

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Houston, John A.	2. Court or Organization Southern District of California	3. Date of Report 05/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 940 Front Street Suite 2140 San Diego, CA 92101-1118		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Self Employed (consultant/clinical psychologist)
2. 2011	ERM, San Diego, CA (consulting)
3. 2011	Center For Creative Leadership, La Jolla ,CA
4. 2011	United States Navy, San Diego, CA
5. 2011	Innolect, Inc., Tega Cay, SC
6. 2011	The Broad Residency, Los Angeles, CA
7. 2011	Alliant International University, San Diego, CA (teaching)

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Northwestern Law JEP	October 17, 2011 - October 21, 2011	Chicago,IL	CLE Program	Transportation, Room and Meals
2.				
3.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CitiBank	Mortgage - Rental Property - San Diego	N
2.	Wells Fargo Bank	Mortgage - Investment Real Property	K
3.	Citi Bank American Express	Credit Card	J
4.	Citi Bank Mastercard	Credit Card	J
5.	Small Business Administration	Mortgage - Vacant Lot - San Diego	M
6.	Chase	Credit Card	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Property (2), San Diego County, CA	D	Rent	O	W					
2. San Diego Gas & Electric (Semptra)	A	Dividend	J	T					
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4. Fidelity Funds - IRA	A	Dividend	J	T					
5. --Fidelity Discovery Fund									
6. ---Fidelity New Markets Fund									
7. Trust	A	Interest	J	T					
8. - Leap Wireless Communications (Common Stock)									
9. Trust #2	D	Distribution	M	T					
10. --MSDW Dividend Growth Securities Fund									
11. --MSDW California Tax-Free Income Fund									
12. --AIM Advisor Real Estate Fund									
13. --Eaton Vance WW Health Sciences Fund									
14. --Eaton Vance Taz Managed Growth Fund									
15. --Franklin Calif Trax Free Income Fund									
16. --MFS MidCap Growth Fund									
17. --Putnam Research Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Putnam International Voyager Fund									
19. --Sentinel Small Cap Companies Fund									
20. --Reserve Primary Fund Class R									
21. --Lord Abbet Mid Cap Val Class C									
22. MFS Val Class B	A	Dividend	J	T					
23. MFS Value Class C	A	Dividend	J	T					
24. American AMCAP Class C	A	Distribution	J	T					
25. John Hancock US Glob Leaders Growth Class C	A	Dividend	J	T					
26. Putnam Tax Smart Equity Class B	A	Dividend	J	T					
27. Delaware Tax-Free California Class B	A	Interest	J	T					
28. Real Property (3), Birmingham, AL	C	Rent	L	W					
29. Real Property (3)-Vacant Lot, San Diego ,CA		None	O	W					
30. Trust #3	B	Interest	L	T					
31. -- Van Kampen Insured Muni Income Trust 589									
32. -- Union Bank CD Index Zero									
33. Pacific Innovative Select -Quailified Annuity	A	Distribution	L	T					
34. Union Bank Marked Linked Cert of Deposit - IRA	A	Interest	K	T					

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35. Union Bank Marked Linked Cert of Deposit - Simple IRA	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part One: Trust #3 -- Pacific Scene Trust, U/A 2/28/11 Trust)

Part VII. #30 in last report moved into Trust #3 [currently identified as item #31]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John A. Houston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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