

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AFRICK, LANCE M.	2. Court or Organization USDC, EASTERN DISTRICT OF LA	3. Date of Report 07/12/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 500 POYDRAS STREET ROOM C-405 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Committee Member and Past President	Allstate Sugar Bowl
2. Director	Africk Family Foundation, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Wilson Elser Moskowitz Edelman & Dicker LLP - guaranteed payments
2. 2017	Wilson Elser Moskowitz Edelman & Dicker LLP - wages
3. 2017	CE Oil & Tool Supply, Inc. - wages
4. 2017	Crescent Drilling & Production - wages

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New Orleans Bar Association	March 31 - April 2, 2017	Point Clear, AL	Bench Bar Conference	hotel, registration
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS Bank	Variable Rate Loan	P1
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Louisiana Local Gov. Enviro (Municipal Bond)	A	Interest	J	T					
2. Facebook Inc.		None	K	T					
3. Allendale, MI Public Callable Bond	A	Interest			Sold	05/01/17	K	A	
4. American Municipal Power OH Callable Bond	B	Interest	K	T					
5. Maryland St. Health & Higher Bond	B	Interest	K	T					
6. Illinois State FGIC Bond	C	Interest	L	T					
7. Georgia Municipal Electric Bond	A	Interest			Sold	01/01/17	J	A	
8. Columbus OH Swr Callable Bond SER A	B	Interest			Sold	12/01/17	K	A	
9. Columbus OH City Sch Callable Bond	B	Interest	L	T					
10. Florida St. BER Callable Bond (2)	D	Interest	M	T					
11. Connecticut St. Health & Ser Callable Bond	A	Interest			Sold	07/01/17	J	A	
12. Atlanta GA Water & Wastewater Rev Bond SER A	C	Interest	L	T					
13. Houston TX Util Sys Callable Bond	C	Interest	L	T					
14. Florida St Brd Ed Lottery SR A	B	Interest	K	T					
15. Nevada St FGIC Callable Bond	B	Interest			Sold	12/01/17	K	A	
16. Univ Houston TX Callable Bond	B	Interest	K	T					
17. Florida St. Dept Environmen. Callable Bond (3)	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Kentucky Infrastruct Callable Bond	C	Interest	L	T					
19. Florida St Brd Ed. Lottery SrB	C	Interest			Sold	07/07/17	L	A	
20. Metropolitan Trans AUNY SER A (2)	C	Interest	L	T					
21. Metropolitan Trans Auth Asur D (2) (formerly Met Trans Auth NY Bond F)	B	Interest	K	T					
22. Pennsylvania Higher Ed Callable Bond	C	Interest	L	T					
23. San Antonio TX Elec Callable Bond (2)	C	Interest			Sold	09/22/17	L	C	
24. Texas State SRA CRO (formerly Texas State)	C	Interest	L	T					
25. Amarillo Tex CTFS Callable Bond	A	Interest			Sold	05/15/17	K	A	
26. Connecticut St Gen Ser A Callable Bond	C	Interest	L	T					
27. Florida St Brd Educ Pub Callable Bond	B	Interest	L	T					
28. John Hancock Variable Annuity		None	N	T					
29. Blackrock MUN Target Term Trust BTT	C	Dividend	L	T					
30. Nuveen Inter Duration NID	C	Dividend	L	T					
31. Pimco Dynamic PCI	D	Dividend	K	T					
32. Louisiana St Gas & Fuels Tax (Y)									
33. Louisiana St RFDG SER C	C	Interest	M	T					
34. Metro Atlanta Rapid GA SER B	B	Interest			Sold	07/01/17	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Pueblo Cnty Colo CTFS PARTN Cnty Judicial Complex	B	Interest	K	T					
