

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grandy, Laura K.	2. Court or Organization Bankruptcy Court - Southern Illinois	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Melvin Price Federal Building United States Courthouse 750 Missouri Avenue East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/02/2010	Mathis, Marifian & Richter Ltd. See Note in Part VIII
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Mathis, Marifian & Richter, Ltd	\$13,710.32
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judicial Center	03/23/17	Charleston, SC	Nat'l Workshop for Bankruptcy Judge-I	1,804.87
2. The Court	05/03/17	Indianapolis, IN	Seventh Circuit Judicial Conference	1,255.51
3. The Court	11/13/17	Chicago, IL	Seventh Circuit Bankruptcy Conference	1,081.84
4. Bankruptcy Association of Southern IL	05/04/17-05/05/17	Fairview Heights, IL	Food & Misc-Annual Judge's Dinner on behalf of BASIL. Misc for "goody bags".	1,129.55

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5.	University of Kentucky- Continuing Legal Education Judge Joe Lee Bankruptcy Institute	06/08/17-06/09/17	Lexington, KY	Mileage & Meals	364.53
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Springfield	Note Payable	None
2.	FCB Swansea	Mortgage on building by MMRG Building LLC	None
3.	Bank of Springfield	Mortgage - Pineywood Property	K
4.	Bank of Belleville	Mortgage on building by MMRG Building LLC	PI
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Northwestern Mutual Variable Annuity (H)									
2. International Equity Franklin Tpl	C	Dividend	K	T					
3. Small Cap Growth Wellington	D	Dividend	K	T					
4. Russell Non-US	C	Dividend	K	T					
5. Domestic Equity Delaware Mgmt	D	Dividend	K	T					
6. Focused Appreciation Janus	D	Dividend	K	T					
7. Equity Income T Rowe Price	C	Dividend	K	T					
8. Northwestern Mutual 65 Life Insurance Policy	C	Dividend	L	T					
9. Northwestern Mutual Variable CompLife Insurance Policy	B	Dividend	L	T					
10. Large Cap Blend Fiduciary Mgmt	A	Dividend	J	T					
11. Large Cap Core Stock	A	Dividend	J	T					
12. Mid Cap Core Stock	A	Dividend	J	T					
13. Small Cap Value T Rowe Price	A	Dividend	J	T					
14. International Equity Franklin Tmpl	A	Dividend	J	T					
15. International Growth FIAM LLC	A	Dividend	J	T					
16. Select Bond Wells Capital Mgmt	A	Dividend	J	T					
17. Strategic Bond (formerly Russell Core Bond)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Long Term US Govt Bond Pimco	B	Dividend	K	T					
19. High Yield Bond Federated Inc	A	Dividend	J	T					
20. Global Real Estate (formerly Russell Global Real Estate)	A	Dividend	J	T					
21. Money Market Black Rock	A	Dividend	J	T					
22. Raymond James Trust U/A dtd 07/02/98 (H)									
23. Cash/Money Market Series 2 term (Y)									
24. Apple Inc (APPL)	B	Dividend	M	T					
25. First Eagle Global Fund A (SGENX)	D	Dividend	M	T	Sold (part)	08/07/17	K	D	
26. First Eagle US Value Fund A (FEVAX)	D	Dividend	L	T	Sold (part)	08/07/17	J	C	
27. Federated Kaufmann Large Cap C (KLCCX)	B	Dividend	M	T					
28. Freeport McMorgan Copper and Gold Class B (FCX)		None	J	T					
29. FT Capital Strength Ser 2 Cash (Y)									
30. Ivy Core Equity Fund (WCEAX)	D	Dividend	L	T					
31. Raymond James (H)									
32. Federated Kaufmann Large Cap A (KLCAX)	A	Dividend	L	T	Sold (part)	08/04/17	K	E	
33. First Trust Technology Dividend Portfolio Series 5 (Y)									
34. Fundamental Investors Fund Class A (ANCFX)	D	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Putnam Capital Spectrum Fund Class C (PVSCX)		None	L	T					
36. Federated Strategic Value (SVAAX)	D	Dividend	K	T	Sold (part)	08/04/17	K	C	
37. Lord Abbott Growth (LGLCX)	C	Dividend	L	T					
38. American Funds Europacific (AEPFX)	A	Dividend	J	T					
39. AF American Mutual F2 (AMRFX)	A	Dividend	J	T	Sold (part)	08/02/17	J	A	
40. Champlain Mid Cap Fund (CIPMX)	A	Dividend	J	T	Sold (part)	07/31/17	J	A	
41. JPMorgan US Large Cap Core Plus (JLPSX)	B	Dividend	J	T					
42. MFS Research Fund Class A (MFRFX)	A	Dividend	J	T					
43. T Rowe Price Small Cap Value Adv (PASVX)	A	Dividend	J	T	Sold (part)	11/14/17	J	A	
44. Clearbridge Equity (SOPYX)	A	Dividend	J	T					
45. Wells Fargo Advantage Int (WFBVIX)	A	Dividend	J	T					
46. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
47. John Hancock Disciplined Value (JVMIX)	A	Dividend	J	T	Sold (part)	08/02/17	J	A	
48. Metropolitan West Rtn Bond (MWTIX)	A	Dividend	J	T	Buy (add'l)	08/02/17	J		
49. Prudential Absolute (PADZX)	A	Dividend	J	T					
50. First Trust S&P Reit Index Fund (Y)									
51. iShares TR MSCI Denmark (EDEN) (Y)									

