

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moses, Barbara	2. Court or Organization United States District Court	3. Date of Report 06/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 05/29/2016
7. Chambers or Office Address United States District Court 500 Pearl Street, Room 920 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Visiting Clinical Professor	Seton Hall University School of Law
2. Counsel	Morvillo Abramowitz Grand Iason & Anello P.C.
3. Past President, Director	New York County Lawyers' Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 7/1/2011	Employment Agreement with Morvillo Abramowitz firm, contains provision re post-termination fees
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Seton Hall University School of Law - Visiting Clinical Professor	\$60,496.71
2. 2015	Morvillo Abramowitz - Counsel	\$16,802.89
3. 2014	Seton Hall University School of Law - Visiting Clinical Professor	\$92,206.40
4. 2014	Morvillo Abramowitz - Counsel	\$5,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed - consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T Common Stock	B	Dividend	K	T	Exempt				
2. Berkshire Hathaway Class A Common Stock		None	P2	T	Exempt				
3. Cisco Systems Common Stock	A	Dividend	J	T	Exempt				
4. Citigroup Common Stock	A	Dividend	K	T	Exempt				
5. Crimson Wine Group Common Stock		None	J	T	Exempt				
6. Doubleline Core Fixed Income Mutual Fund	D	Dividend	M	T	Exempt				
7. Goldman Sachs Muni Income Fund A Mutual Fund	C	Dividend	L	T	Exempt				
8. Ironwood Pharmaceuticals Class A Common Stock		None	P1	T	Exempt				
9. iShares iBoxx Investment Grade Corporate Bond ETF	C	Dividend	M	T	Exempt				
10. iShares MSCI EAFE ETF	C	Dividend	M	T	Exempt				
11. JM Smuckers Company Common Stock	B	Dividend	L	T	Exempt				
12. Leucadia National Corporation Common Stock	A	Dividend	K	T	Exempt				
13. Liberty Media Corporation Class A Common Stock		None	J	T	Exempt				
14. Liberty Media Corporation Class C Common Stock		None	J	T	Exempt				
15. Lowe's Companies Common Stock	A	Dividend	K	T	Exempt				
16. Matthews Asian Growth and Income Mutual Fund	C	Dividend	L	T	Exempt				
17. PowerShares Water Resources ETF	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Schwab Total Bond Market Mutual Fund	D	Dividend	M	T	Exempt				
19. The Southern Company Common Stock	A	Dividend			Exempt				
20. SPDR S&P 500 ETF	E	Dividend	O	T	Exempt				
21. T. Rowe Price International Stock Mutual Fund	A	Dividend	J	T	Exempt				
22. Templeton Global Bond Mutual Fund	D	Dividend	L	T	Exempt				
23. Templeton Global Income Mutual Fund	B	Dividend	J	T	Exempt				
24. Tootsie Roll Industries Common Stock	B	Dividend	K	T	Exempt				
25. Vanguard Extended Market ETF	B	Dividend	M	T	Exempt				
26. Vanguard Extended Market Mutual Fund	B	Dividend	L	T	Exempt				
27. Vanguard FTSE Emerging Markets ETF	B	Dividend	L	T	Exempt				
28. Vanguard High Dividend Yield ETF	D	Dividend	N	T	Exempt				
29. Vanguard Intermediate-Term Tax-Exempt Mutual Fund	E	Dividend	N	T	Exempt				
30. Vanguard Limited-Term Tax-Exempt Mutual Fund	B	Dividend	L	T	Exempt				
31. Vanguard Short-Term Investment Grade Mutual Fund	C	Dividend	M	T	Exempt				
32. Vanguard Total Bond Market ETF	D	Dividend	M	T	Exempt				
33. Vanguard Total International Bond ETF	B	Dividend	M	T	Exempt				
34. Vanguard Total International Stock ETF	D	Interest	M	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Schwab Government Securities Money Market		None	K	T	Exempt				
36. Goldman Sachs Bank Deposit Money Market	C	Interest	P1	T	Exempt				
37. US Treasury Note 4.5% 2/15/16 FA on the Run	A	Interest	J	T	Exempt				
38. NYC Transitional Finance Auth Rev 3% 11/1/18	B	Interest	K	T	Exempt				
39. Israel 6.05% 5/1/2013 3rd Jubilee Issue	A	Interest	J	T	Exempt				
40. iShares Dow Jones International Select Dividend ETF	C	Dividend			Exempt				
41. Vanguard Limited-Term Tax-Exempt Mutual Fund	A	Dividend			Exempt				
42. Coca-Cola Company Common Stock	A	Dividend			Exempt				
43. Time Inc Common Stock	A	Dividend			Exempt				
44. Time Warner Income Common Stock	A	Dividend			Exempt				
45. Procter & Gamble Common Stock	A	Dividend			Exempt				
46. BB&T Corporation Common Stock	A	Dividend			Exempt				
47. JPMorgan Chase Bank Account	A	Interest	M	T	Exempt				
48. Bank of America Account	A	Interest	M	T	Exempt				
49. Citibank (IRA) (CD)	A	Interest	J	T	Exempt				
50. Citibank (IRA) Money Market	A	Interest	L	T	Exempt				
51. 401(k) Plan #1 (H)									

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52. - Federated US Treasury Cash Reserves I Mutual Fund	A	Dividend	L	T	Exempt				
53. - PIMCO High Yield Admin Mutual Fund	A	Dividend	L	T	Exempt				
54. - Lazard International Strategic Equity Mutual Fund	A	Dividend	K	T	Exempt				
55. - Goldman Sachs Growth Opportunities A Mutual Fund	A	Dividend	L	T	Exempt				
56. T.Rowe Price 2020 Mutual Fund		None	M	T	Exempt				
57. 401(k) Plan #2 (H)									
58. - IBM Common Stock	A	Dividend	J	T	Exempt				
59. - Large Company Index Fund		None	J	T	Exempt				
60. - Interest Income Fund		None	J	T	Exempt				
61. New York 529 Accounts (H)									
62. - Moderate Age-Based Option: Conservative Growth Portfolio	B	Int./Div.	L	T	Exempt				
63. - Conservative Age-Based Option: Income Portfolio	A	Int./Div.	K	T	Exempt				
64. - Interest Accumulation Portfolio	A	Int./Div.	K	T	Exempt				
65. - Inflation-Protected Securities Portfolio	A	Int./Div.	K	T	Exempt				
66. Treasury Direct Savings Bonds	E	Interest	N	T	Exempt				
67. Orrick Investments 2000 LLC	A	Distribution	J	U	Exempt				
68. Orrick Investments 2001 LLC	B	Distribution	J	U	Exempt				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII (Investments and Trusts), the New York 529 Account statements do not provide income detail. Therefore, dividend yield information from a reputable data source was used and income was estimated for the reporting period on a best-efforts basis.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Barbara Moses**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544