36. Atlanta GA WTR & WASTE WATER FSA SR B (Y)									
37. Boynton Beach Fla Util Sys Rev	B	Interest	K	T					
38. Miami Dade Cnty Fla SCH BRD CTFS SER B	C	Interest	L	T					
39. Louisiana LOC GOVT Environmental Assur SR B	B	Interest	K	T					
40. Center Coast MLP & Infrastructure FD	C	Dividend	K	T					
41. New Jersey ST Trans TRFD SER AA (2)	D	Interest	M	T					
42. Midland Cnty TEX Fresh WTR Supply DIST (2)	D	Interest	N	T					
43. University WIS Hosps & Clinics Auth Rev SER A	C	Interest	L	T					
44. Apple Inc	A	Dividend	K	T					
45. NJ Eco SR NN Y25	D	Interest	M	T					
46. Nuveen Ltd Municipal Bond Fund	B	Dividend	M	T	Buy (add'l)	04/06/17	M		
47. Johnson & Johnson	A	Dividend	J	T					
48. Proctor & Gamble PG	A	Dividend	J	T					
49. Apple Inc	A	Dividend	J	T					
50. New York ST NR3 (prev New York ST Dorm Auth REV Non State Sup Debt)	B	Interest	K	T					
51. Pennsylvania ST TPK Commn TPK REV for Issued DTD	D	Interest	M	T					

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52. Univ Ala CM1 (formerly Univ ALA At Birmingham HOSP REV SER A)	D	Interest	M	T					
53. Boeing Company Symbol BA	A	Dividend	K	T					
54. British Amer Tobacco PLC GB SPON ADR	A	Dividend	J	T					
55. Colgate Palmolive Co Symbol CL	A	Dividend	J	T					
56. Crane Co Symbol CR	A	Dividend	J	T					
57. Diago PLC New GB SPON ADR Symbol DEO	A	Dividend	J	T					
58. Dominion Resources Inc	A	Dividend	J	T					
59. Home Depot Inc Symbol HD	A	Dividend	J	T					
60. Illinois Tool Works Inc Symbol ITW	A	Dividend	J	T					
61. Intel Corp Symbol INTC	A	Dividend	J	T					
62. Johnson Controls Inc. Symbol JCI	A	Dividend	J	T					
63. Microsoft Corp Symbol MSFT	A	Dividend	J	T					
64. Medtronic PLC Symbol MDT	A	Dividend	J	T					
65. Nordstrom Inc Symbol JWN	A	Dividend	J	T					
66. Novartis AG SPON ADR Symbol NVS	A	Dividend	J	T					
67. Nextera Energy Inc COM Symbol NEE	A	Dividend	J	T					
68. Occidental Petroleum CRP Symbol OXY	A	Dividend	J	T					

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69. Pepsico Inc Symbol PEP	A	Dividend	J	T					
70. Rockwell Automation Inc NEW Symbol ROK	A	Dividend	J	T					
71. Invesco LTD Symbol IVZ	A	Dividend	J	T					
72. Schlumberger LTD Netherland Antilles Symbol SLB	A	Dividend	J	T					
73. Texas Instruments Symbol TXN	A	Dividend	J	T					
74. Union Pacific Corp Symbol UNP	A	Dividend	J	T					
75. United Technologies Corp Symbol UTX	A	Dividend	J	T					
76. VF Corp Symbol VFC	A	Dividend	J	T					
77. Yum Brands Inc Symbol YUM	A	Dividend	J	T					
78. New Jersey ST L22	B	Interest	K	T	Sold (part)	01/03/17	J	A	
79. Ecolab Inc Symbol ECL	B	Dividend	M	T	Sold (part)	07/03/17	J	A	
80. Bastrop Cnty Texas	A	Interest			Sold	02/15/17	J	A	
81. Tampa-Hillborough FL2	C	Interest	L	T					
82. Delaware VY ER3	A	Interest	K	T					
83. Jacksonville Elec 4H5	C	Interest	L	T					
84. Mass ST GO Consol Ln SR A	B	Interest	K	T					
85. Miami-Dade Cnty GS4	C	Interest	L	T					

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86. Connecticut ST LQ6	A	Interest	K	T					
87. New York ST MY6	B	Interest	L	T					
