

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Trimble, Jr, James T.	2. Court or Organization U S District Court for the Western District of Louisiana	3. Date of Report 10/04/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U S District Court for the Western District of Louisiana P O Box 1031 Alexandria, Louisiana 71309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ADP	A	Dividend	J	T					
2. KO	A	Dividend	J	T					
3. CREE	A	Dividend	J	T					
4.					Buy	09/23/13	J		
5. NYVTX	A	Dividend	J	T					
6.					Buy	11/11/13	J		
7. DE	A	Dividend	J	T					
8. DUR	A	Dividend	J	T					
9.					Buy	09/03/13	J		
10. KRFT	A	Dividend	J	T					
11. RTN	A	Dividend	J	T					
12.					Sold (part)	09/03/13	J	B	
13. ETN	A	Dividend	J	T					
14. GSDTX	A	Dividend	J	T					
15. GSZAX	A	Dividend	J	T					
16.					Buy	06/10/13	J		
17. HTGC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy	01/08/13	J		
19. AM FIRST QUANT DEF GRW INC	A	Dividend	J	T					
20.					Buy	06/10/13	J		
21. FCSAX	B	Dividend	K	T					
22.					Sold (part)	03/01/13	J		
23.					Sold (part)	06/26/13	J		
24.					Buy (add'l)	12/30/13	J		
25.					Buy (add'l)	06/28/13	J		
26. FSGFX	B	Dividend	K	T					
27.					Buy (add'l)	06/28/13	J		
28.					Buy (add'l)	09/30/13	J		
29. FVSAX	B	Dividend	K	T					
30.					Buy (add'l)	10/07/13	J		
31. FSAMX	A	Dividend	J	T					
32. FUSOX	B	Dividend	J	T					
33. FSCFX	B	Dividend	J	T					
34.					Buy (add'l)	10/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. FILEX	A	Dividend	L	T					
36.					Buy (add'l)	01/25/13	J		
37.					Sold (part)	03/01/13	J		
38.					Buy (add'l)	03/18/13	J		
39.					Buy (add'l)	06/26/13	J		
40.					Buy (add'l)	10/07/13	J		
41.					Buy (add'l)	11/07/13	J		
42. FSAGX	A	Dividend			Sold	05/23/13	J		
43. FTBFX	A	Dividend	J	T					
44.					Sold (part)	10/07/13	J		
45. FAUDX	A	Dividend	J	T					
46.					Buy (add'l)	03/18/13	J		
47.					Buy (add'l)	07/24/13	J		
48.					Sold (part)	08/08/13	J		
49.					Buy (add'l)	10/07/13	J		
50. FPIOX	A	Dividend	J	T					
51.					Sold (part)	10/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. FPCIX	A	Dividend	K	T					
53.					Buy (add'l)	01/25/13	J		
54.					Buy (add'l)	03/18/13	J		
55.					Buy (add'l)	05/23/13	J		
56.					Buy (add'l)	07/24/13	J		
57.					Buy (add'l)	09/13/13	J		
58.					Sold (part)	10/07/13	J		
59. FNSXX(FORMERLY-FIMM MMK PORT) INST CL) 36	A	Dividend	J	T					
60.					Sold (part)	01/25/13	J		
61.					Sold (part)	03/18/13	J		
62.					Sold (part)	05/23/13	J		
63.					Sold (part)	07/24/13	J		
64. MERFX		None			Sold (part)	03/01/13	J		
65.					Sold	10/07/13	J		
66. ARDNX	A	Dividend	J	T					
67. MWLDX(FORMERLY CREDIT SUISSE COMM RTN STRETEGY INSTL SH)		None			Sold	10/07/13	J		
68. PTRAX(FORMERLY PIMCO SHORT TEM ADM SHARES) 46		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Sold (part)	10/07/13	J		
70. PSFAX	A	Dividend	J	T					
71. PTINX(FORMERLY TEMPLETON GLOB BOND) 49	A	Dividend	J	T					
72. BXMMX	A	Dividend	J	T					
73.					Buy	08/08/13	J		
74. FSHBX	A	Dividend	J	T					
75.					Buy	10/07/13	J		
76. GE		None			Sold	05/30/13	J		
77. PFF	A	Dividend	J	T					
78.					Buy	05/23/13	K		
79.					Sold (part)	05/23/13	J		
80. MUR		None			Sold	05/23/13	J		
81. SLMCX		None	J	T					
82. FDAGX (FIDELITY ADVISORS CONSUM STAPLES)	A	Dividend	K	T	Buy	07/01/13	J		
83. FKUTX	A	Dividend	K	T					
84. TAGRX	A	Dividend	K	T					
85. DEEAX		None			Sold	01/29/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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86. FAFTX	A	Dividend	K	T					
87. PRTNX(FORMERLY PIMCO REAL RETURN)	A	Dividend	K	T					
88. CPHYX	A	Dividend	K	T					
89. FRDPX- FRANKLIN RISING DIVIDEND	A	Dividend	J	T					
90.					Buy	05/13/13	J		
91. IVV	A	Dividend	J	T					
92. BARKSDALE FEDERAL CREDIT UNION	A	Interest	J	T					
93. CAPITAL ONE	A	Interest	J	T					
94. LPL FINANCIAL	A	Interest	J	T					
95. EXXON	A	Interest	J	T					
96. FIDELITY MANAGEMENT	A	Interest	J	T					
97. FIDELITY CASH RESERVE	A	Interest	J	T					
98. AM CENTURY QUANTITATIVE EQUITY FUND	A	Dividend			Sold	01/15/13	K		
99. LPL STRATEGIC ASSET MANAGEMET	A	Interest	J	T					
100. STIFEL PRESTIGE & COMPANY	A	Dividend	J	T					
101. RAINTREE ASSOCIATES LTD GULF PORT, MS	D	Rent	N	W					
102. U S SAVINGS BONDS-SERIERS EE	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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103. FAIRPOINT COMMUNICATIONS INC	A	Dividend			Sold	01/04/13	J		
104. FRONTIER COMMUNICATION CORP	A	Dividend			Sold	01/04/13	J		
105. VERIZON COMMUNICATION	A	Dividend			Sold	01/04/13	J		
106.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ON THE 2012 REPORT THE FOLLOWING CHANGES

20.FIDELITY CAP AND INCOME (SOLD ALL)

21 FIDELITY HIGH INCOME (SOLD ALL)

48 T ROWE PRICE HIGH YIELD (SOLD ALL)

55 BLACKROCK NATL RES (SOLD ALL)

56 INTREPID CAPITAL MGNT (SOLD ALL)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James T. Trimble, Jr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544