

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cook, Deborah L.	2. Court or Organization U.S. Court of Appeals, 6th Cir	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 433 U.S. Courthouse 2 South Main Street Akron, Ohio 44308		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Collegescholars, Inc
2.	Trustee	Trust #1
3.	Board Member	Conservancy for Cuyahoga Valley National Park
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	State of Ohio Retirement Benefits	\$31,900.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

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05/10/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/10/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. National City Cash Account	A	Interest	K	T					
2. Trust #1 (H)									
3. - Morgan Stanley Cash Account	A	Interest	N	T					
4. - American Muni Pwr Inc OH Comb Hydroelectric Proj Ref Muni Bond	B	Interest	L	T					
5. - Univ Akr Be Muni Bond	D	Interest	L	T					
6. - Bowling Green Ohio City Sch Dist Muni Bond	B	Interest			Redeemed	06/01/17	K		
7. - Cleveland Ohio Genl Oblig Muni Bond	B	Interest			Redeemed	12/01/17	K		
8. - Ohio St Hosp Fac Rev Muni Bond HU9	B	Interest	L	T					
9. - Ohio St Turnpike & Infrastructure Ure Comm Junior Muni Bond	C	Interest	L	T					
10. - Ohio St Hosp Rev Cleveland Clinic Hlth Muni Bond 4B5	B	Interest	L	T					
11. - Ohio St Hosp Rev Cleveland Clinic Hlth Muni Bond 4D1	C	Interest	M	T					
12. - Ohio St Hosp Rev Cleveland Clinic via Oh St Educ Muni Bond	B	Interest	L	T					
13. - Cincinnati Ohio Impt Genl Oblig Ser A Muni Bond	C	Interest	M	T					
14. -American Mun Pwr Inc OH Hydroelectric Muni Bond MH4	B	Interest	L	T	Buy	02/15/17	L		
15. - Cleveland Heights & Univ Heights Sch Dist Muni Bond GQ0	B	Interest	L	T	Buy	05/31/17	L		
16. -General Motors Fin Co Inc Bond CA2			L	T	Buy	09/14/17	L		
17. IRA 1 (H)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Morgan Stanley Cash Accounts	A	Interest	N	T					
19. - Apple Inc Common	A	Dividend			Sold	04/24/17	M	D	
20.			M	T	Buy	12/19/17	M		
21. - Alphabet Inc Cl A common	B	Dividend			Buy (add'l)	02/03/17	K		
22.					Sold	04/24/17	M	E	
23. - Alphabet Inc Cl C common 3/26 For Est		None	M	T	Buy	12/18/17	M		
24. - Goodyear Tire and Rubber Corp Bond	C	Interest			Redeemed	05/15/17	M	C	
25. - Ally Financial Note AW0 Corp Bond	C	Interest	M	T					
26. - Ally Financial Note AV2 Corp Bond	D	Interest	M	T					
27. - Verisign Inc Corp Bond	C	Interest	M	T					
28. - Amazon Com Inc		None			Buy (add'l)	02/03/17	K		
29.					Sold	04/24/17	M	E	
30.			M	T	Buy	12/18/17	M		
31. -Verizon Communications Common	D	Dividend	M	T					
32. -American Airlines Group Regs Corp Bond	B	Interest	M	T					
33. -Under Armour Inc Cl A Common		None			Sold	12/19/17	K		
34. -Whole Foods Market Inc Corp Bond	C	Interest			Sold	07/18/17	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. -Vodafone Group PLC Common	C	Dividend	L	T					
36. -Goldman Sachs Cap V Bond	A	Interest	J	T					
37. -Jefferies Group Corp Bond	C	Interest			Redeemed	11/01/17	L		
38. -Synchrony Financial Corp Bond	C	Interest			Redeemed	11/09/17	L		
39. -Keycorp 5% Fixed to Float Bond	C	Interest	M	T					
40. -Third PT Offshore Fund LTD Hedge Fund		None	N	T					
41. -Bank of America Corp PFD Stock	D	Dividend	M	T					
42. -Facebook Cl A Common		None			Sold	04/24/17	M	E	
43.			M	T	Buy	12/18/17	M		
44. -FirstTrust Sr Float Rt 2022 Target Term Fund	D	Dividend	L	T					
45. -Citigroup Inc New Common	B	Dividend	M	T	Buy	03/10/17	L		
46.					Buy (add'l)	06/29/17	K		
47. -Intl Business Machine Corp Common	C	Dividend	M	T	Buy	06/26/17	M		
48. -Uniti Group Inc Common	B	Dividend	K	T	Buy	07/13/17	L		
49. -Morgan Stanley 5.85%-K Pfd	C	Dividend	M	T	Buy	01/24/17	L		
50. -Nustar Energy LP 7.625%-B Pfd	B	Dividend	K	T	Buy	04/25/17	K		
51. -iShares MSCI Euro Financial	A	Dividend	K	T	Buy	09/21/17	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52. -The Financial Sel SPDR FD	A	Dividend	L	T	Buy	06/06/17	K		
53. -Illinois St Genl Oblig Bond	D	Interest	M	T	Buy	02/02/17	M		
54. -Hanesbrands Inc Bond	C	Interest	M	T	Buy	01/19/17	M		
55. -Wachovia Capital Trust II Bond	A	Interest	L	T	Buy	05/17/17	L		
56. -Viacom Inc 6.25% Fxd Flts Bond	C	Interest	L	T	Buy	03/01/17	M		
57. -Energy Transfer Partners LP	B	Distribution	K	T	Buy	05/05/17	K		
58. -Goodyear Tire & Rubber Bond 5.00%	B	Interest	L	T	Buy	03/02/17	L		
59. -Crown Castle Intl Corp Ser A 6.875% Pfd	A	Dividend	L	T	Buy	07/21/17	L		
60. -Putnam Diversified Inc Tr C	C	Dividend	M	T	Buy	04/18/17	L		
61. -Lord Abbett Flt Rt C	B	Dividend	M	T	Buy	04/28/17	L		
62. Installment note holding industrial as collateral		None	M	T					
63. CollegeScholars as trustee (H)									
64. -iShares TIPS Bond ETF	A	Dividend			Sold (part)	07/26/17	J	A	
65.					Sold	08/11/17	J	A	
66. -Morgan Stanley Cash Account	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/10/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I - Collegescholars, Inc is a charitable trust. The trust assets are held to fund college tuition for students in a mentored scholarship program.

Part VII - Line 47 - In 2007 an industrial building in Akron Ohio was sold via an installment sale. Interest and principal payments are received on this asset and the note is secured by the building. The debtor is Aster Property Management, LLC. No payments were recieved in 2017.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/10/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Deborah L. Cook

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544