88. Broward CO FL VC9	D	Interest	M	T					
89. Jacksonville FL KA3	A	Interest	J	T					
90. Deltona FL BZ2	B	Interest	K	T					
91. Tangipahoa Par DK5	A	Interest	K	T					
92. Memphis Ctr BL7	C	Interest	L	T					
93. California St YB4	B	Interest	L	T					
94. Daytona Beach FL CF2	B	Interest	L	T					
95. Indianapolis Ind Loc Pub SER L	C	Interest			Sold	12/19/17	K	A	
96. NJ ECO V26	C	Interest	L	T					
97. Las Vegas Q60	B	Interest	K	T					
98. Southern ILL Univ Revs	A	Interest	J	T					
99. North Carolina Infrastru	B	Interest			Sold	08/03/17	L	A	
100. Tampa FL BH3	C	Interest	L	T					
101. Vermont St DR3	C	Interest	L	T					
102. Philadelphia PA WLL	A	Interest	J	T					

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103. Connecticut ST JU7	C	Interest	L	T					
104. Univ IL CP8	A	Interest			Sold	10/01/17	J	A	
105. Daytona Beach CC9	D	Interest	M	T					
106. Miami FL HU2	B	Interest	K	T					
107. North TEX TWY Auth Rev SER A	C	Interest			Sold	12/12/17	K	A	
108. Will Co KL8	B	Interest	K	T					
109. Maryland St LSO	A	Interest	K	T					
110. Miami Dade Cnty QU2	A	Interest	J	T					
111. Indiana Mun Pwr 2M2	A	Interest	K	T					
112. NJ ECO DV UTH Due Date 030124	A	Interest	K	T					
113. Onondaga Cnty NY Indl	B	Interest	K	T					
114. Kansas City Mo SPL OBLIG SR B	D	Interest	M	T					
115. Texas ST LW7	C	Interest	L	T					
116. New York St Twy Auth St SR A	D	Interest	M	T					
117. New Jersey Trans Trust SR AA	C	Interest	L	T					
118. New Jersey St Tok Auth SER A	B	Interest	K	T					
119. Victoria TX ISD	B	Interest			Sold	12/20/17	K	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pennsylvania St Tpk SR A-1	B	Interest	K	T					
121. Univ Pittsburgh SR B RV	B	Interest	K	T					
122. Connecticut St Gen SER C	D	Interest	M	T					
123. Spring Tex Indpt Sch Dist	A	Interest			Sold	02/15/17	J	A	
124. Fort Bend Cnty TX LTD	A	Interest			Sold	03/01/17	K	A	
125. Miami-Dade Cnty FL Edl Amtac Sr A	B	Interest			Sold	04/01/17	L	A	
126. Connecticut ST Health & Rev NPFG	B	Interest			Sold	07/01/17	K	A	
127. Palm Beach Cnty FL Sch Brd	A	Interest			Sold	08/01/17	J	A	
128. Univ IL Brd Trust EES CX1	A	Interest			Sold	10/01/17	J	A	
129. Pennsylvania St SR A	B	Interest			Sold	11/01/17	K	A	
130. Alabama St Pub Sch & Clge	B	Interest			Sold	12/01/17	K	A	
131. New Haven Connecticut GO SER 08A	A	Interest	K	T					
132. Texas St Univ Sys KQ6	B	Interest	K	T					
133. Oak Island NC Enterprise Asur	A	Interest	J	T					
134. Texas St SR A CT6	C	Interest	L	T					
135. New Jersey ST CTFS Partn SER A	A	Interest	K	T					
136. Torrance Calif HP RV TOR	A	Interest			Sold	01/23/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Connecticut St Health & Edl SER J	A	Interest			Sold	07/01/17	K	A	
138. Maine Health & Higher ED	A	Interest			Sold	07/07/17	J	A	
139. Cucamonga VY CA Wtr SER A	C	Interest	L	T					
140. Palm Beach Co Fla Hsg SR A	A	Interest	J	T					
141. Miami-Dade Cnty Fla Spl SR A	B	Interest			Sold	10/01/17	K	A	
142. Orlando Orange Cnty FL	B	Interest			Sold	07/01/17	K	A	
143. Greenwood SC 50 Sch FAC	A	Interest			Sold	12/01/17	J	A	
144. Hialeah Fla Hsg Auth REV	A	Interest	K	T					
145. Mass St Wtr Res Au SR B	A	Interest	K	T					
