

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brown, Elizabeth E.	2. Court or Organization U.S. Bankruptcy Court for the District of Colorado	3. Date of Report 05/2/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 721 19th Street Denver Colorado 80202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1 - see Part VII & VIII
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/13	University of Colorado School of Law - teach adjunct class in bankruptcy law during Fall 2013 semester
2. 01/01/13	University of Denver Sturm College of Law - teach adjunct class in bankruptcy law during Spring 2014 semester
3. 01/01/13	National Conference of Bankruptcy Judges - part-time position as editor of American Bankruptcy Law Journal

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Brown, Elizabeth E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	University of Colorado School of Law - Teaching	\$9,000.00
2. 2013	University of Denver Sturm College of Law - Teaching	\$6,000.00
3. 2013	National Conference of Bankruptcy Judges - ABLJ Editor	\$6,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	psychotherapist private practice income - self employed
2. 2013	Trinity United Methodist Church, Denver, CO - salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	01-23-2013 - 01-24-2013	Denver, CO	Rocky Mountain conference	Meals
2. National Conference of Bankruptcy Judges	10-30-2013 - 11-01-2013	Atlanta, GA	ABLJ Journal Editors' Meeting at Annual Meeting & conference	Transportation, Meals, Lodging
3. National Conference of Bankruptcy Judges	04/08/13 - 04/09/13	Phoenix, AZ	ABLJ Journal Editors' Meeting at Mid-Year Meeting	Transportation, Meals, Lodging
4. American Bankruptcy Institute	04/18/13 - 04/20/13	National Harbor, MD	Speaker at Annual Meeting	Transportation, Meals, Lodging
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Brown, Elizabeth E.

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05/2/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

05/2/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1 Life Ins Policy (see VIII)		None	L	T					
2. 1st Bank of Republic Plaza N.A. Trust #1 Deposit Account (Y)									
3. 1st Bank of Republic Plaza N.A. Checking Account	A	Int./Div.	J	T					
4. 1st Bank of Republic Plaza N.A. Savings Account (Y)									
5. Fundamental Investors in RBC Dain IRA		None	K	T					
6. Growth Fund of Amer. in RBC Dain IRA		None	K	T					
7. Investment Co. of Amer. in RBC Dain IRA		None	K	T					
8. Washington Mutual Inv in RBC Dain IRA		None	K	T					
9. New Perspective Fund in RBC Dain IRA		None	K	T					
10. Gov't Nat'l Mtg. Assn in RBC Dain IRA		None	J	T	Redeemed (part)	01/15/13	J	A	
11. Amer. Balanced in RBC Dain IRA		None	K	T					
12. Cash & Cash equivalents in RBC Dain IRA Acct		None	J	T					
13. iShares TR in RBC Dain IRA Acct		None	K	T					
14. Cap World Grwth & Incm-! in RBC Dain IRA		None	J	T					
15. Scholars Choice College Savings Prgm - Age Based		None	K	T					
16. Int'l Growth & Income in RBC Acct. #2	A	Dividend	J	T					
17. Income Fund of Am in RBC Acct. #2	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Cash & Cash equivalents in RBC Dain Acct #2	A	Int./Div.	J	T					
19. Ashton Growth Fd		None	J	T					
20. Artisan Value Fd		None	J	T					
21. Aston Sm Cap Fd		None	J	T					
22. Advisors Inner Circle Fd		None	J	T					
23. Dodge & Cox Income Fd		None			Sold	06/04/13	J	A	
24. Dodge & Cox Stock Fd		None	J	T					
25. Ivy Lg Cap Gwth Fd		None	J	T					
26. Loomis Sayles Inv		None	J	T					
27. Artisan Intl Value		None			Sold	06/04/13	K	D	
28. Thornburg Intl Value Fd		None	K	T					
29.									
30. PIMCO Fd Foreign Bond Fd US Dollar RBC MW IRA #2		None			Sold	06/04/13	J	A	
31. Cash & Cash equivalents in RBC WM IRA #2		None	J	T					
32. 1st Bank of Republic Plaza N.A. Savings Acct #2	A	Int./Div.	J	T					
33. 1st Bank of Republic Plaza N.A. Savings Acct #3	A	Int./Div.	J	T					
34. iShares 3-7 yr. Treasury FRA#2		None	K	T	Buy	07/02/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
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	U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

05/2/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Positions: Trust #1 is [REDACTED] trust over which I am the trustee and a beneficiary. Trust holds only a life insurance policy. There are no longer any yearly additions to the trust as policy is now fully paid. Valuation of policy reflected in Section VII represents the cash surrender value of policy.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

05/2/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Elizabeth E. Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544