

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Horn, Marian B.	2. Court or Organization United States Court of Federal Claims	3. Date of Report 09/16/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 717 Madison Place NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	George Washington University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	GW Univ. Law School - Teaching	\$21,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	DTE Engergy Co. - Pension
2. 2015	Jackson Lewis PC -salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa and Master Card	Credit Card Loans	K
2.	Wells Fargo	PNC Business Loan	L
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney IRA Account	C	Dividend	O	T					
2. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
3. -Abbott	A	Dividend	K	T					
4. -Abbvie Inc.	A	Dividend	K	T					
5. -Alcoa	A	Dividend	J	T					
6. -Berkshire Hathaway Inc. CL- B New		None	L	T					
7. -Centurylink (Y)									
8. -Cisco Sys	A	Dividend	K	T					
9. -Cohen & Steers Quality Income and Realty Fund	A	Dividend			Sold	06/05/15	J		
10. -Ebay		None	K	T					
11. -Alphabet A, formerlyGoogle A		None	M	T					
12. -AlphabetC, formerlyGoogle C		None	M	T					
13. -Hospira		None			Redeemed	09/03/15	K	E	
14. -Interdigital	C	Dividend	L	T					
15. -JP Morgan Chase	A	Dividend	J	T					
16. -Merck	A	Dividend	J	T					
17. -Pay Pal (X)		None	K	T	Spinoff (from line 10)	07/11/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Pfizer	A	Dividend	J	T					
19. -SPDR Gold Tr		None			Sold	07/21/15	J		
20. -Wells Fargo	A	Dividend	J	T					
21. -Morgan Stanley Smith Barney PM IRA Account	D	Dividend	N	T					
22. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
23. -Black Rock Equity Div	A	Dividend	K	T	Buy	01/16/15	J		
24. E-aton Vance Bond I-Y	A	Dividend			Sold	12/15/15	K		
25. -Fidelity Adv Biotechnology	A	Dividend	J	T					
26. First Trust Europe-Y	A	Dividend	J	T	Buy	11/16/15	J		
27. First Eagle Global I-Y	A	Dividend	J	T					
28. -ING (Voya) Global Real Estate	A	Dividend	J	T					
29. -Investco Balanced Risk	B	Dividend	K	T					
30. -Investco Developing Mkts	A	Dividend	J	T					
31. -Ivy Mid-Cap Growth	B	Dividend	J	T					
32. -Ivy Science and Tech	A	Dividend	K	T					
33. -Macy's		None			Sold	01/16/15	J	A	
34. -MFS Value	B	Dividend	K	T	Buy	01/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -MFS International	B	Dividend	K	T	Buy	01/16/15	J		
36. -Oppenheimer Intl Growth	A	Dividend	K	T					
37. -PIMCO All Asset	A	Dividend	J	T					
38. -Prudential Total Rtn Bond (X)		None	K	T	Buy	12/15/15	K		
39. -Royce Total Return	B	Dividend	J	T					
40. -Templeton Glb Tot Rtn	A	Dividend	J	T	Sold (part)	01/16/15	J		
41.					Sold (part)	06/03/15	J		
42. -Touchstone SandsnCap Sel	A	Dividend	J	T	Sold (part)	06/03/15	J		
43. -Wells Fargo Absolute RTN		None	J	T	Sold (part)	01/16/15	K		
44. Morgan Stanley Smith Barney IRA Account	C	Dividend	M	T					
45.	A	Interest							
46. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
47. AAXI-is MSCI Asia Ex Japan	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
48.					Sold (part)	06/02/15	J	A	
49.					Sold (part)	06/09/15	J		
50.					Sold (part)	09/11/15	J		
51.					Sold (part)	12/31/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. DHLSX-Diamond Hills L/S	A	Dividend	J	T					
53. DIA-SPDR DJIA Trust	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
54. DXI-WT Japan Hedged	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