146. Blackrock Municipal BKK	A	Dividend	K	T					
147. Blackrock Munivest MVT	A	Dividend	K	T					
148. Blackrock Municipal Income BFI	B	Dividend	K	T					
149. Invesco High Yield Municipal Fund	D	Dividend	M	T					
150. Aegon AEH	B	Dividend	K	T					
151. UBS Bank USA DEP	A	Interest	J	T					
152. Nuveen Real Asset Income Fund Class C		None	J	T					
153. First Eagle Global Fund Class C		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. American Century Equity Income		None	J	T					
155. Pimco Income Fund Class C		None	J	T					
156. Amazon Com Inc		None	J	T					
157. Apple Inc (Y)									
158. Boeing Company (Y)									
159. UNTD Technologies Corp (Y)									
160. University TEX PERM UNIV FD SER A	C	Interest	M	T					
161. Southwest Higher ED AUTH INC TEX REV	D	Interest	M	T					
162. Texas A&M UNIV REV	D	Interest	M	T					
163. Port Auth NY & NJ RFDG	D	Interest	M	T					
164. New York NY CALLABLE 253	C	Interest	M	T					
165. Washington St for Issues 2017	D	Interest	M	T					
166. New York NY CITY TRANS FIN AUTH REV	B	Interest	L	T					
167. Austin TX CMNTY COLLEGE DIST PUB FAC REV	C	Interest	M	T					
168. Port ST Lucie FLA UTIL REV RFDG	D	Interest	M	T					
169. Pennsylvania ST E57	D	Interest	M	T					
170. Miami-Dade CNTY FLA SER A RK8	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Houston TEX CMNTY COLLEGE SYS REV	D	Interest	M	T					
172. Arizona ST TRANSN BRD RV	C	Interest	M	T					
173. Allen TX INDPT SCH DIST RFDG	C	Interest	L	T					
174. New York ST DORM AUTH ST SRB RV D46	D	Interest	M	T					
175. Indiana ST FIN AUTH WASTEWATER UTIL REV	D	Interest	M	T					
176. University HI SER E RV	D	Interest	M	T					
177. Texax WTR DEV BRD REV	D	Interest	M	T					
178. Honolulu Hi Cnty -B SER B	D	Interest	M	T					
179. New York ST URBAN DEV SR A RV	D	Interest	M	T					
180. San Antonio TX WTR Syst SR B	D	Interest	M	T					
181. Lake Dallas TEX ISD Perma	D	Interest	M	T					
182. Cameron CNTY TEX ISD Perma	D	Interest	M	T					
183. UNIV MI UNV RV	D	Interest	M	T					
184. North Harris CNTY TX WTR AU REV	D	Interest	M	T					
185. Bexar CNTY TX XW5	C	Interest	L	T					
186. Houston TX Util GU5	D	Interest	M	T					
187. Texas ST for Issues Q44	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. New York NY for Previous A-1 FC8	D	Interest	M	T					
189. Pennsylvania St TPK SQ3	D	Interest	M	T					
190. Washington St for Issues SR C	D	Interest	M	T					
191. Pennsylvania St TPK Comm Build AT BAM	C	Interest	M	T					
192. Mi Mun Bd AV Clean Water	A	Interest	J	T					
193. OK Muni Pur Au Pwr Supp	A	Interest			Sold	01/01/17	J	A	
194. Frederick Md Mtg RV RFDG	A	Interest			Sold	03/02/17	J	A	
195. New Jersey Health Care F	A	Interest	K	T					
196. City of NY A-1 Z58	B	Interest	K	T					
197. New York NY For Previous Asur C-1 7A3 (Y)									
198. New York St Dorm Auth RE F68 (Y)									
199. Austin TX Htl Occupony EX1	A	Interest	K	T					
200. Chicago IL O Hare Intl A	A	Interest	K	T					
201. North TX Twy Auth Sr B Q64	B	Interest	K	T					
202. Dallas TX Indpt Sch RPO	A	Interest	J	T					
203. Dutchess Cnty Assur RV	A	Interest	J	T					
