

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DIEHL, MARY GRACE	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 04/28/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1215 UNITED STATES COURTHOUSE 75 TED TURNER DRIVE, S.W. ATLANTA, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Member, Board of Directors	American Bankruptcy Institute
3.	Member, Board of Trustees	Turnaround Managment Association
4.	Member, Board of Directors	International Women In Restructuring Confederation
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Ongoing	Contract with LexisNexis/Collier to edit book on Professional Compensation in Bankruptcy Cases
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Lexis/Nexis Royalties	\$2,623.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Graduate Fellowship Georgia State University
2. 2017	Self Employed Attorney
3. 2017	Book Royalties from University of Illinois Press
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 6-11, 2017	Las Vegas, NV	Judges Conference	Reimbursement of Travel and Hotel
2. American College of Bankruptcy	March 9-11, 2017	Washington, DC	Professional Meeting	Partial Reimbursement of Travel and Hotel
3. American Bankruptcy Institute	April 20-23, 2017	Washington, DC	Educational Seminar Speaker	Reimbursement of Travel and Hotel
4. National Assn of Bankruptcy Trustees	March 1-3, 2017	San Juan, PR	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
5. Turnaround Management Assn	October 22-25, 2017	Ft. Worth, TX	Board of Directors Meeting	Reimbursement of Travel and Hotel

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

6.	American Bankruptcy Institute	November 29-December 3, 2017	Palm Springs, CA	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
7.	National Association of Bankruptcy Trustees	September 14-16, 2017	New Orleans, LA	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
8.	American Bankruptcy Institute	July 27-30, 2017	Hilton Head, SC	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
9.	American Bankruptcy Institute	October 16-17, 2017	Washington, DC	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
10.	Alabama Bar Bankruptcy Section	June 1-4, 2017	Sandestin, FL	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
11.	University of Miami Law School	February 17-19, 2017	Miami, FL	Judge Moot Court Competition	Reimbursement of Travel and Hotel
12.	South Florida Bankruptcy Bar Association	May 11-14, 2017	Bonita Springs, FL	Speaker at Educational Seminar	Reimbursement of Travel and Hotel

