

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rossiter Jr., Robert F.	2. Court or Organization United States District Court, Nebraska	3. Date of Report 05/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court, Nebraska 111 S. 18th Plaza, Suite 3141 Omaha, NE 68102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director/Shareholder/Management Committee Member	Fraser Stryker PC LLO
2. District Representative/Executive Council	Nebraska State Bar Association
3. Member - House of Delegates	Nebraska State Bar Association
4. Advisory Board Member	Creighton University School of Law
5. Trustee	☐ Rev Trust Robert Rossiter TTEE
6. Executor of Mother's Estate	☐ Estate

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/2015	Fraser Stryker PC LLO - Deferred Compensation Agreement
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	United States Judges	\$82,397.86
2. 2016	Fraser Stryker PC LLO Salary/Bonus	\$155,517.59
3. 2016	Fraser Stryker PC LLO Deferred Compensation	\$27,500.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Fitzgerald Schorr Barmettler & Brennan, PC LLO employment compensation
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Fraser Stryker PC LLO	Investiture Reception - Venue, Beverages, Food, Invitations, Postage	\$4,049.60
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CornerStone	Student Loan	None
2.	Mass Mutual	Life Insurance Loan	L
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FS 401k/ SSAM Rollover IRA (H)									
2. 369604BQ5 - General Elec Co Var 5.00% 1/19/2066	B	Interest			Redeemed	09/15/16	K	D	
3. AAPL - Apple Inc	A	Dividend			Redeemed	09/15/16	K	E	
4. ABX - Barrick Gold Corp	A	Dividend			Redeemed	09/15/16	J		
5. ALR - Alere Inc		None			Redeemed	09/15/16	J		
6. APC - Anadarko Petroleum Corp	A	Dividend			Redeemed	09/15/16	K		
7. BHK - BlackRock Core Bond Trust	A	Dividend	J	T	Sold (part)	09/15/16	K		
8. BND - Vanguard Total Bond Market	B	Dividend	M	T	Buy	09/15/16	M		
9. BP - BP PLC	A	Dividend			Redeemed	09/15/16	J		
10. BRKB - Berkshire Hathaway Cl B		None			Redeemed	09/15/16	L	F	
11. BSV - Vanguard Short-Term Bond	B	Dividend	M	T	Buy	09/15/16	N		
12. C - Citigroup Inc	A	Dividend			Redeemed	09/15/16	J		
13. CE - Celanese Corp Series A	A	Dividend			Redeemed	09/15/16	J	A	
14. CEQP - Crestwood Equity Partners LP	A	Dividend			Redeemed	09/15/16	J		
15. CHI - Calamos Convertible Opps & income	A	Dividend			Redeemed	09/15/16	J		
16. CHUBA - CommerceHub Inc Class A		None			Redeemed	09/15/16	J	A	
17. CHUBK - CommerceHub Inc Class C		None			Redeemed	09/15/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DFAPX - DFA Inv Grade	B	Dividend	M	T	Buy	09/15/16	M		
19. DFEQX - DFA US Core Equity 1	C	Dividend	N	T	Buy	09/15/16	N		
20. DFGEX - DFA Global Real Estate Secs	C	Dividend	L	T	Buy	09/15/16	L		
21. DFIEX - DFA Intl Core Equity	B	Dividend	M	T	Buy	09/15/16	M		
22. DFSVX - DFA US Small Cap Value	C	Dividend	M	T	Buy	09/15/16	L		
23. DIS - Disney Walt Co	A	Dividend			Redeemed	09/15/16	K	D	
24. DUK - Duke Energy Corp	A	Dividend			Redeemed	09/15/16	J	C	
25. DVMT - Dell Technologies Inc Com Cl V		None			Redeemed	09/15/16	J	B	
26. ECTPQ - Enron Capital		None			Redeemed	09/20/16	J		
