

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gilliam Jr., Haywood S.	2. Court or Organization U.S. District Court, Northern District of California	3. Date of Report 08/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1301 Clay Street Oakland, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1 (no reportable holdings)
2.	Board President	Northern District of California Practice Program
3.	Board of Governors Member	Association of Business Trial Lawyers Northern California Chapter
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Jewish Family and Community Services (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Delaware Chapter of the Federal Bar Association	05/03/2018-05/04/2018	Wilmington, DE	Delaware Bench and Bar Conference	Transportation, hotel, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Brad Joondeph	Basketball tickets	\$2,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank cash accounts (cash)	A	Interest	L	T					
2. Chase cash accounts (cash)	A	Interest	J	T					
3. Security Benefit Life Total Value Annuity (fixed annuity)	A	Interest	L	T					
4. IRA #1 (H)									
5. Federated Treasury Obligations Capital MMF (cash)	A	Interest			Closed	05/21/18	K		
6. Cetera Insured Deposit Sweep Account (cash)	A	Interest			Open	05/21/18	K		
7.					Closed	06/28/18	M		
8. Steris PLC (STE)	A	Dividend			Sold	06/14/18	J	A	
9. Chubb Stock (CB)	A	Dividend			Sold	06/14/18	J	A	
10. Aarons Inc (AAN)	A	Dividend			Sold	06/14/18	J	A	
11. Albemarle Corp (ALB)	A	Dividend			Sold	06/14/18	J		
12. Andeavor (ANDV)		None			Sold	06/14/18	J		
13. Ameriprise Financial (AMP)	A	Dividend			Sold	06/14/18	J	B	
14. Antero Midstream Partners (AM)		None			Sold	06/14/18	J	A	
15. Bank of the Ozarks Stock (OZRK)	A	Dividend			Sold	06/14/18	J	B	
16. Becton Dickinson (BDX)	A	Dividend			Sold	06/14/18	J	C	
17. Buckeye Partners (BPL)		None			Sold	06/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
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18. Canadian National Railway (CNI)	A	Dividend			Sold	06/14/18	J	A	
19. Cardinal Health Stock (CAH)	A	Dividend			Sold	06/14/18	J		
20. Casey's General Store Stock (CASY)	A	Dividend			Sold	06/14/18	J	A	
21. Costco Wholesale (COST)	A	Dividend			Sold	06/14/18	J	C	
22. Ecolab Stock (ECL)	A	Dividend			Sold	06/14/18	J	C	
23. EOG Resources Stock (EOG)	A	Dividend			Sold	06/14/18	J	C	
24. EQT Midstream Partners (EQM)		None			Sold	06/14/18	J		
25. Enable Midstream Partners (ENBL)		None			Sold	06/14/18	J	A	
26. Enterprise Products Partners LP (EPD)		None			Sold	06/14/18	J	A	
27. Flowers Foods Stock (FLO)	A	Dividend			Sold	06/14/18	J	A	
28. General Dynamics (GD)	A	Dividend			Sold	06/14/18	J	A	
29. Hanover Ins Group (THG)	A	Dividend			Sold	06/14/18	J	B	
30. Harris Corporation Stock (HRS)	A	Dividend			Sold	06/14/18	J	D	
31. Hormel Foods Stock (HRL)	A	Dividend			Sold	06/14/18	J	B	
32. International Business Machines (IBM)	A	Dividend			Sold	06/14/18	J		
33. Illinois Tool Works (ITW)	A	Dividend			Sold	06/14/18	J	B	
34. Magellan Midstream Partners LP Stock (MMP)		None			Sold	06/14/18	J	C	

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35. Microsoft Stock (MSFT)	A	Dividend			Sold	04/19/18	K	D	
