

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SIMMS, GINA L.	2. Court or Organization U.S. DISTRICT COURT FOR THE DISTRICT OF MARYLAND	3. Date of Report 02/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 01/31/2018
7. Chambers or Office Address U.S. DISTRICT COURT FOR THE DISTRICT OF MARYLAND U.S. COURTHOUSE 6500 CHERRYWOOD LANE GREENBELT, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	PARTNER (NON-EQUITY INCOME PARTNER)	OBER, KALER, GRIMES & SHRIVER, LLP
2.	SHAREHOLDER (NON-EQUITY INCOME)	BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2017	BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ (Self-Directed 401(k))
2.	2012	OBER, KALER, GRIMES & SHRIVER, LLP (Retirement Plan)
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	OBER, KALER, GRIMES & SHRIVER, LLP	\$234,455.00
2. 2016	OBER, KALER, GRIMES & SHRIVER, LLP	\$242,594.00
3. 2017	BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ	\$210,960.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	████ (SALARY)
2. 2017	████ (SALARY)
3. 2018	████ (SALARY)
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	SUNTRUST BANK	PERSONAL LOAN	K
2.	NAVIENT	COLLEGE LOAN	M
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JUSTICE FEDERAL CREDIT UNION (cash)	A	Int./Div.	K	T	Exempt				
2. BANK OF AMERICA (cash)	A	Interest	J	T					
3. SUNTRUST BANK (cash)	A	Interest							
4. CAPITAL ONE BANK (cash)	A	Interest	J	T					
5. PNC BANK (cash)	A	Interest	K	T					
6. WELLS FARGO BANK (cash)	A	Interest	J	T					
7. NEW YORK LIFE (whole life policy)	A	Int./Div.	K	T					
8. US TREASURY DIRECT SERIES EE SAVINGS BOND		None	J	T					
9. US TREASURY DIRECT SERIES I SAVINGS BOND		None	K	T					
10. AMERICAN FUNDS, NEW PERSPECTIVE FUND A (ANWPX)	A	Dividend	J	T					
11. THE WALT DISNEY COMPANY	B	Dividend	L	T					
12. BROKERAGE ACCOUNT #1 (H)									
13. KRAFT HEINZ CO COM (KHC)	A	Dividend	J	T					
14. MONDELEZ INTL INC COM (MDLZ)	A	Dividend	J	T					
15. PROCTOR & GAMBLE CO (PG)	A	Dividend	J	T					
16. TARGET CORP (TGT)	A	Dividend	J	T					
17. VALERO ENERGY CORP (VLO)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. WALMART STORES INC (WMT)	A	Dividend	J	T					
19. RETIREMENT PLAN #1 (H)									
20. GOLDMAN SACHS MID CAP VALUE INST	A	Dividend							
21. HARTFORD SMALL COMPANY HLS IB INST	A	Dividend							
22. IVY MID CAP GROWTH Y	A	Dividend							
23. JOHN HANCOCK DISCIPLINED VALUE I	A	Dividend							
24. MAINSTAY LARGE CAP GROWTH RI	A	Dividend							
25. WELLS FARGO SMALL CAP VALUE A	A	Dividend							
26. AMERICAN FUNDS EUROPACIFIC GROWTH A	A	Dividend							
27. BROKERAGE ACCOUNT #2 (H)									
28. AMERIPRISE INSURED MONEY MARKET (cash)	A	Interest	J	T					
29. ALLIANZGI ULTRA MICRO CAP CL P (AUPX)	A	Dividend							
30. EATON VANCE ATLANTA CAP SMID CAP CL I (EISMX)	A	Dividend	J	T					
31. IVY SCIENCE AND TECHNOLOGY CL I (ISTIX)	A	Dividend	J	T					
32. EVENTIDE HEALTHCARE & LIFE SCIENCES CL I (ETIHX)	A	Dividend	J	T					
33. OPPENHEIMER INTL SMALL-MID COMPANY CL Y (OSMYX)	A	Dividend	J	T					
34. TOUCHSTONE SANDS CAP SELECT GROWTH CL Y (CFSIX)	D	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. SENSATA TECHNOLOGIES HOLDING BV ALMELO (ST)		None	J	T					
36. ACTIVISION BLIZZARD INC (ATVI)	A	Dividend							
37. AT&T INC (T)	A	Dividend	J	T					
38. APP;LE INC (AAPL)	A	Dividend	J	T					
39. CA INC (CA)	A	Dividend							
40. CELGENE CORP (CELG)		None	J	T					
41. CERNER CORP (CERN)		None							
42. CIRRUS LOGIC INC (CRUS)		None	J	T					
43. GENERAL ELECTRIC CO (GE)	A	Dividend	J	T					
44. JOHNSON & JOHNSON (JNJ)	A	Dividend							
45. KRAFT HEINZ CO (KHC)	A	Dividend	J	T					
46. SYNAPTICS INC (SYNA)		None							
47. RIVERSOURCE LIFE INSURANCE CO RAVA 5 ADVANTAGE VARIABLE ANNUITY (H)									
48. COLUMBIA VP-MNGD VOL GROWTH FD CL 2	A	Dividend	L	T					
49. IRA #1 (H)									
50. ALPHABET INC CL C (GOOG)		None	J	T					

