

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Horn, Marian B.	2. Court or Organization United States Court of Federal Claims	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 717 Madison Place NW Washington, DC 20005		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	George Washington University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	GW Univ. Law School - Teaching	\$20,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	DTE Engergy Co. - Pension
2. 2011	Patton Boggs LLP - Salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. United States Court of Federal Claims	October 16-19, 2011	Berkley, CA	Circuit judicial conference	Meals, hotel, transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa and Master Card Loans	Credit Card Loans	K
2.	Wells Fargo	Partnership Loan	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney Wash DC IRA Account	C	Int./Div.	O	T					
2. Abbott	A	Dividend	K	T					
3. Alcoa	A	Dividend	J	T					
4. Berkshire Hathaway Inc. Del CLB		None	K	T					
5. Cisco Sys		None	J	T					
6. Dine Equity		None	K	T					
7. Ebay		None	K	T					
8. Ford		None	K	T					
9. General Electric	A	Dividend	J	T					
10. Google		None	L	T					
11. Hospira		None	J	T					
12. Intel	A	Dividend	J	T					
13. Interdigital	A	Dividend	L	T					
14. IBM	B	Dividend	L	T					
15. JP Morgan	A	Dividend	J	T					
16. Location Base Tech		None	J	T					
17. Merck	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Norfolk So.	A	Dividend	J	T					
19. Pfizer	A	Dividend	J	T					
20. Time Warner	A	Dividend	J	T					
21. Time Warner Cable		None	J	T					
22. Travelers Co.	A	Dividend	J	T					
23. Wells Fargo	A	Dividend	K	T					
24. Cohen & Steers Quality Income and Realty Fund	A	Dividend	J	T					
25. SPR Gold Tr.		None	J	T					
26. Highmark NYSE ARCA Tech Index Fund		None	K	T					
27. Highmark Large Cap Growth Fund		None	K	T					
28. Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
29. 2nd Smith Barney IRA Account	A	Int./Div.	K	T					
30. DTE Energy	A	Dividend	J	T					
31. Ebay	A	Dividend	J	T					
32. Black Rock Global Energy Tr.	A	Dividend	J	T					
33. Templeton Emerg Markets Fund	A	Dividend	J	T					
34. Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. [REDACTED] Morgan Stanley Smith Barney	A	Int./Div.	K	T					
36. Group Cap-1	A	Int./Div.	K	T					
37. [REDACTED] M&T Bank	A	Interest	J	T					
38. Stamp Collection		None	N	T					
39. Residential Property, Washington, DC	D	Rent	N	W					
40. Patton Boggs 401k	C	Int./Div.	M	T	Buy	09/23/11	K		
41. Berkshire Hathaway Inc. Del CLB		None	M	T					
42. M&I Stable PPL fund	A	Interest	J	T					
43. Marshall Prime Money Market CLI	A	Interest	K	T					
44. The Carbon Proj.		None	K	W					
45. Marshall Prime Money Market CLI	A	Interest	K	T	Sold (part)	01/10/11	K		
46. Marshall Prime Money Market CLI	A	Interest	K	T	Sold (part)	05/09/11	K		
47. M&I Stable PPL fund	A	Interest	J	T	Sold (part)	06/14/11	J		
48. Marshall Prime Money Market CLI	A	Interest	K	T	Buy	9/15/11	K		
49.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following assets: Century Tel Inc., Citi Group, FNMA, Level 3 Communication, Mechanical Technology, Natural Solution, Nokia, Nortel Networks, PSA, Inc., Plug Power, and Sprint Nextel were either bought, sold or retained during 2011. This report does not make reference to them because at the time of the transaction or if they were held, their values were lower than the threshold requiring that they be reported seperately.

The assets on lines 35-37 were created for the benefit of [REDACTED] in the event of her incapacity. We do not derive income from them. [REDACTED]
[REDACTED]. This was done as a convenience for her. The value of the residence is N and then Value method code is T.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marian B. Horn,**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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