

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barksdale, Rhesa H.	2. Court or Organization U. S. Court of Appeals Fifth Circuit	3. Date of Report 05/11/2018
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 501 E. Court St. Suite 3.800 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income *- If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Vanderbilt University	02/02-05/2017	Nashville, TN	Moot Court	Transportation, Lodging, Food
2. Washington & Lee University	10/18-22/2017	Lexington, VA	Moot Court	Transportation, Lodging, Food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS	Credit Line	K
2.	UBS	Credit Line	M
3.	First Commercial Bank	Loan	L
4.	Capital One	Credit Card	I
5.	Bank of America	Credit Card	K
6.	USAA	Credit Card	K

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-69 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Pecan Lane Apts. Ltd (see section VIII)	A	Distribution	J	R					
2. RHB IRA; Amer. Fds Growth Fd of Amer. Mut. Fund CI A -see section VIII	C	Dividend	L	T					
3. Amer. Fds. Growth Fd. of Amer. Mut. Fund CI A	C	Dividend	L	T					
4. Amer. Fds Inc. Fund of America CI A	A	Dividend	J	T					
5. IRA: Amer. Fds Growth Fund of Amer. Mut. Fd. CI A	B	Dividend	K	T					
6. Amer. Fds Balanced Fund CI A	D	Dividend	M	T	Sold (part)	06/27/17	K	D	
7. Amer. Fds Capital Inc. Builder Fund CI A	D	Dividend	M	T					
8. Amer. Fds Inc. Fund of America CI A	D	Dividend	M	T					
9. First Commercial Bank Cnn Sdk	C	Dividend	M	T					
10. Amer. Fds Capital World Gwth & Inc. Fd CI A	D	Dividend	M	T					
11. Brighthouse Financial (see section VIII)									
12. -BIT Asset Allocation 100 Portfolio (see section VIII)		None	L	T					
13. -MetLife Stock Index		None	K	T					
14. -MFS Total Return		None	K	T					
15. -Fidelity VIP Eq Inc.		None	K	T					
16. -BR Cap. App.		None	K	T					
17. -L/S Small Cap		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. MassMutual Flexible Variable Life Ins. (see section VIII)									
19. -MML Equity		None	K	T					
20. -Oppenheimer Global Securities		None	K	T					
21. -Fidelity VIP Contrafund		None	K	T					
22. -T. Rowe Price Equity Income		None	K	T					
23. -American Funds Growth Income		None	K	T					
24. RHB IRA: Amer. Fds. Balanced Fund Cl A	D	Dividend	M	T					
25. IRA: Amer. Fds. AMCAP Fd. Cl A	B	Dividend	K	T					
26. RIIB IRA: Amer. Fds. AMCAP Fd. Cl A	D	Dividend	M	T	Sold (part)	03/28/17	J	C	
27. R'evolution Seafood Restaurant at Renaissance, LLC		None	L	T					
28. RHB IRA: Amer. Fds. Cap. Inc. Builder Fd. Cl A	B	Dividend	K	T					
29. IRA: Amer. Fds. Cap. Inc. Builder Fd. Cl A	A	Dividend	J	T					
30. IRA: Amer. Fds. Balanced Fund Cl. A	A	Dividend	K	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

PJ = \$25,000,001 - \$50,000,000

R = Cost (Real Estate Only)

P4 = More than \$50,000,000

S = Assessment

T = Cash Market

3. Value Method Codes

Q = Appraisal

V = Other

W = Estimated

(See Column C2)

U = Book Value

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Section VII:

Line 1: Purchased in 1984; \$13,980; as instructed on 11 May 2018, I have deleted the numerous blank lines and renumbered the lines accordingly. For example, this line 1 entry was formerly the entry for line 4

Line 2: "RHB IRA" - Rhesa H. Barksdale IRA with UBS Financial Services; Jackson, Mississippi

Lines 11-17: Part of MetLife, including this variable flexible life insurance product, became Brighthouse Financial in 2017; the values provided in lines 12-17 are from its most recent "Your Annual Statement of Values", as of 27 August 2017

Line 18: The values provided in lines 19-23 are from the most recent "Policy Annual Report", as of 21 December 2017

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rhesa H. Barksdale

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544