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America Accounts	A	Interest	M	T					
2. Wells Fargo Bank Account		None	J	T					
3. Emory Credit Union		None	J	T					
4. Mass Mutual Life Insurance Policy#1(traditional whole life)	C	Dividend	M	T					
5. Mass Mutual Insurance Policy #2(traditional whole life)	C	Dividend	M	T					
6. Prudential Insurance Policy (traditional whole life)	A	Interest	K	T					
7. Brokerage Account #1 (-13012)									
8. --Bank Deposit Account- FIA Card services	A	Interest	K	T					
9. --Bank of America (formerly ML Bank)	A	Interest	J	T					
10. --Eaton Vance Large Cap Value Fund Class A	B	Distribution	K	T	Sold (part)	04/25/17	K	A	
11.					Buy (add'l)	07/11/17	J		
12.					Sold (part)	02/15/17	L	A	
13. --Dreyfus Appreciation Fd	D	Distribution	K	T	Sold (part)	04/25/17	M	A	
14.					Buy (add'l)	01/31/17	J		
15.					Sold (part)	07/10/17	J	A	
16. --Allianzgi NFJ Dividend Value Fund CL P	D	Dividend	K	T	Sold (part)	02/15/17	J	A	
17.					Buy (add'l)	07/11/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	01/31/17	J		
19.					Sold (part)	04/25/17	L	A	
20. --Eaton Vance Atlanta Capital SMID Cap Fd CL1	A	Distribution	K	T	Sold (part)	02/15/17	J	A	
21.					Buy (add'l)	01/31/17	J		
22.					Sold (part)	04/25/17	K	C	
23.					Sold (part)	07/10/17	J	A	
24. --Mainstay Large Cap Growth Fund CL 1	D	Distribution	K	T	Sold (part)	04/25/17	L	A	
25.					Buy (add'l)	01/31/17	J		
26.					Sold (part)	02/15/17	J	A	
27.					Sold (part)	07/10/17	J	A	
28. --Mainstay Epoch Global Equity Yield Fund CL 1	D	Distribution	L	T	Sold (part)	04/25/17	M	A	
29.					Buy (add'l)	07/11/17	J		
30.					Buy (add'l)	01/31/17	J		
31. --MFS Research International Fd CL1	A	Dividend	L	T	Sold (part)	04/25/17	M	A	
32.					Buy (add'l)	01/31/17	J		
33.					Sold (part)	07/10/17	J	A	
34. --Prudential Jennison Small Company Fd Inc Z	B	Distribution	K	T	Sold (part)	02/15/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/11/17	J		
36.					Sold (part)	04/25/17	K	A	
37. Brokerage Acct #2 (-13017)									
38. --Georgia St Rd & Twy Auth Rev	B	Interest	K	T					
39. --University Houston Tex	A	Interest			Sold	10/16/17	K	A	
40. --DeKalb Private Hosp Auth	A	Interest			Sold	08/02/17	K	B	
41. --Columbia Co Ga Wtr & Sew	A	Interest	J	T					
42. --Athens-Clarke Cnty GA Uni Govt Auth Rev	B	Interest	K	T					
43. --Athens Clarke County GA UNI Govt Sales Tax:Jail	A	Interest			Sold	12/15/17	J	A	
44. --Metro Atlanta RTA GA SLS TaxRV Third Series					Sold	05/01/17	K	C	
45. --DeKalb Cnty Ga Wtr-Sew	B	Interest	K	T					
46. Met Wash DC Arpts AT	A	Interest	K	T					
47. West Virginia St Hosp Fin Auth Hosp Rev A	A	Interest	J	T	Buy	12/20/17	J		
48. Floyd Cnty Ga Hosp Auth	A	Interest	K	T	Buy	05/02/17	K		
49. Macon-Bibb Cnty Ga Sales Tax	A	Interest	K	T	Buy	05/02/17	K		
50. Miami-Dade Cnty Fla Bldg	A	Interest	K	T	Buy	08/07/17	K		
51. Cobb Cnty Ga Kennestone	A	Interest	J	T	Buy	08/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Atlanta Ga Wtr & Wastewater Rev	A	Interest	K	T	Buy	10/17/17	K		
53. Forsyth Cnty Sch Dist Go Bos	A	Interest	J	T	Buy	12/15/17	J		
54. Brokerage Acct #3 (-12445)									
55. SP500 ARN Issuer BAC/due 5/27/16		None			Redeemed	05/26/17	L	D	
56. SP500ARN Issuer BARC/due 11/22/17		None			Redeemed	11/22/17	N	F	
57. SX5EARN Issuer BAC/due 7/28/17		None			Redeemed	07/28/17	M	E	
58. Sx5E ARN Issuer BAC/due 5/26/17		None			Redeemed	08/25/17	M	E	
59. SX5E ARN Issuer BAC/due 11/22/17		None	N	T	Buy	09/26/17	N		
60. SP500 ARN ISSUER BOFA due 6/29/18		None	O	T	Buy	05/04/17	O		
61. SX5E ARN ISSUER BOFA due 6/29/18 CAP 23.75		None	L	T	Buy	05/04/17	L		
62. SX5E ARN ISSUER BOFA due 7/27/18		None	K	T	Buy	06/02/17	L		
63. SX5E ARN ISSUER BOFA due 9/28/18		None	M	T	Buy	08/03/17	M		
64. SP500 ARN ISSUER BARC due 10/26/18		None	L	T	Buy	08/31/17	L		
65. SX5E ARN ISSUER BOFA due 1/25/19		None	N	T	Buy	11/29/17	N		
66. SP500 ARN ISSUER BAC due 1/25/19 Cap 9.17		None	M	T	Buy	11/29/17	M		
67.									
68. Investment Account #3 --13590									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. Allianzgi NFJ Divident Value Fd	D	Dividend	K	T	Buy (add'l)	02/01/17	J		