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52. Federated Institutional High Yield (FIHBY)	A	Dividend	J	T					
53. Pioneer Fundamental Growth (PIGFX)	C	Dividend	L	T					
54. First Trust Cyclical Strength Portfolio Series 10 Term	A	Dividend			Buy (add'l)	06/26/17	J		
55.					Sold	07/25/17	K	D	
56. Baird Aggregate Bond Fund Instl Class (BAGIX)	A	Dividend	K	T	Buy (add'l)	07/31/17	J		
57. First Trust Cyclical Strength Portfolio Series 2 Term		None			Sold	01/23/17	K	A	
58. Thornburg Core Growth Fund Class C (TCGCX)		None			Sold	08/04/17	K	C	
59. First TR Exchange Traded FD VI Dorsey Wright Focus (FV)	A	Dividend	K	T					
60. First Trust Biotechnology Series 30 Term	A	Dividend			Sold	10/02/17	K	B	
61. Clearbridge Aggressive Growth Fund (SAGCX)		None			Sold	10/02/17	K	A	
62. Raymond James (H)									
63. Cash/Money Market	A	Interest	J	T					
64. Blackrock Global A (MDLOX)	C	Dividend	L	T					
65. Blackrock Global B (MBLOX) (Y)									
66. Loomis Sayles Income Fund Class A (NEFZX) (Y)									
67. Lord Abbott Bond (LBNDX)	A	Dividend	K	T					
68. Pineywoods, Swansea, IL (2001 \$226,000)		None	M	R					See Note in Part VIII

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69. MMRG Building, Belleville, IL (2003 \$370,000)	E	Rent	N	R					
70. Merrill Lynch (H)									
71. Cash/Money Market	A	Interest	K	T					
72. FIA Card Services Money Market Account	A	Interest	L	T					
73. Comcast Corp New CL A (CMCSA)	A	Dividend			Sold (part)	07/17/17	J	A	
74.					Sold	10/12/17	K	D	
75. Danaher Corp DEL COM (CMCSA)	A	Dividend	K	T					
76. Franklin Income Fd Adv (FRIAX (Y)									
77. Honeywell Intl Inc Del (HON)	A	Dividend	K	T					
78. Loomis Sayles Growth (LSGRX)	A	Dividend	J	T	Sold (part)	07/31/17	J	B	
79. Mondelez International Inc (MDLX)	A	Dividend			Sold (part)	01/24/17	J	A	
80.					Sold (part)	03/15/17	J	A	
81.					Sold	07/12/17	J	B	
82. Putnam Capital (PVSYSK) (Y)									
83. Putnam Diversified (PDVYX) (Y)									
84. Starwood Property TR (STWD)	B	Dividend			Sold (part)	05/11/17	J	A	
85.					Sold (part)	06/16/17	J	A	

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86.					Sold	07/05/17	J	A	
87. Time Warner (TWX) (Y)									
88. Under Armor Inc (UA)		None			Sold	02/08/17	J	A	
89. Kinder Morgan Energy Partners LP (Y)		None							See Note in Part VII
90. Energy Transfer PTNRS LP	B	Int./Div.	K	T					
91. Bank of America Merrill Lynch Wealth Mgmt (Y)									
92. Money Market Checking Sweep Acct	A	Interest	K	T					
93. AXA Equitable Life Ins Co Accumulator	B	Int./Div.	M	T					
94. Apple Inc (AAPL)	B	Dividend	M	T	Sold (part)	08/07/17	K	D	
95. NXP Semiconductor (NXP)		None			Sold	05/19/17	J	D	
96. Ishares MCSI Frontier (FM) (Y)									
97. First TR Exchange Traded FD Dow Jones IN (FDN) (Y)									
98. Fireeye Inc (FEYE) (Y)									
99. Amazon Com Inc (AMZN)		None	L	T	Sold (part)	06/02/17	K	D	
100.					Sold (part)	10/16/17	K	D	
101. Eli Lilly Co (LLY)	A	Dividend			Sold	05/11/17	K	B	
102. Johnson & Johnson (JNJ)	A	Dividend	K	T					