36. Nike Stock (NKE)	A	Dividend			Sold	06/14/18	J	C	
37. Novo Nordisk Stock (NVO)	A	Dividend			Sold	06/14/18	J		
38. Parker Hannifin Corporation Stock (PH)	A	Dividend			Sold	06/14/18	J	B	
39. Phillips 66 Partners (PSXP)		None			Sold	06/14/18	J	A	
40. Polaris Industries Stock (PII)	A	Dividend			Sold	06/14/18	J	B	
41. Raytheon Company (RTN)	A	Dividend			Sold	06/14/18	J	B	
42. Roche Holdings Stock (RHHBY)	A	Dividend			Sold	06/14/18	J		
43. Roper Industries Stock (ROP)	A	Dividend			Sold	06/14/18	J	C	
44. Ross Stores Stock (ROST)	A	Dividend			Sold	06/14/18	J	C	
45. SEI Investments Stock (SEIC)	A	Dividend			Sold	06/14/18	J	B	
46. Sherwin Williams Stock (SHW)	A	Dividend			Sold	06/14/18	J	D	
47. Shire PLC (SHPG)	A	Dividend			Sold	06/14/18	J	A	
48. Spectra Energy LP (SEP)		None			Sold	05/18/18	J		
49. Suncor Energy (SU)	A	Dividend			Sold	06/14/18	J	B	
50. TJX Stock (TJX)	A	Dividend			Sold	06/14/18	J	C	
51. Tallgrass Energy Partners (TEP)		None			Sold	06/14/18	J	A	

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52. Tallgrass Energy Group Cl A (TEGP)		None			Sold	06/14/18	J	A	
53. Tencent Holdings (TCEHY)	A	Dividend			Sold	06/14/18	J	C	
54. Texas Instruments (TXN)	A	Dividend			Sold	06/14/18	K	D	
55. Union Pacific Corp (UNP)	A	Dividend			Sold	06/14/18	J	B	
56. United Technologies Corporation Stock (UTX)	A	Dividend			Sold	06/14/18	J	B	
57. Walmart Stores Inc. Stock (WMT)	A	Dividend			Sold	06/14/18	J	B	
58. Western Gas Partners (WES)		None			Sold	06/14/18	J		
59. Western Gas Equity Partners (WGP)		None			Sold	06/14/18	J		
60. Williams Partners (WPZ)	A	Dividend			Sold	06/14/18	J	A	
61. Xilinx Inc (XLNX)	A	Dividend			Sold	06/14/18	J	A	
62. Digital Realty Trust (DLR)	A	Dividend			Sold	06/14/18	J	A	
63. IRA # 2 (H)									
64. Federated Treasury Obligations MMF (cash)	A	Distribution			Closed	05/21/18	J		
65. Cetera Insured Deposit Sweep Account (cash)	A	Interest			Open	05/21/18	K		
66.					Closed	06/27/18	K		
67. M/I Homes Bonds (55292PAB1)		None			Sold	01/26/18	J	A	
68. HCI Group Inc Bonds (40416EAB9)		None			Sold	03/07/18	J		

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69. New Mtn Fin Corp Bonds (647551AB6)		None			Sold	04/11/18	J		
70. Huron Consulting Group Bonds (447462AB8)	A	Interest			Sold	05/29/18	J		
71. ANI Pharmaceuticals Bonds (00182CAA1)		None			Sold	05/29/18	J	A	
72. Rovi Corp Sr Nt Bonds (779376AD4)	A	Interest			Sold	06/13/18	J	A	
73. Echo Global Logistics Bonds (27875TAA9)		None			Sold	03/14/18	J	A	
74. PennyMac Bonds (70932AAB9)	A	Interest			Sold	06/15/18	J	A	
75. Calamp Corp. Bonds (128126AB5)	A	Interest			Sold	05/30/18	J	B	
76. Portfolio Recovery Associates Bonds (73640QAB1)	A	Interest			Sold	05/29/18	J	A	
77. Aceto Corp. Bonds (004446AD2)		None			Sold (part)	04/24/18	J		
78.					Sold	04/26/18	J		
79. Arbor Realty Tr Inc Bonds (038923AB4)	A	Interest			Sold	06/08/18	J	A	
80. On Semiconductor Bonds (682189AK1)	A	Interest			Sold	05/31/18	J	D	
81. TTM Technologies Bonds (87305RAD1)		None			Sold	06/01/18	J	D	
82. Colony Capital Bonds (19624RAB2)	A	Interest			Sold	04/27/18	J		