70.					Buy (add'l)	06/01/17	J		
71.					Buy (add'l)	04/05/17	J		
72.					Buy (add'l)	08/01/17	J		
73.					Buy (add'l)	10/05/17	J		
74.									
75. Dreyfus Appreciation Fd	B	Dividend	K	T	Buy (add'l)	02/01/17	J		
76.					Buy (add'l)	06/01/17	J		
77.					Buy (add'l)	04/05/17	J		
78.					Buy (add'l)	08/01/17	J		
79.					Buy (add'l)	10/05/17	J		
80. Eaton Vance Atlanta	A	Dividend	K	T	Buy (add'l)	02/01/17	J		
81.					Buy (add'l)	06/01/17	J		
82.					Buy (add'l)	04/05/17	J		
83.					Buy (add'l)	08/01/17	J		
84.					Buy (add'l)	10/05/17	J		
85.					Buy (add'l)	11/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	12/21/17	J		
87. Eaton Vance Large Cap Value Fund Cl i	B	Dividend	K	T	Buy (add'l)	02/01/17	J		
88.					Buy (add'l)	06/01/17	J		
89.					Buy (add'l)	04/05/17	J		
90.					Buy (add'l)	08/01/17	J		
91.					Buy (add'l)	10/05/17	J		
92.					Buy (add'l)	12/21/17	J		
93. Mainstay Large Cap Growth Fund	C	Dividend	K	T	Buy (add'l)	02/01/17	J		
94.					Buy (add'l)	10/05/17	J		
95.					Buy (add'l)	04/05/17	J		
96.					Buy (add'l)	12/21/17	J		
97. Mainstay Epoch Global Equity Yield Fund	B	Dividend	K	T	Buy (add'l)	02/01/17	J		
98.					Buy (add'l)	06/01/17	J		
99.					Buy (add'l)	04/05/17	J		
100.					Buy (add'l)	08/01/17	J		
101.					Buy (add'l)	10/05/17	J		
102.					Buy (add'l)	11/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	12/21/17	J		
104. MFS Research International FD	A	Dividend	L	T	Buy (add'l)	02/01/17	J		
105.					Buy (add'l)	10/05/17	J		
106.					Buy (add'l)	04/05/17	J		
107.					Buy (add'l)	11/07/17	J		
108.					Buy (add'l)	12/21/17	J		
109. Prudential Jennison Small Company Fd	B	Dividend	K	T	Buy (add'l)	02/01/17	J		
110.					Buy (add'l)	04/05/17	J		
111.					Buy (add'l)	06/01/17	J		
112.					Buy (add'l)	08/01/17	J		
113.					Buy (add'l)	11/07/17	J		
114.					Buy (add'l)	12/21/17	J		
115. IRA #1 (-13022)									
116. --ML Winton FuturesAccess LLC		None			Sold	03/24/17	N	A	
117. Prudential Jennison	B	Dividend	K	T	Buy	05/05/17	K		
118. Eaton Vance Atlanta	A	Dividend	K	T	Buy	05/05/17	K		
119. MFS Research	A	Dividend	L	T	Buy	05/05/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Eaton Vance Large Cap	B	Dividend	K	T	Buy	05/05/17	K		
121.					Buy (add'l)	07/13/17	J		
122. Mainstay Large Cap	D	Dividend	K	T	Buy	05/05/17	K		
123. Mainstay Epoch Global	A	Dividend	L	T	Buy	05/05/17	K		
124.					Buy (add'l)	07/13/17	J		
125. Allianzgi NFJ Dividend	D	Dividend	K	T	Buy	05/05/17	K		
126.					Buy (add'l)	07/13/17	J		
127. Dreyfus Appreciation Fd	A	Dividend	K	T	Buy	05/05/17	K		
128.									
129. IRA #2 (-12598)									
130. Partners Group Private Equity (TEI) LLC		None	M	T					
131. IRA #3 (12601/14937)									
132. -FIA Card Svcs (Formerly -ML Bank USA RASP)	A	Interest	M	T					
133. --Eaton Vance Large Cap Value Fund Class A	C	Dividend	M	T	Buy (add'l)	08/25/17	J		
134.					Buy (add'l)	05/03/17	L		
135. --Dreyfus Appreciation Fd	C	Dividend	M	T	Sold (part)	08/25/17	J		
136.					Buy (add'l)	05/03/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	02/01/17	J		
138. --Allianzgi NFJ Dividend Value Fund CL P	C	Dividend	M	T	Buy (add'l)	08/25/17	J		
139.					Buy (add'l)	05/02/17	L		
140. --Eaton Vance Atlanta Capital SMID Cap FD Cl 1	A	Dividend	L	T	Buy (add'l)	08/25/17	J		
141.					Buy (add'l)	05/03/17	K		
142.					Buy (add'l)	02/01/17	J		
143. --Mainstay Large Cap Growth Fund Cl 1	A	Dividend	M	T	Sold (part)	08/25/17	J		
144.					Buy (add'l)	05/03/17	L		
145.					Buy (add'l)	02/01/17	J		
146. --Mainstay Epoch Global Equity Yield Fund Cl 1	D	Dividend	N	T	Buy (add'l)	08/25/17	J		
147.					Buy (add'l)	05/03/17	L		
148.					Buy (add'l)	02/01/17	J		
149. MFS Research International Fd Cl 1	C	Dividend	N	T	Sold (part)	08/25/17	J		
150.					Buy (add'l)	05/03/17	L		
151.					Buy (add'l)	02/01/17	J		
152. Prudential Jennison Small Company Fd Inc Z	A	Dividend	L	T	Buy (add'l)	08/25/17	J		
153.					Buy (add'l)	05/02/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Partners Group Private Equity (TEI) LLC		None	N	T					
155. SP500 ARN Issuer BAC		None			Buy	02/25/17	N		
