

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HUG, JR., PROCTER R.	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 10/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Bruce Thompson U.S. Courthouse 400 S. Virginia St., Suite 708 Reno, Nevada 89501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

HUG, JR., PROCTER R.

Date of Report

10/30/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

HUG, JR., PROCTER R.

Date of Report

10/30/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

HUG, JR., PROCTER R.

Date of Report

10/30/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Storey County Properties Partnership	F	Rent	O	W					
2. West Coast Development Partnership	D	Interest	L	W					
3. Brooktree Partners, Reno, Nevada	C	Rent	K	W					
4. Flowers Escrow Real Estate Mortgage	A	Interest	N	T					
5. Wachovia M/M Fund now Wells Fargo Advisors	B	Interest	M	T					
6. Wells Fargo M/M Fund	E	Interest	O	T					
7. Morgan Stanley Dean Witter Liquid Asset	A	Interest	J	T					
8. Bank of America	A	Interest	M	T					
9. Wells Fargo Bank	A	Interest	K	T					
10. ALCOA, Inc.	A	Dividend			Sold	03/12/13	K		
11. Abbott Labs	A	Dividend	K	T					
12. Alliance Income	A	Dividend	K	T					
13. Allstate Corp.	A	Dividend	J	T					
14. Athenhealth, Inc.	A	Dividend	J	T					
15. Barrick Gold Corp	A	Dividend			Sold	05/10/13	K		
16. Berkshire Hathaway, Inc.		None	L	T					
17. BOEING CO.	A	Dividend	K	T	Buy	01/25/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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HUG, JR., PROCTER R.

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Canadian Nat. Resources	A	Dividend	K	T					
19. Calamos Invst. Tr.	A	Dividend	J	T					
20. Citigroup, Inc.	A	Dividend	K	T					
21. Conocophillips	A	Dividend			Sold	10/24/13	K		
22. DTF Tax-Free Income, Inc.	A	Dividend	J	T	Buy	11/26/13	J		
23. Dell, Inc.	A	Dividend			Sold	10/24/13	K		
24. Du Pont De Nemours & Co.	A	Dividend	K	T					
25. Eaton Vance	A	Dividend	K	T					
26. Edwards Lifesciences Corp.	A	Dividend	K	T	Buy	05/10/13	K		
27. Elan Corp.	A	Dividend	J	T					
28. Eniana	A	Dividend	J	T					
29. General Electric Co.	A	Dividend	J	T					
30. Glaxco	A	Dividend	J	T					
31. Hershey Company	A	Dividend	K	T					
32. IDACORP, Inc.	A	Dividend			Sold	01/25/13	J		
33. Intel Corp.	A	Dividend	K	T					
34. JC Pennys Co., Inc.	A	Dividend			Sold	11/26/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. Janus Fund	A	Interest	J	T					
36. Janus Twentieth Century	A	Interest	J	T					
37. Janus Venture	A	Interest	J	T					
38. Kraft Foods, Inc.	A	Dividend	K	T					
39. Merck & Co., Inc.	A	Dividend	K	T					
40. Nuveen Multi-Strategy Income	A	Dividend			Sold	12/30/13	K		
41. PNC Financial Services Group	A	Dividend	K	T					
42. Pall Corp.	A	Dividend			Sold	01/25/13	J		
43. Palo Alto Networks, Inc.	A	Dividend	K	T	Buy	10/24/13	K		
44. Pepsico, Inc.	A	Dividend	J	T					
45. Pfizer, Inc.	A	Dividend	K	T					
46. Royal Dutch Shell PLC	A	Dividend			Sold	10/24/13	K		
47. Charles Schwab Corp.	A	Dividend			Sold	02/07/13	K		
48. Smith & Nephew PLC	A	Dividend	K	T					
49. Sprint Nextel Co.	A	Dividend			Sold	10/02/13	L		
50. 3M Company	A	Dividend			Sold	11/26/13	J		
51. United Parcel SVC, Inc.	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

HUG, JR., PROCTER R.

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52. United States 12 Month Nat.	A	Dividend	K	T					
53. Wells Fargo Stock	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

HUG, JR., PROCTER R.

Date of Report

10/30/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

HUG, JR., PROCTER R.

Date of Report

10/30/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ PROCTER R. HUG, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544