83. Tutor Perini Corp Fxd Rt Conv (901109AD0)		None			Buy	03/23/18	J		
84.					Sold	06/01/18	J		
85. Barclays Bank PLC Synthetic Convertible Notes 06741jv893 (06741JV89)		None			Sold	05/31/18	J		

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86. Inphi Corp Bonds (45772FAC1)	A	Interest			Sold (part)	01/09/18	J		
87.					Sold	06/04/18	J		
88. PDC Energy Inc GTD Fixed Rate Note (69327RAD3)		None			Buy	03/23/18	J		
89.					Sold	06/14/18	J	A	
90. Societe Generale Medium Term Notes (83368WXV8)		None			Sold	05/31/18	J	B	
91. New York Mtg Tr Inc Fxd Rt Conv Nts (649604AD7)	A	Interest			Sold	06/01/18	J	A	
92. Blackstone Mtg Trust Bonds (09257WAB6)	A	Interest			Sold	05/31/18	J		
93. Atlas Air Bonds (049164BH8)	A	Interest			Sold	05/31/18	J	C	
94. Horizon Global Corp Bonds (44052WAA2)	A	Interest			Sold	06/19/18	J		
95. Apollo Commercial Real Estate Bonds (03762UAB1)	A	Interest			Sold	05/31/18	J	A	
96. Western Asset Mtg Cap Corp Bonds (95790DAA3)	A	Interest			Sold	05/29/18	J	A	
97. Integrated Device Tech Bonds (458118AC0)	A	Interest			Sold	05/29/18	J	B	
98. Theravance Inc Bonds (88338TAB0)	A	Interest			Sold	05/07/18	J	A	
99. Veeco Instrs Inc Bonds (922417AD2)	A	Interest			Sold	03/06/18	J	A	
100. Redwood Tr Inc Fxd Rt Sr Nt Conv (758075AC9)	A	Interest			Buy	01/30/18	J		
101.					Sold	06/13/18	J	A	
102. Helix Energy Solutions Group Inc (42330PAJ6)		None			Buy	03/23/18	J		

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103.					Sold	06/22/18	J	A	
104. Macquarie Infrastructure Corp Bonds (55608BAB1)	A	Interest			Sold	04/11/18	J		
105. Amtrust Finl Svcs Bonds (032359AG6)	A	Interest			Sold	06/22/18	J	A	
106. Viavi Solutions Inc Fxd Rt Sr Nt Conv (925550AB1)		None			Buy	05/02/18	J		
107.					Sold	05/30/18	J		
108. Finisar Corp. Bonds (31787AAM3)		None			Buy (add'l)	02/13/18	J		
109.					Sold	06/08/18	J		
110. Deutsche Bank AG, London Branch Lkd (25155MKM28)		None			Buy	03/19/18	J		
111.					Sold	03/23/18	J		
112. JPMorgan Chase Finl Co LLC Global GTD (48129HU39)		None			Buy	03/15/18	J		
113.					Sold	03/23/18	J		
114. JPMorgan Chase Finl Bal GTD Med Term (46647MRL9)		None			Buy	04/19/18	J		
115.					Sold	04/26/18	J		
116. Green Plains Inc Fxd Rt Sr Nt Conv (393222AF1)		None			Buy	04/30/18	J		
117.					Sold	05/29/18	J	A	
118. IRA # 3 (H)									
119. Horizon Active Risk Assist Fund Class N (ARANX)	B	Dividend	K	T	Buy	06/15/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/21/18	J		
121. Horizon Defined Risk Fund Investor Class (HNDRX)	A	Dividend	J	T	Buy	12/21/18	J		
122. Horizon Active Income Fund Class N (AIMNX)	A	Dividend	J	T	Buy	06/15/18	K		
123.					Sold (part)	12/21/18	J		
124. First Tr ETF III Horizon Managed Vol Dev Intl ETF (HDMV)	A	Dividend	J	T	Buy	06/15/18	J		
125. First Tr ETF III Horizon Managed Vol Domestic ETF (HUSV)	A	Dividend	J	T	Buy	06/15/18	J		
126. Invesco ETF Tr Dynamic Large Cap Growth ETF (PWB)	A	Dividend			Buy	06/15/18	J		
127.					Sold	12/21/18	J		
128. iShares Tr Short Treas Bd ETF (SHV)	A	Dividend	J	T	Buy	06/15/18	J		
129.					Sold (part)	12/21/18	J		
130. Vanguard Bd Index Fds Vanguard Total Bd Market ETF (BND)	A	Dividend	J	T	Buy	06/15/18	J		
131. Barclays Bloomberg Commodity Index (DJP)		None			Sold	06/14/18	J	A	