156.					Sold	04/28/17	N	E	
157. SEP #1 (-12599)									
158. --FIA CARD Svcs (formerly ML Bank USA RASP)	A	Dividend	K	T	Buy (add'l)	08/25/17	J		
159. --Dreyfus Appreciation Fd	A	Dividend	K	T	Buy (add'l)	08/25/17	J		
160.					Buy (add'l)	02/01/17	J		
161. Allianzgi NJF Dividend Value Fund CL P	A	Dividend	K	T	Buy (add'l)	08/25/17	J		
162. Eaton Vance Atlanta Capital SMID Cap Fd CL1	A	Dividend	K	T	Buy (add'l)	08/25/17	J		
163.					Buy (add'l)	02/01/17	J		
164. Mainstay Large Cap Growth Fund C1 1	A	Dividend	K	T	Sold (part)	08/25/17	J		
165.					Buy (add'l)	02/01/17	J		
166. Mainstay Epoch Global Equity Yield Fund C1 1	B	Dividend	L	T	Buy (add'l)	08/25/17	J		
167.					Buy (add'l)	02/01/17	J		
168. MFS Research International Fund C1 1	A	Dividend	L	T	Sold (part)	08/25/17	J	A	
169.					Buy (add'l)	02/01/17	J		
170. Prudential Jennison Small Company Fd Inc Z	A	Dividend	K	T	Buy (add'l)	08/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. SEP #2 (-12600)									
172. Bank of America NA RASP (Cash Acct)	A	Interest	J	T					
173. --Eaton Vance Large Cap Value Fund Class A	A	Dividend	J	T	Buy (add'l)	12/21/17	J		
174.					Buy (add'l)	08/25/17	J		
175. --Dreyfus Appreciation Fd	A	Dividend	J	T	Buy (add'l)	08/25/17	J		
176.					Buy (add'l)	02/01/17	J		
177. Allianzgi NFJ Divident Value Fund C1 P	A	Dividend	J	T	Buy (add'l)	08/25/17	J		
178. Eaton Vance Atlanta Capital SMID Cap Fd C11	A	Dividend	J	T	Buy (add'l)	02/01/17	J		
179. Mainstay Large Cap Growth Fund C1 I	A	Dividend	J	T	Buy (add'l)	12/21/17	J		
180.					Sold (part)	08/25/17	J	A	
181.					Buy (add'l)	02/01/17	J		
182. Mainstay Epoch Global Equity Yield Fund C1 I	A	Dividend	J	T	Buy (add'l)	12/21/17	J		
183.					Buy (add'l)	08/25/17	J		
184.					Buy (add'l)	02/01/17	J		
185. MFS Research International Fund C11	A	Dividend	J	T	Buy (add'l)	12/21/17	J		
186.					Sold (part)	08/25/17	J	A	
187.					Buy (add'l)	02/01/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Prudential Jennison Small Company Fund Inc Z	A	Dividend	J	T	Buy (add'l)	12/21/17	J		
189.					Buy (add'l)	08/25/17	J		
190. 401(k) Plan (-05031)									
191. SX5E ARN ISSUER BAC/due 6/30/17		None			Redeemed	05/01/17	K	C	
192. SX5E ARN Issuer BAC/Due 3/17/17		None			Redeemed	03/31/17	K	C	
193. Prudential Jennison	A	Dividend	J	T	Buy	05/08/17	J		
194. Eaton Vance Atlanta	A	Dividend	J	T	Buy	05/08/17	J		
195. MFS Research	A	Dividend	J	T	Buy	05/08/17	J		
196. Eaton Vance Large Cap	A	Dividend	J	T	Buy	05/08/17	J		
197. Mainstay Large Cap	A	Dividend	J	T	Buy	05/08/17	J		
198. Mainstay Epoch Global	A	Dividend	J	T	Buy	05/08/17	J		
199. Allianzgi NFJ Dividend	A	Dividend	J	T	Buy	05/08/17	J		
200. Dreyfus Appreciation Fd	A	Dividend	J	T	Buy	05/08/17	J		
201. MJ Defined Benefit Plan (-05030)									
202. SX5E ARN Issuer BAC/due 6/30/17		None			Redeemed	06/30/17	N	F	
203. Prudential Jennison	A	Dividend	K	T	Buy	07/31/17	K		
204. Eaton Vance Atlanta	B	Dividend	K	T	Buy	07/31/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

205. MFS Reasearch	A	Dividend	L	T	Buy	07/31/17	L		
206. Eaton Vance Large Cap	B	Dividend	K	T	Buy	07/31/17	K		
207. Mainstay Large CAP	A	Dividend	K	T	Buy	07/31/17	K		
208. Mainstay Epoch Global	B	Dividend	L	T	Buy	07/31/17	L		
209. Allianzgi NFJ Dividend	A	Dividend	K	T	Buy	07/31/17	K		
210. Dreyfus Appreciation Fd	A	Dividend	K	T	Buy	07/31/17	K		
211. BIF Money Fund	E	Interest	K	T	Open	02/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting DIEHL, MARY GRACE	Date of Report 04/28/2018
---	---

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #1 is a charitable trust and is not reportable because it was not created by me, my spouse or a dependent child and no income is received by me, my spouse or a dependent child. Neither do any of these persons have a beneficial interest in the trust. This information was previously reported but is no longer necessary.

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

DIEHL, MARY GRACE

Date of Report

04/28/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **MARY GRACE DIEHL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544