

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dolinger, Michael H.	2. Court or Organization United States District Court, Southern District of New York	3. Date of Report 10/02/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse Room 1670 500 Pearl Street New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

publishing royalties -- South Carolina University

2. 2013

Disability insurance benefits -- Unum

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA - Fidelity Capital Appreciation Fund	A	Dividend	K	T					
2. IRA - Fidelity Magellan Fund	A	Dividend	K	T					
3. Arvest securities account (see below)									
4. Royal Dutch Shell stock	C	Dividend	K	T					
5. DBS Group Holdings stock	B	Dividend	J	T					
6. Akt N Novartis stock	A	Dividend			Sold	09/05/13	K	C	
7. Akt N Schweizerrische Ruckversicherungs stock	A	Dividend	K	T					
8. Rabobank Euro Med. Terms Notes	C	Interest	J	T					
9. Arvest Privatbank cash	A	Interest	J	T					
10. Nestle stock	A	Dividend			Sold	05/13/13	K		
11. 3 1/2% Rabobank Nederland NV 2004-06/10/09	A	Interest	K	T					
12. 9 1/4 Kreditanstalt Fuer Weideraufbau 2007-08.05.09	A	Interest	K	T					
13. 5.2% Rabobank Nederland Utrecht 28/8/07	B	Interest	L	T	Redeemed (part)	05/31/13	K	A	
14. People's Food Holding stock	A	Dividend	K	T	Sold (part)	02/14/13	K	B	
15. People's Food Holding stick	A	Dividend			Sold	11/11/13	J	A	
16. GS Roche Holding stock	A	Dividend			Sold	07/08/13	K	B	
17. Toyota Motor Corp. stock	A	Dividend			Sold	05/17/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. UBS AG stock	A	Dividend			Sold	08/14/13	K	B	
19. Nokia Corp. stock	A	Dividend			Sold	09/11/13	J	A	
20. Rabobank 4% 2009-29.05.13	A	Interest	K	T					
21. Stora Enso stock	A	Dividend	J	T					
22. Zurich Fin. Services stock	A	Dividend	J	T					
23. Hoya Corp. stock	A	Dividend	K	T					
24. Ricoh Co. stock	A	Dividend			Sold	07/12/13	J	A	
25. Shiseido Co. stock	A	Dividend			Sold	05/15/13	J	B	
26. Walgreen Co. stock	A	Dividend			Sold	07/17/13	J	A	
27. Ratchaburi NVDR stock	A	Dividend			Sold	02/27/13	K	A	
28. Mindray Med. Intl stock	A	Dividend	J	T					
29. Yingli Green Energy Holding Co. stock	A	Dividend	J	T					
30. 5 5/8% Siemens 2006-16.03.16 coupons	B	Interest	K	T					
31. 3% China Green Hldgs) Ltd. 2010-12.04/12.10	A	Interest	J	T					
32. ING 401k (see below)									
33. Oppenheimer Dev. Mkts. Fund	A	Dividend	J	T					
34. Ariel Appreciation Fund	A	Dividend	J	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Baron Growth Fund	A	Dividend	J	T					
36. ING T Rowe Price Growth Equity Portfolio	D	Dividend	K	T					
37. Fidelity VIP Contrafund Portfolio	A	Dividend	J	T					
38. Growth Fund of America (Capital Group Cos.)	A	Dividend	K	T					
39. ING Van Kampen Comstock Portfolio	D	Dividend	K	T					
40. Washington Mut. Investors FundSM (sponsor American Funds)	A	Dividend	J	T					
41. Pax World Blanced Fund	A	Dividend	J	T					
42. American Balanced Fund (sponsor T Rowe Price)	A	Dividend	J	T					
43. ING VP Strategic Alloc. Growth Portfolio	A	Dividend	J	T					
44. ING VP Strategic Alloc. Inc. Portfolio	A	Dividend	J	T					
45. Pioneer High Yield Fund	A	Interest	J	T					
46. ING PIMCO Total Return Port.	A	Dividend	K	T					
47. ING Solution 2035 Port.	A	Dividend	K	T					
48. ING Solution Income Port.	A	Dividend	K	T					
49. ING Thornburg Val. Port.	D	Dividend	K	T					
50. ING UBS US Large Cap. Equities	D	Dividend	K	T					
51. ING T Rowe Price Equities Inv.	D	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. ING Baron Small Cap. Growth	C	Dividend	K	T					
53. ING FMR Diversified Mid. Cap.	C	Dividend	K	T					
54. ING Oppenheimer Global Port.	D	Dividend	K	T					
55. Wachovia (Wells Fargo) Invest. Account (see below)									
56. Chevron Corp. stock	B	Dividend	K	T					
57. Coach Inc. stock	A	Dividend	J	T					
58. Huntington Exploration stock	A	Dividend	J	T					
59. ING Group 7.05% Perp. Pfd	A	Interest	J	T					
60. MetLife 5.875% SR NTS due 11/21/33	A	Interest	J	T					
61. Morgan Stanley 6.25% TR Pfd	A	Interest	J	T					
62. Port Authority NY NJ 116th ser. bond due 10/1/17	A	Interest			Redeemed	12/27/13	J	A	
63. Nuveen NY Sel. Muni. Fund	A	Interest	J	T					
64. Nuveen NY Mun. Value Fund	A	Interest	K	T					
65. Wachovia (Wells Fargo) money market	A	Interest	J	T					
66. Highland Floating Rate Fund	A	Interest	K	T					
67. Wachovia (Wells Fargo) IRA Account (see below)									
68. Ameren Corp. stock	A	Dividend	J	T					