132. DBX ETF (formerly Deutsche) X-Trackers MSCI EAFE Equity ETF (DBEF)		None			Sold	06/14/18	J	A	
133. DBX X-Trackers High Yield Corp BF (HYLB)	A	Dividend			Sold	06/14/18	J		
134. Edgewood Growth Fund Institutional Fund (EGFLX)		None			Sold	06/14/18	J	A	
135. Delaware Small Cap Core Fund Instl Class (DCCIX)		None			Sold	06/01/18	J	A	
136. Eaton Vance Floating Rate Fund (EIBLX)	A	Dividend			Sold	02/05/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Eaton Vance Global Macro Abs Ret (EGRIX)		None			Sold	06/14/18	J		
138. Federated Strategic Value Dividend Fund (SVAIX)	A	Dividend			Sold	06/01/18	J		
139. iShares TR Core U.S. Aggregate Bd ETF (AGG)	A	Dividend			Buy	01/19/18	J		
140.					Buy (add'l)	02/05/18	J		
141.					Buy (add'l)	04/02/18	J		
142.					Sold (part)	04/19/18	J		
143.					Sold (part)	04/20/18	J		
144.					Sold	06/14/18	J		
145. iShares TR iBoxx USD Investment Grade Corporate Bond ETF (LQD)	A	Dividend			Buy (add'l)	02/05/18	J		
146.					Sold	06/14/18	J		
147. iShares Barclays 20+ Yr Treas Bd (TLT)	A	Dividend			Sold	05/03/18	J		
148. iShares TR Barclays 7-10 Year Treasury Bond ETF (IEF)	A	Dividend			Sold	06/14/18	J		
149. iShares TR 1-3 Year Treasury Bond ETF (SHY)	A	Dividend			Buy (add'l)	05/03/18	J		
150.					Sold	06/14/18	J		
151. iShares TR MSCI ACWI ETF (ACWI)		None			Buy (add'l)	02/23/18	J		
152.					Sold (part)	04/02/18	J		
153.					Sold	06/14/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. iShares TR Core MSCI EAFE (IEFA)		None			Buy (add'l)	04/19/18	J		
155.					Sold	06/14/18	J	A	
156. John Hancock Disciplined Value Fund Class A (JVLAX)		None			Sold	06/14/18	J	A	
157. Neuberger Berman Long Short Fund Institutional Class (NLSIX)		None			Sold	06/01/18	J		
158. Oppenheimer International Growth Fund (OIGYX)		None			Sold	06/14/18	J	A	
159. Oppenheimer Developing Markets Fund (ODVYX)		None			Sold (part)	01/19/18	J		
160.					Sold	06/14/18	J	A	
161. Invesco (formerly PowerShares) DWA Momentum Portfolio ETF (PDP)		None			Sold	06/14/18	J	A	
162. Schwab Strategic Tr US Small-Cap ETF (SCHA)		None			Buy	04/19/18	J		
163.					Sold	06/14/18	J	A	
164. SPDR Technology Select Sector ETF (XLK)	A	Dividend			Sold	06/14/18	J	B	
165. Templeton Global Bond Advantage Fund (TGBAX)	A	Dividend			Sold	02/05/18	J		
166. Vanguard Bond Index Short Term Bd (BSV)	A	Dividend			Sold	02/05/18	J		
167. Vanguard Malvern Short-Term Inflation Protected Securities ETF (VTIP)	A	Dividend			Sold	06/14/18	J		
168. Vanguard Mtg Backed Secs (VMBS)	A	Dividend			Sold	06/14/18	J		
169. Vanguard Index Funds Vanguard REIT (VNQ)	A	Dividend			Sold	06/14/18	J		
170. Vanguard Index Funds Vanguard Total Stk Mkt (VTI)	A	Dividend			Buy (add'l)	04/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	06/14/18	J	A	
172. IRA #4 (H)									
173. FDIC Insured Deposit Sweep Account (cash)	A	Interest	J	T	Open	12/01/18	J		
174. Medtronic PLC Shs (MDT)	A	Dividend	J	T	Buy	06/29/18	J		
175. Steris PLC Shs (STE)	A	Dividend	J	T	Buy	06/29/18	J		
176. Chubb LTD Com (CB)	A	Dividend	J	T	Buy	06/29/18	J		
177.					Sold (part)	08/02/18	J	A	
178. Aaron's Inc Com (AAN)	A	Dividend	J	T	Buy	06/29/18	J		
