

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stormes, Nita L.	2. Court or Organization Southern District of Cal.	3. Date of Report 07/26/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Mag. Judge-Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 333 West Broadway San Diego, CA 92101-8910		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Personal Trust (Assets are listed in Part VII)
2. Trustee	Trusts (Assets are listed in Part VII)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Jones Day (Law Firm)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cabrillo Federal Credit Union - 2 Savings	A	Interest	K	T					
2. Cabrillo Federal Credit Union - 2 IRA Cash Equivalent	A	Interest	J	T					
3. Cabrillo Federal Credit Union - 2 Checking	A	Interest	J	T					
4. California Bank & Trust - 2 IRA Cash equivalent	A	Interest	K	T					
5. Vanguard CA Money Market Fund - 3	A	Interest	K	T					
6. Fidelity Magellan Fund (Fidelity Ultra)	A	Dividend	K	T					
7. Janus Fund	A	Dividend	J	T					
8. Janus Mercury Fund (now Janus Research Fund)	A	Dividend	J	T					
9. Janus Worldwide Fund	A	Dividend	J	T					
10. Fidelity Puritan Fund	A	Dividend	J	T					
11. Franklin Mutual Quest Fund - Z	A	Dividend	K	T					
12. Oakmark Fund	A	Dividend	K	T					
13. Scudder International Fund	A	Dividend	J	T					
14. Vanguard Index Fund (Fidelity Ultra)	A	Dividend	K	T					
15. Fidelity CA Muni Money Market (Fidelity Ultra)	A	Interest	J	T					
16. California Bank & Trust - 1 Checking	A	Interest	M	T					
17. Vanguard CA Money Market Fund - 2	B	Interest	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Vanguard CA Money Market Fund - 1	A	Interest	N	T					
19. E*Trade Tax-Exempt Cal. Fund - 1	A	Interest	J	T					
20. San Diego County Credit Union Checking - 1	A	Interest	J	T					
21. San Diego County Credit Union Savings - 1	A	Interest	J	T					
22. Morgan Stanley Cash - 6	A	Interest	J	T					
23. Equity Res. - 6	A	Dividend			Sold	01/17/14	J	A	
24. Duke Energy - 6	A	Dividend			Sold	05/12/14	J	A	
25. Qualcomm - 6	A	Dividend			Sold	08/27/14	J	A	
26. Verizon - 6	A	Dividend			Sold	01/17/14	J	A	
27. Sears Roebuck - 6	A	Dividend			Sold	01/17/14	J	A	
28. Linn Energy - 6	A	Dividend			Sold	01/17/14	J	A	
29. Prudential Sh Trm - 6	A	Dividend	K	T					
30. Templeton Global - 6	A	Dividend	J	T					
31. Rothschild Money Mkt Fund - 7	A	Interest	J	T					
32. Lloyds TSB - 7	A	Interest			Sold	06/23/14	J	A	
33. Compugen - 7	A	Dividend			Sold	02/24/14	J	A	
34. EZChip - 7	A	Dividend			Sold	10/01/14	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Qualcomm - 7	A	Dividend			Sold	11/20/14	J	A	
36. Rentech - 7	A	Dividend			Sold	09/16/14	J	A	
37. Verizon - 7	A	Dividend			Sold	01/17/14	J	A	
38. Royce Value - 7	A	Dividend			Sold	10/01/14	J	A	
39. SPDR Gold - 7	A	Dividend	J	T					
40. Pimco All Asset - 7	A	Dividend	J	T	Buy	01/01/14	J		
41. Morgan Stanley Cash - 8	A	Interest	J	T					
42. CenturyLink - 8	A	Dividend			Sold	02/06/14	J	A	
43. Duke Energy - 8	A	Dividend			Sold	02/06/14	J	A	
44. Natural Res. - 8	A	Dividend			Sold	02/06/14	J	A	
45. Hess - 8	A	Interest			Sold	02/18/14	J	A	
46. Morgan Stanley Corporate Bond - 8	A	Interest	J	T					
47. Goldman Sachs Corporate Bond - 8	A	Interest	J	T					
48. Dupont - 8	A	Interest	J	T					
49. Bank of America	A	Interest	J	T					
50. Comcast - 8	A	Interest	J	T					
51. Morgan Stanley Corporate Bond - 8	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Marathon Oil - 8	A	Interest	J	T					
53. Target - 8	A	Interest	J	T					
54. AT&T - 8	A	Interest	J	T					
55. Verizon - 8	A	Interest	J	T					
56. Indiana Michigan Power - 8	A	Interest	J	T					
57. Franklin Mutual GLB DISCOV - 8	A	Dividend	J	T					
58. Pimco Total Return C - 8	A	Dividend			Sold	02/06/14	J	A	
59. Prudential Sht - 8	A	Dividend	J	T					
60. Templeton Global - 8	A	Dividend	J	T					
61. Van Eck Global - 8	A	Dividend	J	T					
62. Unit Guggenheim - 8	A	Dividend	K	T					
63. Apple - 1	A	Dividend	J	T					
64. New Energy Technologies Inc	A	Dividend	J	T	Buy	05/23/14	J		
65. Tesla Motors Inc	A	Dividend	J	T	Buy	03/06/14	J		
66. GNMA Fund Admiral Shares - 3 IRA	A	Int./Div.	N	T					
67. Primecap Fund Investor - 3 IRA	A	Int./Div.	L	T					
68. Total Bond Mkt Index Inv - 3 IRA	A	Int./Div.	J	T					