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69. BP Plc stock	A	Dividend	J	T					
70. Coca Cola Co. stock	A	Dividend	J	T					
71. Walt Disney Co. stock	A	Dividend	J	T					
72. Exxon Mobil Corp. stock	A	Dividend	K	T					
73. OGE Energy Corp. stock	B	Dividend	K	T					
74. Piedmont Natural Gas stock	A	Dividend	K	T					
75. CBTCS Goldman Sachs 6% due 2/15/34	A	Interest	J	T					
76. Citigroup Cap. 7.125% Pfd due 7/31/31	B	Interest	K	T					
77. Merrill Lynch Cap. 7.28% Trust V	A	Interest	J	T					
78. Morgan Stanley 6.25% Pfd. due 3/1/33	A	Interest	J	T					
79. Morgan Stanley 7.25% Tr. Pfd due 7/31/06	B	Interest	K	T					
80. Tel & Data Systems SR Note Ser. A due 12/01/41	A	Interest	J	T					
81. Highland Floating Rate Fund	A	Interest	K	T					
82. Wachovia (Wells Fargo) IRA Account (see below)									
83. Alliance Bernstein Port. Balanced Wealth Strategy	A	Dividend	K	T					
84. Goldman Sachs Equity Growth Fund	A	Dividend	J	T					
85. Lord Abbett Value Oppenheimer Fund	A	Dividend	J	T					

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86. J.P. Morgan Intrepid Am. Fund	A	Dividend	J	T					
87. Additions to Arvest holdings									
88. Fanuc Corp. stock	A	Dividend	J	T					
89. Vale SA pf.	A	Interest	J	T					
90. Nong Shim Co. stock	A	Dividend	J	T					
91. Deutsche Telecom	A	Interest	K	T					
92. Newcrest Mining	A	Dividend	K	T					
93. Kronos AG	A	Dividend	J	T					
94. Gazprom SP	A	Dividend	K	T					
95. Tesco PLC	A	Dividend	K	T					
96. Akt. N UBS	A	Dividend	K	T					
97. Barrick Gold	A	Dividend	K	T					
98. Akt. N Zurich Insurance Co.	A	Dividend	J	T					
99. Nestle SA	A	Dividend	K	T					
100. GS Roche Holding Ltd.	A	Dividend	K	T					
101. SHS Johnson & Johnson	A	Dividend	K	T					
102. Akt. Canon Inc.	A	Dividend	J	T					

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103. Tesco PLC	A	Dividend	K	T					
104. additional Wells Fargo secs. acct.									
105. AT&T stock	A	Dividend	J	T					
106. John Deere stock	A	Dividend	J	T	Buy	06/18/13	J		
107. Ford Motor	A	Dividend	J	T	Buy	06/18/13	J		
108. Procter & Gamble	A	Dividend	J	T	Buy	06/18/13	J		
109. additional Wells Fargo IRA									
110. NY Community Bank	A	Dividend	J	T	Buy	06/18/13	J		
111. Pitney Bowes	A	Dividend	J	T	Buy	06/18/13	J		
112. more Arvest									
113. Geox SPA	A	Dividend	J	T	Buy	01/17/13	J		
114. Hoya Corp.	A	Dividend	J	T	Buy	02/07/13	J		
115. KFW 7.5% Notes (12-3-15)	B	Interest	K	T	Buy	02/28/13	K		
116. Banco Santandar	A	Dividend	J	T	Buy	04/26/13	J		
117. Hyundai	A	Dividend	J	T	Buy	05/13/13	J		
118. ETF HSBC Latin America	A	Dividend	J	T	Buy	05/10/13	J		
119. Deutsche Bahn 3.375%	A	Interest	K	T	Buy	05/30/13	K		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. Daimler Int.	A	Dividend	K	T	Buy	05/30/13	K		
121. Hyundai	A	Dividend	J	T	Buy (add'l)	06/07/13	J		
122. I Shares Latin America Index	A	Dividend	J	T	Buy	06/10/13	J		
123. Mitsubishi 2.875% (18-7-18)	A	Interest	K	T	Buy	07/25/13	K		
124. Vale SA ADR pf.	A	Interest	J	T	Buy	08/02/13	J		
125. Barrick Gold	A	Dividend	J	T	Buy (add'l)	08/02/13	J		
126. Alcoa stock	A	Dividend	K	T	Buy	08/30/13	K		
127. Intel stock	A	Dividend	K	T	Buy	09/02/13	K		
128. ABB Ltd.	A	Dividend	K	T	Buy	10/04/13	K		
129. Valourec Usines	A	Dividend	K	T	Buy	10/04/13	K		
130. Komatsu Ltd.	A	Dividend	J	T	Buy	11/06/13	J		
131. Mosaic	A	Dividend	J	T	Buy	11/27/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Dolinger, Michael H.

Date of Report

10/02/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dolinger, Michael H.

Date of Report

10/02/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael H. Dolinger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544