

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lettow, Charles F.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 05/02/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Ct. of Fed. Claims Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address National Courts Building 717 Madison Place, N.W. Washington, DC 20005		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President and Director	Busy Way Farms, Inc. (Subchapter S farm entity wholly owned by family members; position is not compensated)
2. Partner	Homestead Partnership (small farm entity wholly owned by family members; position is not compensated)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1/1/1993	Cleary, Gottlieb, Steen & Hamilton retirement plan with former law firm, no control
2.	(NOTE: An opinion from the Committee on Codes of Conduct dated June 13, 2003, considers that receipt of the retirement annuity provided under this plan accords
3.	with the Code of Conduct for United States Judges.)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Cleary, Gottlieb, Steen & Hamilton (retirement annuity)	\$739,246.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Busy Way Farms, Inc.	July 11-17	Iowa Falls, IA and Charleston, IL	trips to farms & meeting	transportation, meals & lodging
2. Busy Way Farms, Inc.	Sept. 22-24	Iowa Falls, IA	trip to farms	transportation, meals & lodging
3. William Mitchell Law School	Sept. 25-26	St. Paul, MN	program	lodging, meals and local transportation
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401(k) # 1	A	Int./Div.			Distributed	03/01/11	L		See Part VIII
2. -Fidelity Worldwide Fd.					Sold	02/04/11	K		
3. -Fidelity Equity Income Fd.					Sold	02/04/11	K	C	
4. IRA # 1	B	Int./Div.	M	T					See Part VIII
5. -Citibank Dep. Prog.									
6. -AEE									
7. -BGS									
8. -CAT					Buy	03/14/11	J		
9. -CL					Buy	03/14/11	K		
10. -MWV					Buy	03/14/11	K		
11. -SJM									
12. Brokerage Act. # 1									
13. -Citibank Dep. Program	A	Interest	K	T					
14. -COF	A	Dividend	K	T					
15. -COV	A	Dividend	J	T					
16. -CL	B	Dividend	L	T					
17. -EP	A	Dividend	K	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -BBT	B	Dividend	K	T					
19. FTR	A	Dividend	J	T					
20. -GIS	C	Dividend	M	T					
21. -ING		None	J	T					
22. -MRK	A	Dividend	K	T					
23. -MMM (3M)	B	Dividend	L	T					
24. -NOK	A	Dividend	J	T					
25. -TEL	A	Dividend	J	T					
26. -TYC	A	Dividend	J	T	Sold (part)	12/19/11	J		
27. -VZ	A	Dividend	J	T					
28. -WFC	A	Dividend	K	T					
29. -JNJ	B	Dividend	K	T					
30. 401(k) # 2	A	Int./Div.			Distributed	02/15/11	P1		See Part VIII
31. -MFS Value R3 (name change)					Sold	02/04/11	L	D	
32. -Fidelity Growth Co. K					Sold	02/04/11	N		
33. -Fidelity Worldwide Fund					Sold	02/04/11	N	F	
34. -SIT Mid Cap Growth Fund					Sold	02/04/11	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Spartan US Eq Index Fund					Sold	02/04/11	N		
36. -Fid Asset Mgr.					Sold	02/04/11	N	E	
37. -Inv. Cont. Fd.					Sold	02/09/11	P1		
38. IRA # 2	F	Int./Div.	P1	T					See Part VIII
39. -Citibank Dep. Program									
40. -BBT					Buy	03/09/11	K		
41.					Buy	03/31/11	K		
42.					Buy	05/18/11	L		
43. -BGS									
44. -CAT					Buy	03/09/11	K		
45.					Buy	04/18/11	K		
46. -CVX									
47. -CL					Buy	03/09/11	K		
48.					Buy	03/31/11	K		
49.					Buy	05/18/11	L		
50. -CPO									
51. -GE					Buy	03/09/11	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy	05/18/11	K		
53. -MWV					Buy	03/09/11	K		
54.					Buy	03/31/11	L		
55.					Buy	04/18/11	K		
56.					Buy	05/18/11	L		
57.					Buy	08/30/11	K		
58. -PKG					Buy	03/09/11	M		
59. -PX					Buy	03/09/11	L		
60.					Buy	04/18/11	M		
61. -SJM					Buy	03/09/11	K		
62.					Buy	03/31/11	K		
63.					Buy	04/18/11	K		
64.					Buy	08/30/11	M		
65. -XOM					Buy	03/09/11	K		
66.					Buy	05/18/11	K		
67. -Legg Mason Equity Income Builder (SOPAX)									
68. -Legg Mason Large Cap Growth Fund (SBLGX)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -U.S. Treasury Bonds					Redeemed (part)	11/15/11	K		
70. Brokerage Act. # 2									
71. -Morgan Stanley Tax-Free Daily Income Trust (name change)	A	Dividend	J	T					
72. -AEE	D	Dividend	M	T	Sold (part)	12/22/11	K	D	
73. -COF	C	Dividend	O	T					
74. WFC	B	Dividend	L	T	Sold (part)	11/03/11	J		
75. -MWV	B	Dividend	K	T	Sold (part)	11/03/11	K	D	
76. -PKG	D	Dividend	M	T					
77. -PX	D	Dividend	N	T					
78. -SJM	D	Dividend	M	T	Sold (part)	11/03/11	K	D	
79. Brokerage Act. # 3									
80. -Citibank Dep. Program	A	Interest	J	T					
81. -FITB	A	Dividend	K	T					
82. -MWV	A	Dividend	J	T	Buy	04/18/11	J		
83. -PX	A	Dividend	J	T	Buy	04/18/11	J		
84. Brokerage Act. # 4									
85. -ML Bank Dep. Program	A	Interest	K	T					