27. EMC - EMC Corp	A	Dividend			Redeemed	09/08/16	J	D	
28. EMR - Emerson Electric Co	A	Dividend			Redeemed	09/15/16	J		
29. EPD - Enterprise Products Partners LP	A	Dividend			Redeemed	09/15/16	K	D	
30. ETP - Energy Transfer Partners	A	Dividend			Redeemed	09/15/16	K		
31. EVF - Eaton Vance Senior Income Trust	A	Dividend			Redeemed	09/15/16	J		
32. FCX - Freeport McMoran Inc		None			Redeemed	09/15/16	J		
33. FINN - First Natl Of Neb Inc	A	Dividend			Redeemed	09/15/16	K	D	
34. FLOT - iShares Floating Rate	A	Dividend			Redeemed	09/15/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. FOSCX - Tributary Fds Small Company Instl	A	Dividend			Redeemed	09/15/16	J	B	
36. FTR - Frontier Communications Co	A	Dividend			Redeemed	09/15/16	J		
37. GE - General Electric Company	A	Dividend			Redeemed	09/15/16	K	D	
38. GOOG - Alphabet Inc Cl C		None			Redeemed	09/15/16	J	C	
39. GOOGL - Alphabet Inc Cl A		None			Redeemed	09/15/16	J	C	
40. GTLS - Chart Industries Inc		None			Redeemed	09/15/16	J		
41. HACK - PureFunds ISE Cyber Security	A	Dividend			Redeemed	09/15/16	K	A	
42. HCP - HCP Inc	A	Dividend			Redeemed	09/15/16	J		
43. HTD - John Hancock Tax Advantage Dividend Income	A	Dividend			Redeemed	09/15/16	K	C	
44. IJK - iShares S&P Mid-Cap 400 Growth	A	Dividend			Redeemed	09/15/16	K	B	
45. IJT - iShares S&P SmallCap 600 Growth ETF	A	Dividend			Redeemed	09/15/16	K	B	
46. INTC - Intel Corp	A	Dividend			Redeemed	09/15/16	K	D	
47. JEC - Jacobs Engineering Group Inc		None			Redeemed	09/15/16	J		
48. JFR - Nuveen Floating Rate Income	A	Dividend			Redeemed	09/15/16	K		
49. JNJ - Johnson & Johnson	A	Dividend			Redeemed	09/15/16	K	D	
50. JPYL - Jp Morgan Chase & Co Note 5.15% 12/31/2049	B	Interest			Redeemed	09/15/16	K		
51. KAUF - Federated Kaufmann R		None			Redeemed	09/15/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. KMI - Kinder Morgan Inc	A	Dividend	J	T	Sold (part)	09/15/16	K		
53. LNCOQ - Linnco LLC		None			Redeemed	09/15/16	J		
54. LTRPA - Liberty Trip Advisor Holdings Series A		None			Redeemed	09/15/16	J	A	
55. LVL T - Level 3 Communications		None			Redeemed	09/15/16	J		
56. LVNTA-old - Liberty Ventures - Series A		None			Redeemed	09/15/16	J	A	
57. MDT - Medtronic PLC	A	Dividend			Redeemed	09/15/16	K	B	
58. MEMP - Memorial Prodn Partners LP	A	Dividend			Redeemed	09/15/16	J		
59. MMM - 3M Company	A	Dividend			Redeemed	09/15/16	K	D	
60. MRK - Merck & Co Inc	A	Dividend			Redeemed	09/15/16	K	B	
61. MSFT - Microsoft Corp	A	Dividend			Redeemed	09/15/16	K	D	
62. NFLX - Netflix Inc		None			Redeemed	09/15/16	K		
63. ODVCX - Oppenheimer Developing Markets C		None			Redeemed	09/15/16	J		
64. OGM CX - Oppenheimer Gold & Spl Minerals C		None			Redeemed	09/15/16	J		
65. OI DCX - Oppenheimer Intl Diversified C		None			Redeemed	09/15/16	J	A	
66. OKS - ONEOK Partners LP Unit	A	Dividend			Redeemed	09/15/16	J	D	
67. PFE - Pfizer Inc	A	Dividend			Redeemed	09/15/16	K	C	
68. Plains All American Pipeline LP Unit LTD Partnership	A	Dividend			Redeemed	04/12/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. PG - Procter & Gamble Co	A	Dividend			Redeemed	09/15/16	K	C	
70. QAI - IQ Hedge Multi-Strategy Tracker	A	Dividend			Redeemed	09/15/16	J		
