

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hammond, Mary E.	2. Court or Organization US BANKRUPTCY COURT - NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 09/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE (FULL-TIME)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 280 S. FIRST ST COURTROOM 3035 SAN JOSE, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Hammond, Mary E.

Date of Report

09/12/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	SALARY AT APPLE, INC
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NATIONAL ASSOCIATION OF CONSUMER BANKRUPTCY ATTORNEYS	05/21/2016 - 05/21/2016	SAN FRANCISCO, CA	ACTIVITY OF PROFESSIONAL ASSOCIATION OR CIVIC ORGANIZATION	TRANSPORTATION
2. AMERICAN BANKRUPTCY INSTITUTE	09/08/2016 - 09/10/2016	LAS VEGAS, NV	ACTIVITY OF PROFESSIONAL ASSOCIATION OR CIVIC	TRANSPORTATION, LODGING, AND MEALS
3. NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	10/26/2016 - 10/28/2016	SAN FRANCISCO, CA	EDUCATIONAL SEMINAR OR PROGRAM	TRANSPORTATION, LODGING, AND MEALS
4.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting	Date of Report
Hammond, Mary E.	09/12/2017

5. _____

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Hammond, Mary E.

Date of Report

09/12/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Hammond, Mary E.

Date of Report

09/12/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. WELLS FARGO CHECKING ACCOUNT	A	Interest	K	T					
2. WELLS FARGO SAVINGS ACCOUNT	A	Interest	K	T					
3. ALLY BANK SAVINGS ACCOUNT	A	Interest	K	T	Open	01/01/16	J		
4. FIDELITY TRUST ACCOUNT # (H)									
5. FIDELITY MUNICIPAL MONEY MARKET	A	Dividend	J	T	Buy	10/01/16	J		
6. AAPL (COMMON)	D	Dividend	N	T					
7. 401K #1 (H)									
8. VANGUARD WINDSOR II ADM	A	Dividend			Sold	09/27/16	J		
9. FIDELITY CONTRAFUND	A	Dividend			Sold	09/27/16	J		
10. NUVEEN NWQ SMALL CAP VALUE I	A	Dividend			Sold	09/27/16	J		
11. VANGUARD EXTENDED MARKET INDEX INSTLPLUS	A	Dividend			Sold	09/27/16	J		
12. DODGE & COX INTERNATIONAL STOCK	A	Dividend			Sold	09/27/16	J		
13. WELLS FARGO DISCOVERY INSTL	A	Dividend			Sold	09/27/16	J		
14. VANGUARD TOTAL INTERNATIONAL STOCK INDEX INSTUTIONAL	B	Dividend			Sold	09/27/16	J		
15. VOYA CLARION GLOBAL REAL ESTATE	A	Dividend			Sold	09/27/16	J		
16. VANGUARD EMPLOYEE BENEFIT INDEX - CLIENT A	A	Dividend			Sold	09/27/16	J		
17. IRA #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Hammond, Mary E.

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09/12/2017

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
19. COLUMBIA ACORN CLASS Z	A	Dividend	J	T					
20. FIDELITY CANADA	A	Dividend	J	T					
21. MERIDIAN CONTRARIAN FUND LEGACY	A	Dividend	J	T					
22. MATTHEWS INDIA FUND	A	Dividend	J	T					
23. IRA #2 (H)									
24. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
25. DISNEY WALT CO	A	Dividend	J	T					
26. FIDELITY REAL ESTATE INVESTMENT	A	Dividend	K	T					
27. RBC MICROCAP VALUE FUND CLASS S	A	Dividend	K	T					
28. IRA #3 (H)									
29. FIDELITY CANADA	A	Dividend	J	T	Buy	12/01/16	J		
30. FIDELITY 500 INDEX PREMIUM CLASS	A	Dividend	M	T	Buy	10/01/16	K		
31. FIDELITY MID CAP STOCK	A	Dividend	K	T	Buy	10/01/16	K		
32. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
33. FIDELITY STOCK SELECTOR LARGE CAP VALUE	A	Dividend	L	T					
34. FIDELITY MID CAP VALUE	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Hammond, Mary E.

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35. FIDELITY MAGELLAN	A	Dividend	J	T					
36. FIDELITY LOW PRICED STOCK	A	Dividend	L	T					
37. FMI INTERNATIONAL	B	Dividend	L	T	Buy	10/01/16	K		
38. PERKINS SMALL CAP VALUE CLASS T	A	Dividend	L	T					
39. MATTHEWS INDIA FUND	A	Dividend	J	T	Buy	11/01/16	J		
40. FIDELITY TOTAL BOND	A	Dividend	K	T	Buy	11/01/16	K		
41. FIDELITY STRATEGIC INCOME	A	Dividend	J	T	Buy	11/01/16	K		
42. FIDELITY MID CAP STOCK	A	Dividend	K	T	Buy	10/01/16	K		
43. FIDELITY REAL ESTATE INVESTMENT	A	Dividend	K	T	Buy	11/01/16	K		
44. DREYFUS SMALL CAP STOCK INDEX	A	Dividend	K	T	Buy	12/01/16	K		
45. IRA #4 (H)									
46. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
47. DODGE & COX STOCK	A	Dividend	K	T					
48. DREYFUS SMALL CAP STOCK INDEX	A	Dividend	K	T					
49. FIDELITY DIVERSIFIED INTERNATIONAL	A	Dividend	J	T					
50. FIDELITY MID CAP STOCK	A	Dividend	J	T					
51. FIDELITY VALUE	A	Dividend	K	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Hammond, Mary E.

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52. MERIDIAN CONTRARIAN FUND LEGACY	A	Dividend	J	T					
53. FIDELITY CONTRAFUND	A	Dividend	L	T					
54. FIDELITY STRATEGIC DIVIDEND & INCOME	B	Dividend	L	T					
55. FIDELITY STRATEGIC INCOME	B	Dividend	K	T					
56. IRA #5 (H)									
57. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
58. FIDELITY DIVERSIFIED INTERNATIONAL	A	Dividend	J	T					
59. IRA #6 (H)									
60. NOVO NORDISK A/S ADR	A	Dividend	K	T					
61. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
62. LINCOLN BENEFIT WHOLE FLEXIBLE PREMIUM ADJUSTABLE INDEXED UNIVERSAL-#1	C	Interest	M	T					
63. LINCOLN BENEFIT WHOLE FLEXIBLE PREMIUM ADJUSTABLE INDEXED UNIVERSAL-#2	B	Interest	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Hammond, Mary E.

Date of Report

09/12/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Hammond, Mary E.

Date of Report

09/12/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary E. Hammond

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544