Dividend	J	T					
20. -VOYA Ser Fd Inc.	A	Dividend	J	T					
21. -Kraft Foods, Inc. Common Stock	A	Dividend	J	T					
22. -Philip Morris International Common Stock	A	Dividend	J	T					
23. -PPL Corporation	A	Dividend	K	T					
24. -Mondelez International Inc.	B	Dividend	J	T					
25. MassMutual Financial Group 457 Plan									
26. -General Fund (Money Market Fund)	A	Dividend	K	T					
27. Brokerage Account #1									
28. -Intel Corporation Common Stock	A	Dividend	J	T					
29. -Orasure Common Stock	A	Dividend	J	T					
30. Pfizer Common Stock	A	Dividend	J	T					
31. Abercrombie & Fitch Common Stock	A	Dividend	J	T					
32. Microsft Corp. Common Stock	A	Dividend	J	T					
33. IBM Corporation Common Stock	A	Dividend	J	T					
34. Carnival Corporation Common Stock	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. General ElecticCompany Common Stock	A	Dividend	J	T					
36. The Walt Disney Company Common Stock	A	Dividend	K	T					
37. Merck & Company, Inc. Cmmon Stock	A	Dividend	J	T					
38. Intel Corporation Common Stock	A	Dividend	J	T					
39. Xerox Corp. Common Stock	A	Dividend	J	T					
40. American Bank Inc. Common Stock	A	Dividend	J	T					
41. American Capital Trust	A	Dividend	J	T					
42. Vanguard Value Index Fund	B	Dividend	L	T					
43. Vanguard GNMA Fund Investsors Shares	B	Dividend	K	T					
44. Vanguard Intermediate Term Tax Exempt Bond Fund	A	Dividend	L	T					
45. Dodge & Cox Balanced Fund	B	Dividend	M	T					
46. Harbor International Fund	B	Dividend	L	T					
47. Lehigh County Agricultural Society Common Stock		None	J	T					
48. IRA #1									
49. - Fidelity Asset Manager 50%	D	Dividend	M	T					
50. IRA #2									
51. - Fidelity Asset Manager 50%	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. IRA #3									
53. - Dodge & Cox Balanced Fund	A	Dividend	J	T					
54. IRA #4									
55. - Dodge & Cox Balanced Fund	A	Dividend	J	T					
56. IRA #5									
57. - TR Price Midcap Growth Fund	D	Dividend	L	T					
58. IRA #6									
59. - Vanguard Wellseley Fund	B	Dividend	M	T					
60. IRA #7									
61. - Vanguard Total Stock Market Index Fund	B	Dividend	M	T					
62. Mass Mutual Life Insurance Whole Life	A	Dividend	K	T					
63. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
64. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
65. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
66. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
67. New York Life Insurance Co. Universal Life	B	Interest	K	T					
68. New York Life Insurance Co. Universal Life	A	Interest	K	T					

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69. United States Savings Bonds	B	Interest	M	T					
70. Citadel Broadcasting System Common Stock	A	Dividend	J	T					
71. Express Scripts Holding Company Common Stock		None	J	T					
72. Fidelity Charitable Gift Fund	A	Dividend	J	T					
73. Santander Bank	B	Interest	K	T					
74. IRA #9									
75. -Fidelity Gov't Money Market	A	Interest	J	T					
76. -Fidelity Low Priced Stock	A	Dividend	K	T					
77. -Spartan Total Market Index	A	Dividend	K	T					
78. VOYA Indexed Annuity	A	Interest	K	T					
79. Vanguard Tax Managed Balance Fund	A	Dividend	K	T					
80. Vanguard Prime Money Market	A	Interest	J	T					
81. Novartis Common Stock	A	Dividend	J	T					
82. Talen Industries		None			Sold	12/06/16	J	A	
83. VT Western Asset Core Plus	A	Dividend	J	T					
84. Conduent		None	J	T	Spinoff (from line 39)	12/31/16	J		
85. Firstrust Savings Bank	A	Interest	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Line No. 2 was KNBT on the last report. BB&T acquired this bank in 2016. There ws a partial redemption of this account. The funds from that redemeption were placed in a Firstrust Savings Bank account identified at line 85.
2. Line 39 is Xerox Common Stock made a stock distribution which resulted in my acquisition of 43.133738 shares of Conduent. See line 84.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Henry S. Perkin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544