71. RAVN - Raven Inds Inc	A	Dividend			Redeemed	09/15/16	J		
72. RDS.A - Royal Dutch Shell A PLC Spons ADR	A	Dividend			Redeemed	09/15/16	J		
73. RYJCX - Rydex Inverse Govt Long Bond Strategy C	A	Dividend			Redeemed	09/15/16	J		
74. SCZ - iShares MSCI EAFE Small Cap Index	A	Dividend	K	T	Buy	09/15/16	K		
75. SE - Spectra Energy Corp	A	Dividend			Redeemed	09/15/16	J	B	
76. SRLN - SPDR Blackstone GSO Sr Loan	A	Dividend			Redeemed	09/15/16	K		
77. T - AT&T Inc	A	Dividend			Redeemed	09/15/16	K	C	
78. UL - Unilever PLC ADR F	A	Dividend			Redeemed	09/15/16	K	B	
79. VEA - Vanguard FTSE Developed Markets	A	Dividend	L	T	Buy	09/15/16	L		
80. VICL - Vical Inc		None			Redeemed	09/15/16	J		
81. VOE - Vanguard MidCap Value Index	B	Dividend	M	T	Buy	09/15/16	M		
82. VWO - Vanguard Emerging Markets	A	Dividend	L	T	Buy	09/15/16	L		
83. VZ - Verizon Communications	A	Dividend			Redeemed	09/15/16	K	D	
84. WMT - Wal-Mart Stores Inc	A	Dividend			Redeemed	09/15/16	J	D	
85. WPC - WP Carey & Co	B	Dividend			Redeemed	09/15/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. WPRT - Westport Fuel Systems		None			Redeemed	09/15/16	J		
87. XLK - SPDR Technology Select Sector	A	Dividend			Redeemed	09/15/16	J	D	
88. Money Market Cash		None	K	T					
89. [REDACTED] Schorr 401k PSP (H)									
90. VTTVX - Vanguard Target Retirement 2025 Inv	B	Dividend	L	T					
91. [REDACTED] Raymond James (H)									
92. DBLTX - DOUBLELINE TOTAL RETURN BOND FUND CLASS I	A	Dividend	J	T	Sold (part)	01/15/16	J		
93. FIHBX - FEDERATED INSTITUTIONAL HIGH YIELD BOND	A	Dividend	J	T	Sold (part)	01/15/16	J		
94.					Buy (add'l)	08/23/16	J		
95. PSYPX - PALMER SQUARE INCOME PLUS FUND CLASS I	A	Dividend	J	T	Sold (part)	08/23/16	J		
96. JPIEX - JPMORGAN DISCIPLINED EQUITY FUND CLASS L	A	Dividend	J	T	Buy (add'l)	01/15/16	J		
97.					Sold (part)	03/17/16	J		
98.					Sold (part)	08/23/16	J		
99. LZIEY - LAZARD INTERNATIONAL EQUITY PORT.	A	Dividend	J	T	Buy	07/19/16	J		
100. ODVYX - OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y	A	Dividend	J	T	Sold (part)	01/15/16	J		
101. PURZX - PRUDENTIAL GLOBAL REAL ESTATE FUND	A	Dividend	J	T	Sold (part)	01/15/16	J		
102.					Sold (part)	08/23/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. RAIIX - RAINIER INTERNATIONAL DISCOVERY FUND	A	Dividend	J	T	Buy	07/19/16	J		
104.					Buy (add'l)	08/23/16	J		
105. TRBCX - T. ROWE PRICE BLUE CHIP GROWTH FUND	A	Dividend	J	T	Sold (part)	01/15/16	J		
106.					Sold (part)	03/17/16	J		
107.					Sold (part)	08/23/16	J		
108. TRMCX - T. ROWE PRICE MID-CAP VALUE FUND	A	Dividend	J	T	Buy (add'l)	01/15/16	J		
109.					Sold (part)	03/17/16	J		
110. FOGPX - TRIBUTARY GROWTH OPPORTUNITIES FD INSTITUTIONAL	A	Dividend	J	T	Sold (part)	01/15/16	J		
111.					Sold (part)	03/17/16	J		
112.					Sold (part)	08/23/16	J		
113. FOSBX - TRIBUTARY SMALL COMPANY FUND	A	Dividend	J	T	Sold (part)	01/15/16	J		
114.					Sold (part)	08/23/16	J		
115. FONPX - TRIBUTARY NEBRASKA TAX-FREE FUND	B	Dividend	L	T	Buy	06/22/16	K		
116.					Buy (add'l)	06/24/16	J		
117.					Buy (add'l)	08/23/16	J		
118. VEIRX - VANGUARD EQUITY INCOME FUND ADMIRAL	A	Dividend	J	T	Sold (part)	01/15/16	J		