179. Albemarle Corp Com (ALB)	A	Dividend	J	T	Buy	06/29/18	J		
180. Ameriprise Finl Inc Com (AMP)	A	Dividend	J	T	Buy	06/29/18	J		
181. Andeavor Logistics LP Com (ANDX)		None			Buy	06/29/18	J		
182.					Sold	12/06/18	J		
183. Antero Midstream Partners LP (AM)		None	J	T	Buy	06/29/18	J		
184. Bank Ozk (formerly Bank of the Ozarks) (OZK)	A	Dividend			Buy	06/29/18	J		
185.					Sold	08/20/18	J		
186.					Buy	08/29/18	J		
187.					Sold	10/30/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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188. Becton Dickinson & Co (BDX)	A	Dividend	J	T	Buy	06/29/18	J		
189. Canadian Natl Ry Co Com (CNI)	A	Dividend	J	T	Buy	06/29/18	J		
190. Canadian Natural Res LTD (CNQ)	A	Dividend	J	T	Buy	06/29/18	J		
191. Casey's Gen Stores Inc (CASY)	A	Dividend	J	T	Buy	06/29/18	J		
192. Cheniere Energy Partners LP COM (CQP)		None	J	T	Buy	06/29/18	J		
193. Church & Dwight Co Inc (CHD)	A	Dividend	J	T	Buy	06/29/18	J		
194.					Buy (add'l)	08/02/18	J		
195. Costco Wholesale Corp New Com (COST)	A	Dividend	J	T	Buy	06/29/18	J		
196.					Sold (part)	08/02/18	J	A	
197. EQM Midstream Partners LP (EQM)		None	J	T	Buy	06/29/18	J		
198.					Sold (part)	08/02/18	J		
199. Ecolab Inc Com (ECL)	A	Dividend	J	T	Buy	06/29/18	J		
200. Enable Midstream Partners LP Com (ENBL)		None	J	T	Buy	06/29/18	J		
201. EQGP Holdings LP Com (EQGP)		None			Buy	06/29/18	J		
202.					Sold	12/06/18	J		
203. Energy Transfer LP Com (ET)		None	J	T	Buy	06/29/18	J		
204. Enlink Midstream Ream Partners LP (ENLK)		None	J	T	Buy	06/29/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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205. Enterprise Prods Partners LP (EPD)		None	J	T	Buy	06/29/18	J		
206.					Buy (add'l)	12/06/18	J		
207. General Dynamics Corp Com (GD)	A	Dividend	J	T	Buy	06/29/18	J		
208. Hanover Ins Group Inc Com (THG)	A	Dividend	J	T	Buy	06/29/18	J		
209. Hasbro Inc Com (HAS)	A	Dividend	J	T	Buy	06/29/18	J		
210.					Buy (add'l)	08/02/18	J		
211. Helmerich & Payne Inc Com (HP)	A	Dividend	J	T	Buy	06/29/18	J		
212. Hess Midstream Partners LP (HESM)		None	J	T	Buy	06/29/18	J		
213. Hormel Foods Corp Com (HRL)	A	Dividend	J	T	Buy	06/29/18	J		
214. Illinois Tool Works Inc Com (ITW)	A	Dividend	J	T	Buy	06/29/18	J		
215.					Sold (part)	08/29/18	J		
216. International Business Machs Corp Com (IBM)	A	Dividend	J	T	Buy	06/29/18	J		
217. Intl Flavors & Fragrances Inc (IFF)	A	Dividend	J	T	Buy	08/02/18	J		
218. Lockheed Martin Corp Com (LMT)	A	Dividend	J	T	Buy	08/02/18	J		
219. MPLX LP Com Unit Repstg LTD Partner Int (MPLX)		None	J	T	Buy	06/29/18	J		
220.					Sold (part)	08/02/18	J	A	
221. Magellan Midstream Partners LP Com Unit (MMP)		None	J	T	Buy	06/29/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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222. Microsoft Corp Com (MSFT)	A	Dividend	J	T	Buy	06/29/18	J		
223.					Sold (part)	08/02/18	J	A	
224. Nike Inc Cl B (NKE)	A	Dividend	J	T	Buy	06/29/18	J		
225. Noble Midstream Partners LP Com Unit (NBLX)		None	J	T					
226. Occidental Pete Corp Com (OXY)	A	Dividend	J	T	Buy	06/29/18	J		
227. ONEOK Inc New Com (OKE)		None	J	T	Buy	12/06/18	J		