204. Flat Bluff TX	B	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Ohio State Sr B Pt7	D	Interest	M	T					
206. Tanger Factory Outlet Centers Inc	A	Dividend	J	T					
207. Yum China Hldgs Inc	A	Dividend	J	T					
208. Lower Colo Riv Au TX	A	Interest			Sold	01/01/17	J	A	
209. Birmingham ALAWtrwks & Ser A	A	Interest			Sold	01/01/17	K	A	
210. Richmond VA Pub Util Rev	A	Interest			Sold	01/15/17	J	A	
211. Aldine TX Indpt Sch	A	Interest			Sold	02/15/17	J	A	
212. Deer Park TX ISD	A	Interest			Sold	02/15/17	K	A	
213. Pearland TX Cert Oblig	A	Interest			Sold	03/01/17	J	A	
214. Illinois ST 4FZ'	A	Interest			Sold	04/01/17	J	A	
215. Miami-Dade Cnty FLA RV FV8	A	Interest			Sold	04/01/17	J	A	
216. Miami-Dade Cnty FLA Spl MNO	A	Interest			Sold	04/01/17	J	A	
217. Elyria Ohio City Sch Dist Syn	A	Interest			Sold	06/01/17	K	A	
218. Washington St Ser B & AT-7	A	Interest			Sold	06/01/17	J	A	
219. Metro Atlanta Rapid Trans GA Tax	B	Interest			Sold	06/01/17	K	A	
220. Titus Co TX Asur	A	Interest	J	T					
221. El Monte CA UN High Sch Assur SR A	B	Interest	K	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Marion Co FL Pub SrA Assur	A	Interest	J	T					
223. Broward Cnty FL Sch Brd YU5	A	Interest	J	T					
224. Chester Co PA Pref	A	Interest	J	T					
225. Maryland St for Issues D SrB	B	Interest	K	T					
226. Marysville CA JT Uni Agr	A	Interest	K	T					
227. Oange Cnty FL Sch Brd NSO	A	Interest	J	T					
228. Texas St Technical Colle Assur CB6	A	Interest	J	T					
229. Texas St Technical Colle Assur C65	C	Interest	L	T					
230. New York St Dorm Auth AY7	A	Interest	J	T					
231. Miami-Dade Cnty FL Aria WT1	A	Interest	K	T					
232. Univ CT Genl Oblig SR A	A	Interest	K	T					
233. Harris Co TX Hlth JC9	A	Interest	J	T					
234. Rhode IS St Econ DV Corp	A	Interest	J	T					
235. Michigan Mun BD Auth	A	Interest	K	T					
236. Columbia SC Wtrwks Swr Sr A	B	Interest	K	T					
237. Massachusetts St Dev Fin B-s	B	Interest	L	T					
238. New Jersey Health Care Radia	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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239. Illinois HSG Dev Au Mult	A	Interest	J	T					
240. Oklahoma Agric & Mechani	B	Interest	K	T					
241. Louisiana St Transn Auth SrA	D	Interest	N	T					
242. Tennessee St SrA	A	Interest	K	T					
243. Will Cnty IL Cmnty RC4	A	Interest			Sold	10/01/17	J	A	
244. Southwind HSG Inv Evnsvl	A	Interest	J	T					
245. North Carolina Estn Mun RV Radia	A	Interest	J	T					
246. New York NY for Previous D-1 3FS	A	Interest			Sold	12/01/17	J	A	
247. New Jersey Hlth Care FAC	A	Interest	K	T					
248. Honolulu HI Wstewtr Cnty Rev	A	Interest			Sold	07/01/17	K	A	
249. Glendale AZ Transn B26	A	Interest			Sold	07/01/17	J	A	
250. Manatee Cnty FL Sch Natio (X)	A	Interest			Sold	07/01/17	J	A	
251. Broward Cnty FI Sch Brd Ser A TYO	A	Interest			Sold	07/03/17	J	A	
252. Broward Cnty FL Sch Brd Ser A TE4	A	Interest			Sold	07/03/17	J	A	
253. Orange Cnty FL Sch Brd MF9	A	Interest			Sold	08/01/17	J	A	
254. Orange Cnty FL Sch Brd LU7	A	Interest			Sold	08/01/17	J	A	
255. Temple TX Util Sys	A	Interest			Sold	08/01/17	J	A	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Massachusetts St Sch Ambac FF5	A	Interest			Sold	08/15/17	J	A	
