

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Williams, Mary Ellen C.	2. Court or Organization US Court of Federal Claims	3. Date of Report 10/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 717 Madison Place, N.W. Suite 612 Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Content Advisory Board	ABA Section of Intellectual Property Law
2. Council Member, Section Delegate	ABA Section of Public Contract Law
3. Member - Board of Visitors	Duke University School of Law
4. Adjunct Professor	American University Washington College of Law
5. Adjunct Professor	Johns Hopkins University

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	American University Washington College of Law	\$6,900.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed - Financial Advisor
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ABA and Administrative Office of the U.S. Courts	2/3/2017-2/6/2017	Miami, Florida	ABA Mid-Year Meeting	Lodging/Transportation/Food
2.	ABA	3/18/2017	Annapolis, MD	ABA Federal Procurement Institute	Transportation
3.	New York Intellectual Property Law Association	3/30/2017-4/1/2017	New York, NY	NYIPLA Annual Judges' Dinner	Lodging/Transportation
4.	Duke University School of Law	4/6/2017-4/8/2017	Durham, NC	Board of Visitors Meeting	Lodging/Transportation
5.	ABA	8/10/2017-8/15/2017	New York, NY	ABA Annual Meeting	Lodging/Transportation

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6.	ABA	10/30/2017	Chicago, IL	ABA Intellectual Property Law Fall Meeting	Transportation
7.	ABA	11/3/2017-11/4/2017	Louisville, KY	ABA Public Contract Law Fall Meeting	Lodging/Transportation
8.					
9.					
10.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Time Warner Common Stock (TWX)	A	Dividend	J	T					
2. Time Inc. New (TIME)	A	Dividend	J	T					
3. Abbott Lab Common Stock (ABVIE)	A	Dividend	J	T	Sold (part)	11/16/17	J		
4. Abbott Laboratories (ABT)	A	Dividend	J	T					
5. Wells Fargo Checking	A	Interest	K	T					
6. Riversource Variable Universal Life Ins. (H)									
7. -Fixed Account		None	J	T					
8. -VP Aggressive Class 4		None	L	T					
9. Riversource Variable Universal Life Ins. (H)									
10. -Fixed Account		None	J	T					
11. -VP Mod Aggressive Class 4		None	M	T					
12. Wells Fargo (MM)	A	Interest	L	T					
13. Wells Fargo Checking	A	Interest	K	T					
14. Fidelity Adv. Level Co. Stock-A (FLSAX)	A	Dividend	K	T					
15. Fidelity Adv. Stock Sel. Cap Cl. A (FMAMX)	A	Dividend	L	T					
16. Fidelity Adv. Diverse Int'l-A (FDVAX)	A	Dividend	J	T					
17. Ameriprise MM	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Ameriprise Cash	A	Interest	J	T					
19. Pimco Income Cl. A (PONAX)	D	Dividend	M	T					
20. Ameriprise (AMP)	B	Dividend	L	T					
21. Nuveen Core Div Cl. A (NCDAX)	A	Dividend	K	T					
22. Catalyst Insider Buying CLA (INSAX)	A	Dividend	K	T	Sold	04/04/17	K	A	
23. iShares MBS ETF (MBB)	A	Dividend	K	T					
24. Vanguard High Dividend Yield ETF (VYM)	C	Dividend	M	T					
25. Vanguard Info Tech ETF (VGT)	B	Dividend	M	T					
26. Vanguard Telecom Services (ETF) (VOX)	C	Dividend	L	T					
27. Abbvie Inc (ABBV)	B	Dividend	K	T					
28. Altria Group Inc (MO)	B	Dividend	K	T					
29. BCE Inc New (BCE)	B	Dividend	K	T					
30. FT NYSE ARCA Biotech ETF (FBT)		None	L	T					
31. General Electric Company (GE)	B	Dividend			Sold	07/03/17	K	B	
32. Johnson & Johnson (JNJ)	A	Dividend	K	T					
33. Kimberly Clark Corp (KMB)	A	Dividend			Sold	01/03/17	K	A	
34. Merck & Company Inc New (MRK)	A	Dividend	K	T					