55.	A	Interest		T	Sold (part)	06/12/15	J		
56.					Sold (part)	06/09/15	J	A	
57.					Sold (part)	09/11/15	J	A	
58. IWD-iS R 1000 Value	B	Dividend	K	T	Sold (part)	04/15/15	J	A	
59.					Sold (part)	06/02/15	J	A	
60.					Sold (part)	06/09/15	J	A	
61.					Sold (part)	09/11/15	J		
62. IWF-iS 1000Growth	A	Dividend	K	T	Sold (part)	04/14/15	J	A	
63.					Sold (part)	06/09/15	J	A	
64.					Sold (part)	09/11/15	J	A	
65. IWN-iS R 2000 Value	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
66.					Sold (part)	06/02/15	J	A	
67.					Sold (part)	06/09/15	J		
68.					Sold (part)	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. IWO-iS R 2000 Growth	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
70.					Sold (part)	06/02/15	J	A	
71.					Sold (part)	06/09/15	J	A	
72.					Sold (part)	09/11/15	J	A	
73. IWP-iS R Mid-Cap Growth	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
74.					Sold (part)	06/02/15	J	A	
75.					Sold (part)	06/09/15	J	A	
76.					Sold (part)	09/11/15	J		
77. IWS-iS Mid Cap Value	A	Dividend			Sold (part)	04/14/15	J	A	
78.					Sold (part)	06/02/15	J	A	
79.					Sold (part)	06/09/15	J	A	
80.					Sold	09/11/15	J		
81. IYW-iS US Technology	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
82.					Sold (part)	06/02/15	J	A	
83.					Sold (part)	06/02/15	J	A	
84.					Sold (part)	09/11/15	J	A	
85. QAI-IQ Hedge Multi-Strat	A	Dividend	J	T	Sold (part)	04/14/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	06/02/15	J	A	
87.					Sold (part)	06/09/15	J		
88.					Sold (part)	09/11/15	J		
89. SPY-SPDR S&P 500	A	Dividend	K	T	Sold (part)	04/04/15	J	A	
90.					Sold (part)	06/02/15	J	A	
91.					Sold (part)	06/09/15	J	A	
92.					Sold (part)	09/11/15	J		
93. VEA-VG FTSE Develop MKTS (X)	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
94.					Sold (part)	06/02/15	J	A	
95.					Sold (part)	06/09/15	J	A	
96. VGK-VG European MSCI	A	Dividend			Sold	04/14/15	J	A	
97. WDTI-WT MGD Futures		None	J	T	Sold (part)	04/14/15	J	A	
98.					Sold (part)	06/02/15	J	A	
99.					Sold (part)	06/09/15	J	A	
100.					Sold (part)	09/11/15	J		
101. XLV-Health Care SPDR	A	Dividend	J	T	Sold (part)	04/14/15	J	A	
102.					Sold (part)	06/02/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	06/09/15	J	A	
104.					Sold (part)	09/11/15	J	A	
105. Morgan Stanley Smith Barney IRA Account	B	Interest	K	T					
106. Citi Bank Deposit - Cash	A	Interest	J	T					
107. -Blackrock Global Energy and Resources	A	Dividend			Sold	11/10/15	J		
108. -DTE Energy	A	Dividend	J	T					
109. - Ebay		None	J	T					
110. Pay Pal (X)		None	J	T	Spinoff (from line 109)	07/11/15	J		
111. QQQ-NASDAQ 100 ETF (X)	A	Dividend	J	T	Buy	11/10/15	J		
112. -Templeton Emerg Markets Fund	A	Dividend	J	T					
113. (H) Morgan Stanley Smith Barney Brokerage Account	A	Interest	J	T					
114. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
115. Stamp Collection		None	N	T					
116. Residential Property, Washington, DC	D	Rent	N	W					
117. Residential Property, Ft Lauderdale Fla (X)	D	Rent	O	W					
118. -Marshall Prime Money Market CLI	A	Interest	K	T					
119. The Carbon Project		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. IBM (see part 8)					Sold	06/24/14	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All shares of IBM were sold on 06/24/14 with a value code of K and E.and the information was inadvertently omitted.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marian B. Horn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544