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69. Total Stock Mkt Index Inv - 3 IRA	A	Int./Div.	M	T					
70. Wellington Fund Admiral - 3 IRA	A	Int./Div.	O	T					
71. 500 Index Fund Adm - 3 IRA	A	Int./Div.	P1	T					
72. Jones Day 2020 Fund - 3	A	Int./Div.	M	T					
73. UBS Bank - 2T	A	Interest	K	T					
74. Aflac - 2T	A	Dividend	J	T					
75. Apple - 2T	A	Dividend	K	T					
76. Boeing - 2T	A	Dividend	J	T					
77. British Amer. Tobacco - 2T	A	Dividend	J	T					
78. California Resources Corp. - 2T	A	Dividend	J	T	Buy	01/01/14	J		
79. Clorox - 2T	A	Dividend			Sold	05/22/14	J	A	
80. Coca Cola Co - 2T	A	Dividend			Sold	12/26/14	J	A	
81. Colgate Palmolive - 2T	A	Dividend	J	T					
82. CSX Corp - 2T	A	Dividend			Sold	04/17/14	J	A	
83. Diageo PLC - 2T	A	Dividend	J	T					
84. Emerson Elec. - 2T	A	Dividend	J	T					
85. Illinois Tool - 2T	A	Dividend	J	T					

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86. Intel - 2T	A	Dividend	J	T					
87. Invesco LTD - 2T	A	Dividend	J	T	Buy	03/20/14	J		
88. Johnson & Johnson - 2T	A	Dividend	J	T					
89. Medtronic - 2T	A	Dividend	J	T					
90. Microsoft Corp. - 2T	A	Dividend	J	T	Buy	03/20/14	J		
91. Nextera Energy - 2T	A	Dividend	J	T					
92. Nordstrom - 2T	A	Dividend	J	T					
93. Northeast Utilities - 2T	A	Dividend	J	T					
94. Novartis - 2T	A	Dividend	J	T					
95. Occidental Petroleum - 2T	A	Dividend	J	T					
96. Pearson PLC - 2T	A	Dividend	J	T					
97. Qualcomm - 2T	A	Dividend	J	T					
98. Roche Hlgg LTD Spons ADR - 2T	A	Dividend	J	T	Buy	03/20/14	J		
99. Sanofi - 2T	A	Dividend			Sold	05/20/14	J	A	
100. Stanley Black & Decker - 2T	A	Dividend	J	T					
101. Toronto Dominion Bk New Canada - 2T	A	Dividend	J	T	Buy	08/26/14	J		
102. Travelers Cos Inc/The - 2T	A	Dividend	J	T	Buy	03/20/14	J		