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35. National Grid PLC ADR (NGG)		None			Sold	01/03/17	K		
36. Paychex Inc (PAYX)	A	Dividend	K	T					
37. PWRSH QQQ ETF (QQQ)	A	Dividend	L	T					
38. Realty Income Corp (O)		None			Buy (add'l)	01/03/17	J		
39. Realty Income Corp (O)		None			Sold	10/02/17	K	A	
40. Dominion Res Inc VA New (D)	A	Dividend	K	T					
41. FT NYSE ARCA Biotech ETF (FBT)	A	None	L	T					
42. GlaxoSmithKline PLC ADR (GSK)	A	Dividend	K	T					
43. Maxim Integrated Prods (MXIM)	A	Dividend	K	T	Sold (part)	01/03/17	J		
44. Nucor Corp (NUE)	D	Dividend			Sold	01/03/17	K		
45. Hlthcr Sel Sect SPDR ETF (XLV)	A	None	J	T					
46. United Bankshares Inc WV (UBSI)	A	Dividend	K	T	Sold (part)	01/03/17	J		
47. Clorox Company (CLX)	A	Dividend	K	T	Buy (add'l)	01/03/17	J		
48. Cracker Barrel Old Ctry (CBRL)	A	None	K	T					
49. Target Corp (TGT)	A	Dividend			Sold	04/04/17	K	A	
50. Lockheed Martin (LMT)	D	Dividend			Sold	10/02/17	K	D	
51. Cisco Systems (CSCO)	A	Dividend	K	T					

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52. Glaxo SmithKline PLC (GSK)	A	Dividend			Sold	04/04/17	K		
53. Microsoft (MSFT)	A	Dividend	K	T	Sold (part)	01/03/17	J		
54. Simon Property Group (SPG)	A	Dividend	K	T	Buy (add'l)	01/03/17	J		
55. Southern Co (SO)	A	Dividend	K	T					
56. United Bancshares Inc WV (UBSI)	A	Dividend			Sold	01/03/17	J		
57. Vanguard High Div Yield ETF (VYM)	B	Dividend	K	T					
58. Vanguard Info Tech ETF (VGT)	A	Dividend	K	T					
59. Vanguard Telecom (VOX)	B	Dividend	K	T					
60. Verizon Communications (VZ)	A	Dividend	K	T					
61. Metlife (MET)/Brighthouse	A	Dividend	K	T	Sold (part)	01/03/17	J		
62. Williams Family Rev Trust									
63. - Cash Ameriprise MM	A	Interest	L	T					
64. - Eaton Vance Floating Rate CL A (EVLX)	A	Dividend	K	T					
65. - Eaton Vance Short Duration Govt Income CL A (EALDX)	A	Dividend	J	T					
66. - Eaton Vance Global Macro Absolute Return Advntg CL A (EGRAX)	A	Dividend	K	T					
67. - Federated High Income Bond CL A (FHIIX)	A	Dividend	J	T					
68. - T Rowe Price Maryland Tax Free Bond (MDXBX)	D	Interest	N	T	Sold (part)	06/27/17	K	A	

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69. - Medtronic PLC (MDT)	A	Dividend	J	T					
70. - Alphabet Inc CL C (GOOG)	A	Dividend	J	T					
71. - Alphabet Inc CL A (GOOGL)	A	Dividend	J	T					
72. - Amazon.Com Inc (AMZN)	A	Dividend	K	T					
73. - Ameriprise Financial Inc (AMP)	A	Dividend	J	T					
74. - Apple Inc (AAPL)	A	Dividend	K	T					
75. - Celgene Corp (CELG)	A	Dividend	J	T					
76. - Cisco Systems Inc. (CSCO)	A	Dividend	J	T					
77. - Coca-Cola Company (KO)	A	Dividend	J	T					
78. - Colgate-Palmolive Company (CL)	A	Dividend	J	T					
79. - Comcast Corp CL A New (CMCSA)	A	Dividend	J	T					
80. - Conocophillips (COP)	A	Dividend	J	T					
81. - Walt Disney Co (DIS)	A	Dividend	J	T					
82. - Exxon Mobil Corp (XOM)	A	Dividend	J	T					
83. - Gilead Sciences Inc. (GILD)	A	Dividend	J	T					
84. - Intel Corp (INTC)	A	Dividend	J	T					
85. - Johnson & Johnson (JNJ)	A	Dividend	J	T					

