

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Maughmer, John T.	2. Court or Organization U.S.D.C., W.D. MO	3. Date of Report 10/16/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Charles Evans Whittaker U.S. Courthouse 400 E. 9th St., Room 7662 Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Power of attorney #1	POA 1
2.	Power of attorney #3	POA 3
3.	Managing Member - Self	
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	10/1/12	- Self - Operating Agreement
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T, Inc.	A	Dividend	J	T					
2. MS Liquid Asset Fund	A	Dividend	J	T					
3. Farm House purchased 01/95 for \$76,000 in	B	Rent	M	R					
4. Vodaphone Group PLC Sponsor ADR	A	Dividend	J	T					
5. Verizon Communications	A	Dividend	J	T					
6. Northwestern Mutual Life Insurance Co. (whole life)	A	Dividend	J	T					
7. Eaton Vance Commodity Strategy Fund	B	Dividend	J	T	Sold (part)	04/16/14	J	A	
8. Eaton Vance Income Fund	A	Dividend	J	T	Buy (add'l)	08/20/14	J		
9. Goldman Sachs Absolute Return Fund	A	Dividend	J	T					
10. ING Global Real Estate Fund (changed name to Voya Global Real Estate)	A	Dividend	J	T					
11. Ivy Mid Cap Growth Fund	A	Dividend	J	T					
12. JP Morgan Dynamic Small Cap Growth Fund	A	Dividend	J	T					
13. Mainstay Large Cap Growth Fund	B	Dividend	K	T	Sold (part)	04/16/14	J	A	
14.					Buy (add'l)	08/20/14	J		
15. Managers AMG Systematic Mid Cap Value Fund	A	Dividend	J	T					
16. Metropolitan West Total Return Bond Fund	A	Dividend	J	T					
17. Virtus Emerging Markets Opportunities Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,001 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AQR Managed Futures Strategy I	A	Dividend	J	T					
19. Nuveen NWQ Large Cap Value I	B	Dividend			Buy (add'l)	04/16/14	J		
20.					Sold	05/14/14	L	B	
21. Pimco Foreign Bond US Hedged P	A	Dividend			Sold	04/16/14	J	A	
22. Causeway International Value Fund	B	Dividend	K	T	Buy (add'l)	08/20/14	J		
23. Delaware Investment Small Cap Value Fund	A	Dividend	J	T					
24. Invesco Premier Fund	A	Dividend	J	T					
25. Pimco Low Duration Fund	A	Dividend			Sold (part)	08/20/14	J	A	
26.					Sold	10/15/14	J	A	
27. [REDACTED] (X) [REDACTED] [REDACTED] MO	B	Rent	M	U					
28. Romancing the Gowns, LLC	A	Distribution	J	U					
29. MS Liquid Asset Fund - POA #1	A	Dividend			Sold	12/01/14	K	A	
30. Centurytel, Inc. - POA #1	A	Dividend			Sold	12/01/14	J	A	
31. El DuPont De Nemours & Co - POA #1	A	Dividend			Sold	12/01/14	J	A	
32. Calamos Strategic Total Return Fund - POA #1	B	Distribution			Sold	12/01/14	K	A	
33. Cohen & Steers Quality Income Realty Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
34. Vanguard Morgan Growth Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. United Missouri Bank (account) - POA #1	A	Int./Div.			Sold	12/01/14	K	A	
36. Hillcrest Bank (account) - POA #1	A	Int./Div.			Sold	12/01/14	J	A	
37. North American Savings Bank (account) - POA #1	C	Int./Div.			Sold	12/01/14	M	A	
38. MO State Health & Education Facility Authority Bonds - POA 1	A	Int./Div.			Sold	12/01/14	J	A	
39. Eaton Vance Commodity Strategy Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
40. Eaton Vance Income Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
41. Goldman Sachs Absolute Return Fund - POA #1	A	Dividend			Sold	12/01/14	K	A	
42. ING Global Real Estate Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
43. Ivy Mid Cap Growth Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
44. JP Morgan Dynamic Small Cap Growth Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
45. Mainstay Large Cap Growth Fund - POA #1	B	Dividend			Sold	12/01/14	K	A	
46. Managers AMG Systematic Mid Cap Value Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
47. Metropolitan West Total Return Bond Fund - POA #1	A	Dividend			Sold	12/01/14	K	A	
48. Virtus Emerging Markets Opportunities Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
49. AQR Managed Futures Strategy 1 - POA #1	A	Dividend			Sold	12/01/14	J	A	
50. Blackrock Global Allocation - POA #1	A	Dividend			Sold	12/01/14	K	A	
51. Nuveen NWQ Large Cap Value 1 - POA #1	B	Dividend			Sold	12/01/14	K	A	

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52. Pimco Foreign BD US Hedged P - POA #1	A	Dividend			Sold	12/01/14	J	A	
53. Principal GLB Ribers Inc - POA #1	A	Dividend			Sold	12/01/14	K	A	
54. Causeway International Value Fund - POA #1	B	Dividend			Sold	12/01/14	K	A	
55. Delaware Investment Small Cap Value Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
56. Invesco Premier Fund - POA #1	A	Dividend			Sold	12/01/14	J	A	
57. Pimco Low Duration Fund - POA #1	B	Dividend			Sold	12/01/14	K	A	
58. Trust #1	A	Interest	N	T	Open	12/08/14	N		
59. Blackrock Low Duration Bond Investment	A	Dividend	J	T	Buy	10/15/14	J		
60. John Hancock Disciplined Value	B	Dividend	K	T	Buy	05/14/14	L		
61.					Sold (part)	08/20/14	J	A	
62. Center Coast MLP Focus I	A	Dividend	J	T	Buy	04/16/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - All accounts for Trust #1 are held in cash pending distribution to beneficiaries. These assets were listed in previous reports as POA #1 assets

Part VII - POA 3 assets no longer listed based on Committee guidelines.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John T. Maughmer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544