

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cedarbaum, Miriam G.	2. Court or Organization US District Court -SDNY	3. Date of Report 4/30/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address US Court House 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee Emerita	Barnard College
2.	Trustee	Trust UWO [REDACTED]
3.	Board Member	[REDACTED]
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Davis Polk & Wardwell Pension	\$10,417.11
2. 2011	Museum of Modern Art Pension Trust-Pension	\$1,745.88
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Council of Defense Lawyers	September 23-24, 2011	Doral Arrowwood, Rye Brook, NY	Biennial Retreat	retreat, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. HSBC IRAS	B	Interest	L	T					
2. Nassau County, NY Bonds-11/15/13	B	Interest	K	T					
3. New York State G. O. Bond-11/15/18	B	Interest			Redeemed	11/15/11	K		
4. New York St Dorm Auth Revs ST	A	Interest	J	T					
5. NY NY Mun WTR Fin Auth-6/15/15	B	Interest	K	T					
6. NY NY Mun WTR Fin Auth-6/15/17	B	Interest	K	T					
7.									
8. HSBC DEPOSIT ACCOUNTS	A	Interest	L	T					
9. New York Trans Fin-Future Tax Seed - 2/1/13	B	Interest	K	T					
10. NYS UDC PIT-3/15/15	B	Interest	K	T					
11. NY Thruway Fuel TX MBIA-4/1/13	B	Interest	K	T					
12. Long Island Pwr, NY-6/1/13	B	Interest	K	T					
13. NY Environmental facs 6/15/13	B	Interest	K	T					
14. NY Environmtl PIT FGIC 12/15/13	B	Interest	K	T					
15. NYS Thruway SVC CTRCT-4/1/14	B	Interest	K	T					
16. NY UDC Per Inc TX FGIC-3/15/12	B	Interest	K	T					
17. Virgin Island PFA Rum Tax-10/1/18	B	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. NY ST Thruway Svc CT-11/15/11	B	Interest	K	T					
19. New York TWY Fuel TX AMBAC-4/1/20	B	Interest	L	T					
20. NY Dorm Mental Health FGIC-2/15/19	A	Interest	J	T					
21. Indianapolis Ind Loc Pub Impt-1/1/22	B	Interest			Sold	07/25/11	K		
22. Johnson & Johnson Com	B	Dividend	K	T					
23. Leucadia National Corp	A	Dividend	K	T					
24. First Manhattan Cash & Cash Equivalents		None	J	T					
25. Brokerage # 1 - Trust UWO									
26. -Cash & Equivalents:First Manhattan Co.	A	Interest	N	T					
27. -RHJ International Common		None	K	T					
28. -American Express Common	A	Dividend	K	T					
29. -Berkshire Hathaway Common		None	L	T					
30. -Discovery Hldg Corp Common		None	M	T					
31. -Johnson & Johnson, Common	B	Dividend	K	T					
32. -Leucadia National Corp.	A	Dividend	K	T					
33. -Onex Corp Common	A	Dividend	L	T					
34. Loews Corp	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Walgreen Corp.	B	Dividend	L	T					
36.									
37. -Metropolitan Trans Auth	B	Interest	K	T					
38. -City of New York Bonds	C	Interest	L	T	Redeemed (part)	10/24/11	K		
39.									
40.									
41. -Port of Authority of NY & NJ-01/15/39	B	Interest	L	T					
42. -NY ST Housing Auth-9/15/28	C	Interest	L	T					
43. Stancorp Finl Group Inc.-6.9%, 6/1/67	C	Interest	K	T	Buy	05/27/11	K		
44. Stancorp Finl Group Inc.-6.9%, 6/1/67	C	Interest	L	T	Buy	03/24/11	L		
45. Brookfield Infrastructure		None	L	T	Buy	06/03/11	L		
46. -Puerto Rico Commonwealth	C	Interest	J	T	Redeemed (part)	07/01/11	K		
47. -William Floyd UN-6/15/20	B	Interest	L	T					
48. First Manhattan Co. Rollover IRA									
49. -Cash & Equivalents: First Manhattan Bank	A	Interest	N	T					
50. -RHJ International Common		None	K	T					
51. -American Express Common	A	Dividend	K	T					

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52. -Berkshire Hathaway Common		None	L	T					
53. -Discovery Hldg Common		None	M	T					
54. -Johnson & Johnson Com	B	Dividend	L	T					
55. -Leucadia National Corp	A	Dividend	L	T					
56. -Onex Corp Common	A	Dividend	L	T					
57. Leucadia Natl corp	C	Interest	L	T					
58. Ascent Media Corp Ser A		None	J	T					
59. Ascent Media Corp Ser A		None	J	T					
60. Loews Corp.	A	Dividend	L	T					
61. Walgreen Corp	B	Dividend	L	T					
62. Bank of America		None	J	T					
63. Stancorp Finl Group Inc.	C	Interest	L	T	Buy	03/24/11	L		
64. Brookfield Asset Mgmt Inc	B	Interest	K	T	Buy	05/10/11	K		
65. Stancorp Finl Group	C	Interest	K	T	Buy	05/27/11	K		
66. Brookfield Infrastrure	B	Dividend	L	T	Buy	06/03/11	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Reported on 2010's Financial Disclosure Report, Part VII. Investments and Trusts, line 57 US Trust Account (X) was duplicated on line 63 Bank of America. Due to a financial institution name change from US Trust to Bank of America, these two lines on 2010's report, Part VII represent the same account and therefore was duplicated. On 2011's Financial Disclosure Report, Part VII, Investments and Trusts, we have included this account on line 62 as Bank of America and have deleted line 57 US Trust account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Miriam G. Cedarbaum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544