

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dow, Dennis R.	2. Court or Organization US Bankruptcy Ct-W Dist MO	3. Date of Report 05/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Charles E Whittaker United States Courthouse 400 E 9th St, Room 6562 Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	American Bankruptcy Institute
2.	At-large member of the Board of Governors	National Conference of Bankruptcy Judges
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Trinity Management LLC - Salary reported on Form W-2
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	February 25, 2014- February 26, 2014	Las Vegas, NV	Executive Committee Meeting	Transportation, Meals & Lodging
2.	American College of Bankruptcy	March 13, 2014- March 15, 2014	Washington, DC	Induction Ceremony	Transportation & Lodging
3.	The Missouri Bar	March 20, 2014- March 21, 2014	Columbia, MO	Annual Bankruptcy Institute	Meals & Lodging
4.	American Bankruptcy Institute	April 24, 2014-April 27, 2014	Washington, DC	32nd Annual Spring Meeting	Transportation, Meals & Lodging
5.	American Bankruptcy Institute	June 15, 2014-June 16, 2014	Alexandria, VA	Seminar	Transportation, Meals & Lodging

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6.	American Bankruptcy Institute	September 18, 2014- September 19, 2014	Alexandria, VA	Executive Committee Meeting	Transportation, Meals & Lodging
7.	National Conference of Bankruptcy Judges	October 8, 2014- October 11, 2014	Chicago, IL	88th Annual National Conference of Bankruptcy Judges	Transportation, Meals & Lodging
8.	American Bankruptcy Institute	December 4, 2014- December 6, 2014	LaQuinta, CA	26th Annual Winter Leadership Conference	Transportation, Meals & Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Natixis US Eq Opp Fund A	D	Dividend	K	T					
2. United Missouri Bank (UMB) Accounts	A	Interest	J	T					
3. Europacific Growth Fund A	A	Dividend	K	T					
4. The Growth Fund of America A	A	Dividend	J	T					
5. The New Economy Fund A	B	Dividend	K	T					
6. Small Cap World Fund A	A	Dividend	J	T					
7. Davis New York Venture Fund Class A	A	Dividend	J	T					
8. Templeton Developing Markets Trust Class A	B	Dividend	J	T					
9. Columbia Seligman Communications & Info Fund A	C	Dividend	K	T	Sold (part)	12/08/14	J	C	
10. Rollover IRA #1:	F	Int./Div.	P1	T					
11. -Fidelity ADV Energy Fund CL T					Sold (part)	04/23/14	K	C	
12. -American Small Cap World Fund CL A					Sold (part)	04/23/14	K	C	
13. -Citibank Bank Deposit Cash Account									
14. -American Mutual Fund Class A									
15. -Franklin Small Mid Cap Growth Fund A									
16. -Franklin Mutual Global Discovery Fund Class A									
17. -Templeton Developing Markets Class A					Sold (part)	04/23/14	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Buffalo Small Cap Fund					Sold (part)	04/23/14	K	C	
19. -Capital World Growth & Income Fund A									
20. -Franklin Income Fund CL A									
21. -Fundamental Investors Fund CL A									
22. -Oppenheimer Gold & SP Mineral CL A									
23. -Income Fund of America CL A									
24. -Growth Fund of America CL A									
25. Edward Jones IRA	A	Interest	J	T					
26. The New England Variable Life Insurance Policies:									
27. F1 Value Leaders Fund	A	Dividend			Redeemed	01/01/14	J		
28. Lmis Say SmCap Growth	A	Dividend	J	T					
29. WMC Core Equity Opp	A	Dividend	J	T					
30. American Funds Growth Fund	A	Dividend	J	T					
31. American Funds Gro-Inc	A	Dividend	J	T					
32. MFS Research Intl	A	Dividend	J	T					
33. MetArt Mid Cap Value	A	Dividend	J	T					
34. Lmis Say SmCap Core	A	Dividend	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. MFS Value Portfolio	A	Dividend	J	T					
36. The New England Whole Life Insurance Policies	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts: Natixis US Multi Cap Equity A changed its name to Natixis US Eq Opp Fund A effective February 28, 2014.

Part VII. Investments and Trusts: American Bond Funds of America Class A does not appear in the Rollover IRA #1 section of Part VII because it was fully redeemed in 2013 and properly reported in the 2013 Financial Disclosure Report.

Part VII. Investments and Trusts: Davis Venture Value Fund, included in The New England Variable Life Insurance Policies section in the prior year report, changed its name to WMC Core Equity Opp fund effective February 3, 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dennis R. Dow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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