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51. AMAZON COM INC (AMZN)		None	J	T					
52. PRUDENTIAL FINANCIAL INC (PRU)	A	Dividend	J	T					
53. REGENERON PHARMACEUTICALS INC (REGN)		None	J	T					
54. IRA #2 (H)									
55. AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME CL A (CWGIX)	A	Dividend	J	T					
56. AMERICAN FUNDS FUNDAMENTAL INVESTORSNCL A (ANCFX)	A	Dividend	J	T					
57. AMERICAN FUNDG GROWTH FUND OF AMERICA CL A (AGTHX)	B	Dividend	K	T					
58. AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL A (AIVSX)	A	Dividend	J	T					
59. AMERICAN FUNDS NEW ECONOMY CL A (ANEFX)	B	Dividend	J	T					
60. AMERICAN FUNDS NEW PERSPECTIVE CL A (ANWPX)	A	Dividend	J	T					
61. AMERICAN FUNDS SMALLCAP WORLD CL A (SMCWX)	A	Dividend	K	T					
62. RIVERSOURCE LIFE INSURANCE COMPANY VARIABLE UNIVERSAL LIFE IVINS (H)									
63. VP MOD AGGRESSIVE C14		None							
64. IRA #3 (H)									
65. FIRST EAGLE GLOBAL I	B	Dividend	K	T					
66. VANGUARD FEDERAL MONEY MARKET INV FD	A	Dividend	J	T					

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67. TEMPLETON GLOBAL BOND ADV	A	Dividend	J	T					
68. COHEN & STEERS INSTITUTIONAL REALTY SHARES	A	Dividend	J	T					
69. VANGUARD SMALL CAP INDEX INST	A	Dividend	K	T					
70. T ROWE PRICE GROWTH FUND	E	Dividend	L	T					
71. VANGUARD MID CAP INDEX INST	A	Dividend	K	T					
72. OPPENHEIMER DEVELOPING MARKETS I	A	Dividend	J	T					
73. MFS GLOBAL EQUITY FUND R4	A	Dividend	K	T					
74. 401(k) #1 (H)									
75. AMERICAN FUNDS EUROPACIFIC GROWTH FUND CLASS R6		None	K	T					
76. VANGUARD BENEFIT INDEX FUND		None	M	T					
77. HOTCHKINS & WILEY SMALL CAP VALUE FUND CLASS I		None	K	T					
78. DODGE & COX INTERNATIONAL STOCK FUND		None	K	T					
79. VANGUARD EXPLORER FUND ADMIRAL		None	K	T					
80. VANGUARD TOTAL BOND MARKET INDES FUND INST PLUS		None	K	T					
81. GOLDMAN SACHS HIGH YIELD INSTL CLASS		None	K	T					
82. BLACKROCK LIQUIDITY FUNDS FED FUND CLASS INSTITUTIONAL		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, lines 1 and 2: These entries represent the official titles given to my positions with the firms.

Part II, line 1: Matching (3%) and safe harbor (3%) benefits available as of October 2018.

Part II, line 2: Rolled into the Baker Donelson plan in 2017.

Part III: None in 2018.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 40 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ GINA L. SIMMS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544