257. Sacramento CA Area FGIC Sr A	A	Interest			Sold	10/01/17	J	A	
258. Miami-Dade Cnty Fla Aviation RV2	C	Interest			Sold	04/01/17	K	A	
259. NewYork NY for Previa 6V8	A	Interest			Sold	10/01/17	K	A	
260. Philadelphia PA Gas Wks	A	Interest			Sold	10/01/17	K	A	
261. New York NY For Previa 385	A	Interest			Sold	12/01/17	J	A	
262. North TX Twy Auth PWO	D	Interest			Sold	12/20/17	L	A	
263. New Haven Connecticut Co XZ6	A	Interest	J	T					
264. Texas St LCL	A	Interest	J	T					
265. Miami-Dade Cnty Fla VAO	A	Interest	J	T					
266. Miami-Dade Cnty Fla Sch UX1	A	Interest	J	T					
267. Miami-Dade Cnty FL UY9	A	Interest	J	T					
268. Wisconsin St Ser C	A	Interest	J	T					
269. Broward Cnty Fl Sch VK7	A	Interest	J	T					
270. Metro Atlanta Rapid Tran PH1	A	Interest	J	T					
271. New Jersey ST Edl Facs A P27	A	Interest	J	T					
272. Wayne St Univ Mi Univ W44	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. St of WA GO SrE GE4	B	Interest	K	T					
274. St of WA GO Sr E GM6	A	Interest	K	T					
275. Connecticut St for Issue Sr A RBO	A	Interest	K	T					
276. Albany Daugherty AB6	A	Interest	J	T					
277. Univ HI Brd Reg Sr E JP2	B	Interest	K	T					
278. Texas St Univ Sys TV6	B	Interest	L	T					
279. Harris Co TX Hlth TD7	A	Interest	J	T					
280. Albany Dougherty AA8	A	Interest	J	T					
281. New Jersey St EG1	B	Interest	K	T					
282. Beaumont Unified DG6	A	Interest	J	T					
283. NJ ECO DV WS8 (Y)									
284. Orange Cnty FL Health AY1	A	Interest	K	T					
285. Oregon St Dept CT9	A	Interest	J	T					
286. Louisiana LDC Govt NG5	D	Interest	M	T					
287. New Jersey Trans Trust PZ8	B	Interest	K	T					
288. Univ Houston TX 8M1	A	Interest	J	T					
289. Massachusetts H&E JS1	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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290. Illinois St K42	C	Interest	L	T					
291. Livonia MI Pub Sch SUO	A	Interest	K	T					
292. Florida St CE6	A	Interest			Sold	07/19/17	J	A	
293. Harris Co TX Hlth FACS HU7	A	Interest	J	T					
294. Cleveland OH Income KGO	B	Interest	L	T					
295. Austin TX EL2	A	Interest	J	T					
296. Chi IL MVO	A	Interest	J	T					
297. New Jersey Trans QA2	A	Interest	J	T					
298. North TX Twy TB2	A	Interest	J	T					
299. Douglass Co DF2	A	Interest	K	T					
300. Dallas TX RS4	A	Interest	K	T					
301. South Broward FL HP1	A	Interest			Sold	05/01/17	J	A	
302. Univ CA HT5 (Y)									
303. Wisconsin St SU1 (formerly Lincoln St Hlth SU1)	A	Interest			Sold	12/01/17	J	A	
304. Sacramento Cnty CA JD5	A	Interest	K	T					
305. Pennsylvania St Tpk CW1	B	Interest	K	T					
306. Alameda Corridor GN9	C	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Miami-Dade Cnty BW5	A	Interest	J	T					
308. Riverside CA Elec QQ5	A	Interest	K	T					
309. New York St TU7	A	Interest	J	T					
310. Connecticut St Health Sr J (previously Connecticut St Health WC5)	A	Interest	K	T					
311. City of NY NAD	B	Interest	K	T					
312. Broward CO FL Arpt UE6	C	Interest	L	T					
313. Miami-Dade Cnty FL VX3	A	Interest	J	T					
314. Houston TX NL6	B	Interest	K	T					