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103. McDonald's Corp (MCD)	A	Dividend	K	T					
104. Constellation Brands Inc (STZ)	A	Dividend	K	T					
105. Fireeye Inc (FEYE) (Y)									
106. Hennessey Cornerstone Mid (HIMDX) (Y)									
107. Verizon Communications (VZ)	A	Dividend			Sold (part)	05/11/17	J	A	
108.					Sold (part)	05/19/17	J	A	
109.					Sold	06/28/17	K	A	
110. AQR Manages Futures Strategy Fund (AQMNX)		None	J	T	Buy (add'l)	07/31/17	J		
111. First Trust Capital Strength Portfolio Series 33 Term	A	Dividend	K	T					
112. Harding Loevner Emerg Markets Fund (HLEMX)	A	Dividend	J	T					
113. Artisan International Fund (APDIX)	A	Dividend	J	T					
114. Pfizer Inc (PFE)	A	Dividend			Sold	05/11/17	J	A	
115. DST Systems Inc (DST)		None			Sold	01/03/17	J	A	
116. Sunamerica Focused (FDSWX)	B	Dividend			Sold	05/23/17	K	B	
117. NVIDIA	A	Dividend	L	T	Sold (part)	02/09/17	J	C	
118.					Sold (part)	10/11/17	K	D	
119. American Waterworks (AWK)	A	Dividend			Sold	03/28/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Grandy, Laura K.

Date of Report

05/09/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Zimmer Biomet (ZBH)	A	Dividend			Buy (add'l)	02/08/17	J		
121.					Sold	05/04/17	J	A	
122. Salesforce Com Inc (CRM)		None			Sold	01/03/17	J	A	
123. Ventas Inc (VTR)		None			Sold	03/06/17	K	A	
124. AT&T	A	Dividend			Sold (part)	05/11/17	J	A	
125.					Sold	06/28/17	K	A	
126. Devon Energy Corp (DVN)	A	Dividend			Sold	06/26/17	K	A	
127. Nuveen AMT Free Quality (NEA)	A	Dividend	J	T					
128. SPDR Dow Jones Indust (DIA)	A	Dividend			Sold (part)	01/30/17	K	A	
129.					Sold	2/28/17	K	B	
130. iShares National Muni (MUB)	A	Dividend	K	T					
131. Facebook (FB)		None	K	T					
132. Nike Inc CL (NKE) (Y)									
133. Nuveen Perf Pls Muni (NPP) (Y)									
134. Mastercard (MA)	A	Dividend	K	T					
135. Unitedhealth Group Inc (UNH) (Y)									
136. Invesco Municipal (OIA) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Grandy, Laura K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Vaneck Vectors High (HYD) (Y)									
138. Realty Incm MD (REIT) (Y)									
139. Royal Dutch Shell PLC (RDSB) (Y)									
140. First Trust TR Managed (FMB) (X)	A	Dividend	K	T					
141. Federated Kaufman (KAUAX) (X)	B	Dividend	K	T					
142. AIG Focused (FDSAX) (X)	A	Dividend	J	T					
143. Thornburg Intl Growth Class C (TIGCX)	B	Dividend	K	T	Buy	08/04/17	K		
144. Advanced Micro (AMD)		None			Buy	03/03/17	K		
145.					Buy (add'l)	05/18/17	J		
146.					Buy (add'l)	05/19/17	J		
147.					Buy (add'l)	06/26/17	J		
148.					Sold	12/06/17	K	A	
149. Altria Group (MO)	A	Dividend			Buy	01/24/17	J		
150.					Buy (add'l)	01/25/17	J		
151.					Buy (add'l)	02/08/17	J		
152.					Buy (add'l)	03/15/17	J		
153.					Buy (add'l)	06/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	09/06/17	K	A	
155. Broadcom (AVG)	A	Dividend	K	T	Buy	01/30/17	J		
156.					Buy (add'l)	02/09/17	J		
157.					Buy (add'l)	02/27/17	J		
158.					Buy (add'l)	05/11/17	J		
159. Cardinal (CCL)	A	Dividend	K	T	Buy	01/09/17	J		
160.					Buy (add'l)	07/12/17	J		
161.					Buy (add'l)	08/04/17	J		
162. Cypress Semiconductor (CY)	A	Dividend	K	T	Buy	05/31/17	J		
163.					Buy (add'l)	07/03/17	J		
164.					Buy (add'l)	09/15/17	J		
165.					Buy (add'l)	10/13/17	J		
166.					Buy (add'l)	11/02/17	J		
167. DowDupont (DWDP)	A	Dividend	K	T	Buy	09/18/17	J		
168.					Buy (add'l)	11/01/17	J		
169. Expedia (EXPE)	A	Dividend			Buy	08/02/17	K		
170.					Sold	11/01/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Grandy, Laura K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. General Dynamics	A	Dividend	K	T	Buy	01/09/17	J		
172.					Buy (add'l)	02/09/17	J		
173.			P2		Buy (add'l)	05/11/17	J		
174. Grubhub (GRUB)		None	K	T	Buy	02/09/17	J		
175. Waste Management (WM)	A	Dividend	K	T	Buy	01/03/17	J		
176.					Buy (add'l)	05/01/17	J		
177.					Buy (add'l)	08/30/17	J		
178. Health Care Select (HLV)	A	Dividend	K	T	Buy	05/11/17	K		
179.					Buy (add'l)	09/13/17	J		
180. Ishares NASDAQ Biotech (IBV)	A	Dividend	K	T	Buy	05/17/17	J		
181.					Buy (add'l)	06/28/17	K		
182. Lord Abbott Floating (LFRFX)	A	Dividend	J	T	Buy	02/22/17	J		
183. Oakmark Intl (OAKIX)	B	Dividend	L	T	Buy	04/27/17	K		
184.					Buy (add'l)	05/23/17	K		
185.					Buy (add'l)	09/12/17	J		
186. Powershares KBW (KBWB)		None			Buy	07/05/17	J		
187.					Sold	09/11/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Putnam Short Duration (PSDYX)	A	Dividend			Sold	02/22/17	J	A	
189. Sector SPDR Consumer (XLP)	A	Dividend	K	T	Buy	09/06/17	K		
190. First Trust S&P Dividend Aristocrats Target 25	A	Dividend	K	T	Buy	10/02/17	K		
191. First Trust Tech Select Series 63	A	Dividend	L	T	Buy	01/23/17	K		
192. Federated Kaufman Small Cap Class A (FKASK)	B	Dividend	K	T	Buy	10/02/17	K		
193. Federated International Strategic Value Div (IVFAX)	B	Dividend	L	T	Buy	08/04/17	L		
194. CREO Grandy		None	J	T	Buy	04/01/17	J		
195.									
196.									
197.									
198.									
199.									
200.									
201.									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II