228. Parker Hannifin Corp Com (PH)	A	Dividend	J	T	Buy	06/29/18	J		
229. Phillips 66 Partners LP Com (PSXP)		None	J	T	Buy	06/29/18	J		
230. Polaris Industries Inc Com (PII)	A	Dividend	J	T	Buy	06/29/18	J		
231. Raytheon Co Com New (RTN)	A	Dividend	J	T	Buy	06/29/18	J		
232. Roper Technologies Inc Com (ROP)	A	Dividend	J	T	Buy	06/29/18	J		
233. Ross Stores Inc (ROST)	A	Dividend	J	T	Buy	06/29/18	J		
234. SEI Investments Co Com (SEIC)		None	J	T	Buy	06/29/18	J		
235. Sherwin Williams Co Com (SHW)	A	Dividend	J	T	Buy	06/29/18	J		
236.					Sold (part)	10/30/18	J		
237. Shire PLC Spons ADR (SHPG)	A	Dividend	J	T	Buy	06/29/18	J		
238. Summit Midstream Partners LP Com (SMLP)		None	J	T	Buy	06/29/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Suncor Energy Inc New Com (SU)	A	Dividend	J	T	Buy	06/29/18	J		
240. TJX Cos Inc New Com (TJX)	A	Dividend	J	T	Buy	06/29/18	J		
241. Tallgrass Energy GP LP Shs Cl A (TGE)		None	J	T	Buy	07/03/18	J		
242.					Sold (part)	10/30/18	J		
243.					Sold (part)	12/06/18	J		
244. Targa Res Corp Com (TRGP)		None	J	T	Buy	10/30/18	J		
245. Tencent Hldgs LTD ADR (TCEHY)		None	J	T	Buy	06/29/18	J		
246.					Buy (add'l)	08/20/18	J		
247. Texas Instruments Inc (TXN)	A	Dividend	J	T	Buy	06/29/18	J		
248.					Sold (part)	12/06/18	J		
249. USA Compression Partners LP Com Unit (USAC)		None	J	T	Buy	10/30/18	J		
250. Union Pac Corp Com (UNP)	A	Dividend	J	T	Buy	06/29/18	J		
251. Valero Energy Partners (VLP)		None			Buy	06/29/18	J		
252.					Sold	10/30/18	J	A	
253. V F Corp Com (VFC)	A	Dividend	J	T	Buy	06/29/18	J		
254. Viper Energy Partners LP Com Unit (VNOM)		None	J	T	Buy	06/29/18	J		
255. Visa Inc Com Cl A (V)	A	Dividend	J	T	Buy	08/29/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	12/06/18	J		
257. Western Gas Partners LP Com Unit (WES)		None	J	T	Buy	06/29/18	J		
258.					Sold (part)	10/30/18	J		
259. Williams Partners LP New Com (WPZ)	A	Dividend			Buy	08/02/18	J		
260.					Merged (with line 261)	08/13/18	J	A	
261. Williams Cos Inc Com (WMB)	A	Dividend	J	T	Buy (add'l)	10/30/18	J		
262. Xilinx Inc Com (XLNX)	A	Dividend	J	T	Buy	06/29/18	J		
263. Digital Rlty Tr Inc Com (DLR)	A	Dividend	J	T	Buy	06/29/18	J		
264. Miller Convertible Bond Fund Class I (MCIFX)	D	Dividend	N	T	Buy	06/29/18	N		
265. Brokerage Account #1 (H)									
266. Gamco Global Gold, Natural Resources and Income Trust (GGN)	A	Dividend	J	T					
267. Tier REIT I (TIER)	A	Dividend	J	T					
268. COLLEGE 529 #1 (H)									
269. American Funds College Enrollment Fund-529A (CENAX)	C	Dividend	M	T	Buy	04/16/18	M		
270. American Funds College 2018 Fund -- 529 (CNEAX)	B	Dividend			Sold	04/16/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 135 & 225: These assets became reportable without corresponding reportable transactions.

Part VII, lines 237 & 282 (2017): These assets are not reportable in this cycle; there are no corresponding reportable transactions.

Part VII, line 165: Ticker symbol change only; see 2017, line 279.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Haywood S. Gilliam Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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