

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KERN, TERENCE C.	2. Court or Organization USDC/NDOK	3. Date of Report 04/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address The Federal Building 224 South Boulder Ave., Rm 241 Tulsa, OK 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Oil & Gas Leases:									Heading for lines 2-17
2. ----L Tuley, Garvin Co, OK	A	Royalty	J	W					
3. ----Lash, Murray Co, OK	A	Royalty	J	W					
4. ----Crump, Murray Co, OK	A	Royalty	J	W					
5. ----Floyd, Garvin Co, OK	B	Royalty	J	W					
6. ----Gibson, Garvin Co, OK	A	Royalty	J	W					
7. ----Muncrief, Garvin Co, OK	A	Royalty	J	W					
8. ----Beers Lite, Dewey Co, OK	A	Royalty	J	W					
9. ----Kenneth, Dewey Co, OK	A	Royalty	J	W					
10. ----Edna, Dewey Co, OK	A	Royalty	J	W					
11. ----Stanton, Dewey Co, OK	B	Royalty	J	W					
12. ----Kimball, Major Co, OK	A	Royalty	J	W					
13. ----Miller, Major Co, OK	B	Royalty	J	W					
14. ----Spark, Bensh, Major Co, OK	A	Royalty	J	W					
15. ----BcWk, Logan Co, OK	A	Royalty	J	W					
16. ----Royalty Int, Custer Co, OK	D	Royalty	J	W					
17. ----Royalty Int, Stephens Co, OK	B	Royalty	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BROKERAGE ACCOUNT # 1									Heading for lines 19-28
19. --UBS Bank USA Dep Acct	A	Interest	K	T					(Active Asset Money Fund)
20. --ONEOK Partners Lp	B	Dividend	K	T					
21. --Enterprise Products Partner LP MLP	B	Dividend	K	T					
22. --Freeport-McMoran Copper & Gold	A	Dividend			Sold	01/14/14	K		
23. --Access Midstream	B	Dividend	K	T					
24. --Hi-Crush	B	Dividend	J	T					
25. --Vale	A	Dividend			Sold	01/14/14	J		
26. --Gilead Science		Dividend	K	T					
27. --Breitburn	A	Dividend	K	T	Buy	03/24/14	K		
28. --Dow Chemical	A	Dividend	J	T	Buy	08/01/14	J		
29. BROKERAGE ACCOUNT # 2									Heading for lines 30-37
30. --Cushing MLP Total Return Fund		None	K	T					
31. --UBS Bank USA Deposit Acct	A	Interest	K	T					(Active Asset Money Fund)
32. --Plains All American Pipeline		None	M	T					
33. --Halcon RES	A	Dividend			Sold	12/01/14	J	D	
34. --Noble Energy		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Pioneer National		None	K	T					
36. --CVR Refining	B	Dividend	J	T					
37. --General Electric		None	J	T	Buy	01/24/14	J		
38. BROKERAGE ACCOUNT # 3									Heading for lines 39-97
39. --Fidelity Investment Fund Deposit Acct	A	Interest	J	T					(Active Asset Money Fund)
40. --Active Power		None	J	T	Buy	03/13/14	J		
41.					Buy (add'l)	03/28/14	J		
42.					Buy (add'l)	08/01/14	J		
43. --Alcatel-Lucent		None	J	T	Buy	05/06/14	J		
44.					Buy (add'l)	06/30/14	J		
45. --Alcoa	A	Interest	J	T	Buy	09/16/14	J		
46.					Buy (add'l)	10/20/14	J		
47. --Athabasca Oil Corp		None			Sold	03/13/14	J	A	
48. --Atmel		None			Buy	10/10/14	J		
49.					Sold	10/31/14	J	A	
50. --Breitburn		None	J	T	Buy	12/04/14	J		
51. --Builders Firstsource		None			Buy	09/22/14	J		

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52.					Sold	12/17/14	J	A	
53. --Eagle Rock Energy Partners	A	Interest	J	T	Buy (add'l)	03/11/14	J		
54.					Sold (part)	06/20/14	J	A	
55. --Extreme Networks		None			Buy	03/13/14	J		
56.					Buy (add'l)	03/14/14	J		
57.					Buy (add'l)	03/26/14	J		
58.					Buy (add'l)	05/07/14	J		
59.					Sold	09/02/14	J	A	
60. --Jones Energy		None	J	T	Buy	07/07/14	J		
61.					Buy (add'l)	08/18/14	J		
62. --Kodiak Oil & Gas Corp		None			Buy	05/07/14	J		
63.					Buy (add'l)	07/07/14	J		
64.					Sold	07/15/14	J	A	
65. --Lucas Energy Inc		None	J	T					
66. --McDermott International		None	J	T	Buy	03/13/14	J		
67.					Sold	05/08/14	J		
68.					Buy	09/10/14	J		

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69.					Buy (add'l)	09/15/14	J		
70. --Micron Technology		None			Buy	05/06/14	J		
71.					Sold	06/30/14	J	A	
72. --Niska Gas Storage Partners		None			Buy	02/20/14	J		
73.					Sold	05/01/14	J	A	
74.					Buy	05/09/14	J		
75.					Buy (add'l)	05/09/14	J		
76.					Buy (add'l)	05/27/14	J		
77.					Buy (add'l)	07/23/14	J		
78.					Sold	09/02/14	J	A	
79. --Pioneer Natural Resources	A	Dividend			Sold	04/02/14	J	A	
80. --Powersecure Intl. Inc.		None	J	T	Buy	10/23/14	J		
81.					Buy (add'l)	11/11/14	J		
82. --Profire		None	J	T	Buy	06/30/14	J		
83. --Prospect Cap	A	Dividend			Buy	05/08/14	J		
84.					Buy (add'l)	05/12/14	J		
85.					Sold	09/02/14	J	A	

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86. --Rex Energy		None	J	T	Buy	09/18/14	J		
87. --Semiconductor Manf.		None			Sold	01/07/14	J		
88. --Sonus Networks		None	J	T	Buy	10/08/14	J		
89. --TherapeuticsMD		None	J	T	Buy	03/26/14	J		
90.					Buy (add'l)	04/24/14	J		
91.					Buy (add'l)	05/06/14	J		
92. --Unilife Corp New Com		None	J	T	Buy	03/26/14	J		
93.					Buy (add'l)	04/11/14	J		
94.					Buy (add'l)	04/25/14	J		
95. --Vantage Drilling		None	J	T	Buy	03/26/14	J		
96.					Buy (add'l)	04/11/14	J		
97.					Buy (add'l)	09/19/14	J		
98. OK 529 College Savings Plan Aggressive Managed Allocat-no control (X)	A	Interest	K	T	Buy	04/11/14	J		
99.					Buy	09/05/14	J		
100.					Buy	12/15/14	J		
101. Chambers-BIP Kodiak	E	Distribution	M	T	Buy	01/16/14	M		
102. Bank of Oklahoma accounts	A	Interest	M	T					

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103. F&M Bank account	A	Interest			Closed				

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **TERENCE C. KERN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544