Line 1 Payments on percentage of collections of fees for work performed and generated by Judge prior to departure.

Part VII

Line 68 -Residential Real Property which is currently occupied by mother. No income received.

Line 89 Publicly Traded Partnership sold in 2016

Line 90 Publicly Traded Partnership that generates interest and dividends

Investment sold in 2016 not shown on 2016 Financial Disclosure

Line 106 Hennessy Sold 05/24/16 J A

Line 132 Nike Sold 09/14/16 J A

Line 135 Unitedhealth Sold 09/14/16 J A

Line 136 Invesco Muni Sold 09/14/16 J A

Line 137 Vancek Vectors Sold 09/14/16 K A

Line 138 Realty Incm Sold 12/05/16 J A

Line 139 Royal Dutch Sold 09/14/16 K A

Investments listed on 2016 Financial Disclosure with no notation in column of D of sale of investment

With no balance at beginning of reporting period and no activity in 2017

Line 29 FT Capital Strength Ser 2 Cash

Line 33 First Trust Technology Dividend Portfolio Series 5

Line 50 First Trust S& P Reit Index Fund

Line 51 Ishares TR MSCI Denmark (EDEN)

Line 65 Blackrock Global B (MBLOX)

Line 66 Loomis Sayles Income Fund Class A (NEFZX)

Line 76 Franklin Income Fund Adv (FRIAX)

Line 82 Putnam Capital (PVSYSK)

Line 83 Putnam Diversified (PDVYX)

Line 87 Time Warner (TWX)

Line 96 Ishare MCSI Frontier (FM)

Line 97 First TR Exchange Traded FD Dow Jones (FDN)

Line 98 Fireeye Inc (FEYE)

Line 105 Fireeye Inc (FEYE)

Line 133 Nuveen Perf Pls Muni (NPP)

In the 2016 Financial Disclosure, lines 58, 83, 115, 139, 145, 151, 154, 214, 218, 221 and 226 were incorrectly listed as "sold (part)" when they should have been reported as "sold".

These assets were not held at anytime during 2017.

Prepared by Janet Frederking

Phone 618-236-1903

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Name of Person Reporting

Grandy, Laura K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Laura K. Grandy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544