

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ramos, Nelva G.	2. Court or Organization U.S. District Court, Texas	3. Date of Report 10/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1133 North Shoreline Blvd. Corpus Christi, TX 78401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2001	State of Texas Judicial Retirement Plan.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Onyx Engineering - Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Kleberg National Bank	Note on Jim Hogg County property	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank - Accounts, CD	A	Interest	J	T					
2. First National Bank - Accounts	A	Interest	J	T					
3. Edward Jones Money Market	A	Dividend	K	T					
4. Franklin Fed Tax-Free Income A	B	Dividend	K	T					
5. FT Bld Amer Bds 10-20 Yr #11	B	Interest	K	T					
6. Texas Municipal Retirement System	A	Interest	J	T					
7. Texas County & District Retirement System		None	J	T					
8. New York Life Insurance Company - Whole Life		None	K	T					
9. FIA Card Services NA RASP (Y)									
10. Agrium Inc	A	Dividend			Sold	10/03/13	J	A	
11. Altria Group Inc	A	Dividend			Sold	06/19/13	J	B	
12. Apple Inc		None			Sold	10/03/13	J	B	
13. Chesapeake Energy OKLA	A	Dividend			Sold	04/03/13	J	A	
14. Citigroup Inc Com New	A	Dividend			Sold	09/27/13	J	A	
15. Doubleline Tot Rtrn BD I Bond Fund CL I	A	Dividend			Sold	06/21/13	J	A	
16. Doubleline Total Return Bond Fund CL I	A	Dividend			Sold	06/21/13	J	A	
17. Eli Lilly & Co	A	Dividend			Sold	08/02/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 Q = More than \$5,000,000	M = \$100,001 - \$250,000 P = \$500,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Facebook Inc Class A Common Stock		None			Sold	03/21/13	J	A	
19. Ford Motor Co	A	Dividend			Sold	10/03/13	J	A	
20. Home Depot Inc	A	Dividend			Sold	10/03/13	J	A	
21. Intel Corp	A	Dividend			Sold	07/19/13	J	A	
22. iShares IBOXX S	A	Dividend			Sold	05/02/13	J	A	
23. iShares JP Morgan Em Bon	A	Dividend			Sold	04/13/13	J	A	
24. Kraft Foods Inc Va Cl A	A	Dividend			Sold	08/02/13	J	A	
25. Nike Inc Cl B	A	Dividend			Sold	08/02/13	J	A	
26. Powershares Global Exchange Traded Fd Tr	A	Dividend			Sold	04/03/13	J	A	
27. Qualcomm Inc		None			Sold	07/06/13	J	A	
28. Spdr Gold Trust		None			Sold	01/30/13	J	A	
29. Valero Energy Corp New	A	Dividend			Sold	06/18/13	J	A	
30. Walmart Stores Inc	A	Dividend			Sold	10/03/13	J	A	
31. Johnson Ctls Inc Com	A	Dividend			Sold	09/27/13	J	A	
32. Schlumberger Limited Common	A	Dividend			Sold	10/03/13	J	A	
33. Johnson & Johnson Solicited	A	Dividend			Sold	10/04/13	J	B	
34. Templeton Growth Fund CL A--see note Part 8	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Franklin Moder 9-12 years 529 A	A	Dividend	K	T					
36. Franklin Balanced Fund CL A** see note Part 8	A	Dividend	K	T					
37. Franklin Equity Income Fund CL A	A	Dividend	J	T					
38. Franklin Flex Cap Growth Fund CL A	A	Dividend	J	T					
39. Franklin Growth Fund CL A	A	Dividend	K	T					
40. Franklin Growth Fund CL A	A	Dividend	J	T					
41. Franklin Mutual Shares Fund CL A**see note Part 8	A	Dividend	J	T					
42. Franklin Rising Dividends Fund CL A	A	Dividend	J	T					
43. Franklin Total Return Fund CL A**see note Part 8	A	Dividend	J	T					
44. Franklin U S Government Securities Fund CL A**see note Part 8	A	Dividend	J	T					
45. Templeton Global Bond Fund CL A**see note Part 8	A	Dividend	J	T					
46. Templeton Foreign Fund CL A	A	Dividend	J	T	Buy	10/04/13	J		
47. Templeton Global Smaller Companies Fund CL A	A	Dividend	J	T	Buy	10/04/13	J		
48. Templeton World Fund CL A	A	Dividend	J	T	Buy	10/04/13	J		
49. Healthcare Select Spdr (ETF)	A	Dividend	J	T	Buy	10/08/13	J		
50. Ishare Nasdaq Biotech (ETF)	A	Dividend	J	T	Buy	10/08/13	J		
51. Sector Spdr Financial ETF (XLF)	A	Dividend	J	T	Buy	10/08/13	J		

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52. Vanguard Consumer Staples (VDC)	A	Dividend	J	T	Buy	10/08/13	J		
53. Vanguard Energy ETF (VDE)	A	Dividend	K	T	Buy	10/08/13	K		
54. Vanguard Industrial ETF (VIS)	A	Dividend	K	T	Buy	10/08/13	K		
55. Vanguard Information Tech ETF (VGT)	A	Dividend	K	T	Buy	10/08/13	K		
56. Vanguard Materials ETF (VAW)	A	Dividend	J	T	Buy	10/08/13	J		
57. Vanguard Telecomm. Svcs ETF (VOX)	A	Dividend	J	T	Buy	10/08/13	J		
58. AT & T**see note Part 8	A	Dividend			Sold	11/06/12	J	A	
59. Caterpillar Inc Com **see note Part 8	A	Dividend			Sold	10/04/13	J	A	
60. Mutual Global Discovery Fund CL A **see note Part 8	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: Line 34--Templeton Growth Fund CL A -- two prior entries purchased on different dates have now been combined (2012 amended report: line entries 78 and 94)

Part VII: Line 36--Franklin Balanced Fund CL A -- two prior entries purchased on different dates have now been combined (2012 amended report: line entries 79 and 84)

Part VII: Line 41--Franklin Mutual Shares Fund CL A -- two prior entries purchased on different dates have now been combined (2012 amended report: line entries 77 and 88)

Part VII: Line 43--Franklin Total Return Fund CL A -- two prior entries purchased on different dates have now been combined (2012 amended report: line entries 82 and 90)

Part VII: Line 44--Franklin U S Government Securities Fund CL A --two prior entries purchased on different dates have now been combined (2012 amended report: line entries 80 and 91)

Part VII: Line 45--Templeton Global Bond Fund CL A -- two prior entries purchased on different dates have now been combined (2012 amended report: line entries 81 and 93)

Amended Report:

Part VII: Line 58--AT &T -- was reported in 2012 Amended report (line entry 28) as "Sold in Part" and should have been "SOLD"

Part VII: Line 59--Caterpillar Inc Com -- was reported in 2012 Amended report (line entry 75) --- "Sold" in 2013 and wasn't included in 2013 report

Part VII: Line 60 -- Mutual Global Discovery Fund CL A -- was reported in 2012 Amended report (line entry 92) -- still maintain account; wasn't included in 2013 report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nelva G. Ramos**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544