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103. Teva Pharmaceuticals - 2T	A	Dividend			Sold	05/20/14	J	A	
104. Total S.A. - 2T	A	Dividend			Sold	05/20/14	J	A	
105. Unilever - 2T	A	Dividend			Sold	05/20/14	J	A	
106. Union Pacific Corp. - 2T	A	Dividend	J	T	Buy	04/17/14	J		
107. United Parcel Service - 2T	A	Dividend	J	T					
108. UNTD Technologies Corp - 2T	A	Dividend	J	T					
109. VF Corp - 2T	A	Dividend	J	T					
110. YUM! Brands - 2T	A	Dividend	J	T					
111. SPDR S&P 500 ETF	A	Dividend	K	T	Buy	10/16/14	K		
112. Bank of America - 2T	A	Interest	K	T					
113. FHR 3622 TA - 2T	A	Interest	K	T					
114. Clark County Nev Sch Dist - 2R	A	Interest			Sold	06/15/14	K	A	
115. Winter Park Go Ult B/E - 2T	A	Interest			Sold	07/01/14	K	A	
116. Harris County Tex Ser A - 2T	A	Interest			Sold	10/01/14	K	A	
117. Oak Forest IL Mand Natio Sr A Pref - 2T	A	Interest			Sold	04/21/14	K	A	
118. Springfield IL Elec Rev - 2T	A	Interest	K	T					
119. Mt. Pleasant Sc Rfdg B/E - 2T	A	Interest	K	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Bolingbrook IL Pk Dist F - 2T	A	Interest			Sold	04/21/14	K	A	
121. Springfield IL Elec Natio RV- 2T	A	Interest	K	T					
122. California St Pub Wks - 2T	A	Interest	K	T	Buy	01/14/14	K		
123. California St Pub Wks - 2T	A	Interest	K	T	Buy	01/14/14	K		
124. Southern CA Pub Pwr - 2T	A	Interest	K	T	Buy	04/21/14	K		
125. California Cmnty Coll - 2T	A	Interest	K	T	Buy	04/21/14	K		
126. Cajon Valley CA Un Sc - 2T	A	Interest	K	T	Buy	08/04/14	K		
127. California St - 2T	A	Interest	K	T	Buy	08/05/14	K		
128. Turlock CA Irr Dist - 2T	A	Interest	K	T	Buy	08/06/14	K		
129. California ST Pub Wks - 2T	A	Interest	K	T	Buy	10/01/14	K		
130. Azusa CA Pub Finance Aut - 2T	A	Interest	K	T					
131. PIMCO Total - 2T	A	Dividend			Sold	10/16/14	K	A	
132. IUBS Bank - 5	A	Interest	J	T					
133. American Balanced Fund - 5	A	Dividend	J	T					
134. American Century Heritage Fund - 5	A	Dividend	J	T					
135. FT - Franklin Growth - 5	A	Dividend	J	T					
136. Goldman Sachs Rising - 5	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Stormes, Nita L.

Date of Report

07/26/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Lord Abbett Short - 5	A	Dividend	J	T					
138. PIMCO Short Term - 5	A	Dividend	J	T					
139. Prudential Short Term - 5	A	Dividend	J	T					
140. UBS Bank - 4	A	Dividend	J	T					
141. American Balanced Fund - 4	A	Dividend	J	T					
142. American Century Heritage Fund - 4	A	Dividend	J	T					
143. FT - Franklin Growth - 4	A	Dividend	J	T					
144. Goldman Sachs Rising - 4	A	Dividend	J	T					
145. Lord Abbett Short - 4	A	Dividend	J	T					
146. PIMCO Short Term - 4	A	Dividend	J	T					
147. Prudential Short Term - 4	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Stormes, Nita L.	Date of Report 07/26/2015
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions - All assets in the Personal Trust and the Trusts are listed in Part VII.

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Name of Person Reporting

Stormes, Nita L.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nita L. Stormes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544