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86. -LNT	B	Dividend	J	T	Sold (part)	12/22/11	K	E	
87. -BPT	C	Royalty	L	T					
88. -CL	D	Dividend	O	T					
89. -XOM	D	Dividend	N	T					
90. -EP	A	Dividend	O	T					
91. -BBT	D	Dividend	N	T					
92. -ING		None	K	T					
93. Brokerage Act. # 5									
94. -PEP	D	Dividend	M	T					
95. -QEP	B	Dividend	N	T					
96. -STR	D	Dividend	N	T					
97. -USAA Money Mkt. Fund	A	Dividend	J	T					
98. USAA Money Mkt. Fund	A	Dividend	L	T					
99. USAA VA Money Market Fund	A	Dividend	J	T					
100. Mass Mutual Whole Life	A	Distribution	J	U					
101. Thrivent Whole Life	A	Distribution	J	U					
102. USAA Whole Life 1	B	Distribution	K	U					

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103. USAA Whole Life 2	B	Distribution	K	U					
104. Pacific Life Variable (Fixed LT Account)	E	Distribution	O	U	Buy	04/25/11	L		
105. USAA Subsc. Sav. Act.	A	Distribution	J	U					
106. Busy Way Farms	E	Int./Div.	O	U					
107. BB&T bank act	A	Interest	J	T					
108. Homestead Partnership	C	Distribution	K	U					
109. Stoneham Partners	A	Interest	P2	U	Buy	04/22/11	K		
110.					Buy	05/23/11	L		
111.					Buy	09/19/11	M		
112.					Buy	10/17/11	L		
113.					Buy	11/08/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* Regarding line 1 of Part VII, the assets in 401(k) # 1 were sold (see lines 2 and 3), and the resulting cash in the account was rolled over into IRA # 1 on 03/01/11. Securities were then purchased in IRA # 1, as shown on lines 8, 9, and 10.

* Regarding line 30 of Part VII, the assets in 401(k) # 2 were sold (see lines 31, 32, 33, 34, 35, 36, and 37), and the resulting cash in the account was rolled over into IRA # 2 on 02/15/11. Securities were then purchased in IRA # 2, as shown on lines 40, 41, 42, 44, 45, 47, 48, 49, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, and 66.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles F. Lettow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544