119.					Sold (part)	08/23/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PMSPX - PRINCIPAL GLOBAL MULTI STRATEGY FUND	A	Dividend	J	T	Buy (add'l)	01/15/16	J		
121.					Sold (part)	03/17/16	J		
122.					Buy (add'l)	08/23/16	J		
123. MDEIX - MD Sass Equity Income Plus Fund	A	Dividend		T	Sold (part)	01/15/16	J		
124.					Sold (part)	03/17/16	J		
125.					Redeemed	08/23/16	J		
126. VWIUX - Vanguard Intermediate Term Tax Exempt Fund Admiral	A	Dividend		T	Buy	01/15/16	J		
127.					Buy (add'l)	03/17/16	J		
128.					Redeemed	06/22/16	J		
129. FGFLX - Federated International Leaders Fund Inst Shares		None		T	Buy	01/15/16	J		
130.					Sold (part)	03/17/16	J		
131.					Redeemed	07/15/16	J		
132. FSTFX - Fidelity Limiter Term Municipal Bond Fd	A	Dividend		T	Sold (part)	01/15/16	J		
133.					Buy	03/17/16	J		
134.					Redeemed	06/22/16	J		
135. UBS (H)									
136. SBPLX - Clearbridge Dividend Strategy Class C	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. MSFRX - MFS Total Return Fund Class A	A	Dividend	J	T					
138. [REDACTED] Rev Trust Robert Rossiter TTEE (H)									
139. RMLSX - Russell Ivt Co Lifepoints Moderate	A	Dividend			Redeemed	10/31/16	L		
140. BRK.B - Berkshire Hathaway B					Redeemed	10/31/16	M	D	
141. FCISX - Franklin Income Fund Cl	D	Dividend			Redeemed	10/31/16	M		
142. JTD - Nuveen Tax Adv Div Gr	B	Dividend			Redeemed	10/31/16	K		
143. MXXIX - Twenty First Centy Cl A	A	Dividend			Redeemed	10/31/16	J		
144. Wells Fargo MLCD	C	Dividend			Redeemed	10/31/16	L	C	
145. US Treas Bond	A	Dividend			Redeemed	05/16/16	J		
146.									
147.									
148.									
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154.									
155.									
156.									
157.									
158.									
159.									
160.									
161.									
162.									
163.									
164.									
165.									
166.									
167.									
168.									
169.									
170.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172.									
173.									
174.									
175.									
176.									
177.									
178.									
179.									
180.									
181.									
182.									
183.									
184.									
185.									
186.									
187.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188.									
189.									
190.									
191.									
192.									
193.									
194.									
195.									
196.									
197.									
198.									
199.									
200.									
201.									
202.									
203.									
204.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

205.									
206.									
207.									
208.									
209.									
210.									
211.									
212.									
213.									
214.									
215.									
216.									
217.									
218.									
219.									
220.									
221.									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.									
223.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts - Line 1 FS 401k/ SSAM Rollover IRA (H) - Employer 401(k) was rolled over into Rollover IRA in kind. The assets originally purchased in 401k were sold in Rollover IRA.

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Rossiter Jr., Robert F.

Date of Report

05/02/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert F. Rossiter Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544