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86. - Mastercard Inc CL A (MA)	A	Dividend	J	T					
87. - McDonalds Corp (MCD)	A	Dividend	J	T					
88. - Merck & Company Inc New (MRK)	A	Dividend	J	T					
89. - Metlife Inc. (MET)	A	Dividend	J	T					
90. - Netflix Inc. (NFLX)	A	Dividend	J	T					
91. - Oracle Corp (ORCL)	A	Dividend	J	T					
92. - PNC Financial Services Group Inc. (PNC)	A	Dividend	J	T					
93. - Procter & Gamble Company (PG)	A	Dividend	J	T					
94. - Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	K	T					
95. - Sector Energy Select Sector SPDR ETF (XLE)	A	Dividend	J	T					
96. - Sector Financial Select Sector SPDR ETF (XLF)	A	Dividend	J	T					
97. - Sector Industrial Select Sector SPDR ETF (XLI)	A	Dividend	K	T					
98. - Select Sector TR Real Estate SPDR ETF (XLRE)	A	Dividend	J	T					
99. - Starbucks Corp (SBUX)	A	Dividend	J	T					
100. - Under Armour Inc CL A (UAA)		None	J	T					
101. - Under Armour Inc CL C (UA)		None	J	T					
102. - United Technologies Corp (UTX)	A	Dividend	J	T					

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103. - Verizon Communications Inc. (VZ)	A	Dividend	J	T					
104. - Walgreens Boots Alliance Inc. (WBA)	A	Dividend	J	T					
105. GTW/ACW Irrev Trust FBO JTW	A	Interest	N	T					
106. GTW/ACW Irrev Trust FBO JAW	A	Interest	N	T					
107. Fidelity Advisor Strategic Income CL 1 (FSRIX) (X)	B	Dividend	K	T	Buy	10/02/17	J		
108. Amgen, Inc (AMGN) (X)	A	Dividend	K	T	Buy	04/04/17	K		
109. Archer Daniels Midland Company (ADM) (X)	A	Dividend	K	T	Buy	01/03/17	K		
110. Carnival Corp Paired (CCL) (X)	A	Dividend	K	T	Buy	01/05/17	K		
111. Nuveen Large Cap Value CL A (NNGAX) (Y)	B		K	T					
112. iShares Core S&P 500 ETF (IVV) (X)	A	Dividend	L	T	Buy	04/04/17	K		
113. Dow Chemical Company (DOW) (X)	A	Dividend	K	T	Buy	01/03/17	K		
114. Home Depot Inc (HD) (X)	A	Dividend	K	T	Buy	07/03/17	K		
115. Intel Corp (INTC) (X)	A	Dividend	K	T	Buy	10/02/17	K		
116. Intl Business Machines Corp (IBM) (X)	A	Dividend	K	T	Buy	04/04/17	K		
117. JPMorgan Chase & Company (JPM) (X)	A	Dividend	K	T	Buy	07/03/17	K		
118. Public Storage (PSA) (X)	A	Dividend	K	T	Buy	10/02/17	K		
119. Fidelity Advisor Strategic Income CL A (FSTAX) (X)		None	K	T	Buy	01/03/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Williams, Mary Ellen C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. National Grid PLC ADR (NGG)		None			Redeemed	01/12/17	J		
121. United Bankshares Inc WV (UBSI)					Sold (part)	07/03/17	J	A	
122. United Bankshares Inc WV (UBSI)					Sold (part)	07/03/17	J	A	
123. Charter Communications Inc New CL A (CHTR) (Y)			J	T					
124.									
125.									
126.									
127.									
128.									
129.									
130.									
131.									
132.									
133.									
134.									
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Line 61 - Brighthouse was a partial spinoff of Metlife in 2017.

Part VII Line 110 - Nuveen Large Cap Value CL A was inadvertently omitted from last year's report.

Part VII Line 123 - Charter Communications Inc New CL A was inadvertently omitted from last year's report.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary Ellen C. Willams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544