

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Spraker, Gary A.	2. Court or Organization Bankruptcy Court	3. Date of Report 07/29/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address US Bankruptcy Court Old Federal Building 605 West 4th Avenue Anchorage, AK 99501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Alaska Permanent Fund
2. 2016	Self-employed - attorney
3. 2016	Self-employed - landlord (separate entity owning law firm building)
4. 2016	Insurance Settlement on Damage to Vehicle

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	American Express	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco American Franchise Fund (f/k/a Leisure Fund)	B	Dividend	L	T					
2. Franklin Templeton Inv.- Mutual Quest Fund (TEQIX)	C	Dividend	K	T					
3. Touchstone Invests - OM Copper Rock Int'l Small Cap Fund Z	A	Dividend	J	T					
4. T. Rowe Price Small Cap Value Fund (PRSVX)	B	Dividend	K	T					
5. T Rowe Price - Int'l Stock	A	Dividend	K	T					
6. Wells Fargo Advantage Funds - Discovery (Inv)	A	Dividend	K	T					
7. White Oak Growth	A	Dividend	J	T					
8. Vanguard - IRA (Header)									
9. 500 Index Fund	B	Dividend	L	T					
10. Wellington Fund	B	Dividend	K	T					
11. Wellesley Fund	A	Dividend	J	T					
12. Money Market	A	Dividend	L	T					
13. Global Equity	A	Dividend	K	T					
14. Prime Market	B	Dividend	N	T					
15. ABA Retirement Accounts (Header)									
16. ABA Retirement - Moderate Risk Fund	A	Dividend	N	T					
17. ABA Retirement - Lifetime Income Retirement Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Municipality of Anchorage 401k (Header)									
19. T. Row Price Equity Index Fund (PREIX)	C	Dividend			Sold	03/02/17	K		Fund Exchange from T. Rowe
20. TRP Equity Index Trust Class C	C	Dividend	K	T	Buy	03/02/17	K		Fund Exchange from T. Rowe
21. Oakmark International Trust Fund -X - Harbor International Fund			K	T					
22. Vanguard Mid-Cap Growth Fund	C	Dividend	L	T					
23. UBS Resource Management Account									
24. UBS Bank USA Deposit Account	A	Interest	N	T					
25. Nuveen Mun Opp	D	Dividend			Sold	04/12/16	M		Merge into Nuveen Amt Free
26. Nuveen Amt-Free Mun Credit Inc	D	Dividend	M	T	Buy	01/12/16	M		Merge from Nuveen Mun Opp
27. UBS Access Account-managed by Davis Core Equities (header)									
28. UBS Bank USA Deposit Account	A	Interest	M	T					
29. UBS Retirement Account -IRA (Header)									
30. RMA Govt Money Mkt FD (f/k/a UBS Ret. Money Fund)	A	Interest	J	T					
31. UBS Bank USA Deposit Account	A	Interest	M	T					
32. Blackrock Eurofund A	A	Dividend	J	T					
33. Columbia Seligmann Comm & Info, Class A	C	Dividend	K	T					
34. Invesco American Value, Class A	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. Invesco Mid Cap Growth, Class A	A	Dividend	K	T					
36. Invesco Small Cap Discovery Fund, Class B	A	Dividend	K	T					
37. Victory Munder Multi-Cap Fund Class A (f/k/a Munder Growth Opp)	A	Dividend	J	T					
38. Lord Abbott Bond Debenture Fund, Class A	C	Dividend	L	T					
39. UBS Retirement Account- SEP IRA (Header)									
40. RMA Govt Money Markey FD (f/k/a UBS Bank)	A	Interest	J	T					
41. UBS Bank	A	Interest	J	T					
42. UBS Bank - CODA SEP	A	Interest	L	T					
43. Key Bank (money market)	A	Interest	J	T					
44. Alaska USA Fed. Credit Union	A	Interest	K	T					
45. Northrim Bank	A	Interest	L	T					
46. Northrim Bank - UTMA 2	A	Interest	J	T					
47. Northrim Bank - III	A	Interest	K	T					
48. Wells Fargo Business Checking Account		None	J	T					
49. Wells Fargo Custodian SEP IRA - Money Market	A	Interest	J	T					
50. TJT Boreal Investments LLC	A	Rent	K	U					
51. American Enter. Inv. Serv. Inc.-Cap Inc Bldr A	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Cohen & Steer Closed End Opp. Fund Inc	A	Dividend	J	T					
53. Franklin Inc. Cl. A	A	Dividend	J	T					
54. Regions Fin. Corp.	A	Dividend	J	T					
55. Ameriprise Enter. - Cash	A	Interest	J	T					
56.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III.A Non-Investment Income. I did not receive an Alaska 2016 Permanent Dividend Fund

V. Gifts. I received a copy of West Publishing's Bankruptcy Exemption Manual as a continuing author. The retail sales price of the book is \$309. I consider this to be more akin to a gift, and have not listed it in Section V since it falls below the \$350 minimum. However, some might consider it compensation so I am disclosing the receipt of the book.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gary A. Spraker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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