315. Castle Rock CO DD1	B	Interest	K	T					
316. Idaho Health FACS VJ5	A	Interest	K	T					
317. Harris CO JE5	A	Interest	K	T					
318. Miami-Dade Cnty UZ4	C	Interest	L	T					
319. Dallas Ft Worth SR2	B	Interest	K	T					
320. Houston TX Util Sys JN8	A	Interest	J	T					
321. Hamilton Cnty OH YV5	A	Interest	K	T					
322. Pennsylvania ST TPK GTFO (Y)									
323. New York City X99	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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324. Laredo TX GX5	B	Interest	K	T					
325. Franklin Cnty BN6	B	Interest	K	T					
326. Ohio St Pub DE1	B	Interest	L	T					
327. Santa Ana CA EU6	A	Interest	J	T					
328. Baltimore MD TF7	A	Interest			Sold	07/01/17	J	A	
329. Glendale AZ BR4	A	Interest			Sold	07/01/17	J	A	
330. Lorain Co Ohio JD1	A	Interest	J	T					
331. Mississippi St 7D5	B	Interest	K	T					
332. Wayne St WU4	A	Interest	K	T					
333. Illinois Fin GX1	A	Interest	J	T					
334. Atlanta GA Arpt RSQ	A	Interest	K	T					
335. Amgen Inc	A	Dividend	J	T					
336. Bristol Myers Squibb CO	A	Dividend	J	T					
337. CVS Health Corp	A	Dividend	J	T					
338. Alphabet Inc CL A		None	J	T					
339. Exxon Mobil Corp	A	Dividend	J	T					
340. Gen Electric CO	A	Dividend	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Lockheed Martin Corp	A	Dividend	J	T					
342. Mondelez Intl Inc	A	Dividend	J	T					
343. Metlife Inc	A	Dividend	J	T					
344. Total SA Symbol TOT	A	Dividend	J	T					
345. United Parcel Service Symbol UPS	A	Dividend	J	T					
346. NB Strategic Co (2)		None	K	T					
347. UBS Bank USA Dep Acct		None	L	T					
348. UBS AG Deposit Account (Y)									
349. JP Morgan Strategic Income	A	Dividend	K	T					
350. Blackrock Stratetgic Income I	A	Dividend	K	T					
351. Sterling Capitol Ultra Short Bond Fund	A	Dividend	K	T	Sold (part)	06/02/17	J	A	
352.					Sold (part)	09/12/17	J	A	
353.					Sold (part)	12/06/17	J	A	
354.					Sold (part)	12/19/17	J	A	
355. Blackrock Global Long Short Equity (Y)									
356. Thornburg Limited Term Municipal	B	Dividend	L	T	Buy (add'l)	04/06/17	K		
357. Amgen Inc AMGN	A	Dividend	J	T	Buy (add'l)	11/20/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Boeing Company BA	A	Dividend	J	T					
359. British Amer BTI	A	Dividend	J	T					
360. Colgate CL	A	Dividend	J	T					
361. Alphabet Inc GOOGL		None	J	T	Buy (add'l)	12/20/17	J		
362. Crane Co CR	A	Dividend	J	T					
363. Duagei PLC DEO	A	Dividend	J	T					
364. Genl Electric GE	A	Dividend			Sold	11/02/17	J	A	
365. Home Depot Inc HD	A	Dividend	J	T					
366. Illinois Tool ITW	A	Dividend	J	T					
367. Intel Corp INTL	A	Dividend	J	T					
368. Johnson Controls JCI	A	Dividend	J	T					
369. Lockheed Martin LMT	A	Dividend	J	T					
370. Microsoft Corp MSFT	A	Dividend	J	T					
371. Mondelez MDLZ	A	Dividend	J	T					
372. Medtronic MDT	A	Dividend	J	T					
373. Nordstrom JWN	A	Dividend			Sold	11/16/17	J	A	
374. Novartis NVS	A	Dividend	J	T					

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375. Nextero NEE	A	Dividend	J	T					
376. Occidental OXY	A	Dividend			Sold	04/06/17	J	A	
377. Pepsico Inc PEP	A	Dividend	J	T					
378. Proctor & Gamble PG (Y)									
379. Rockwell Automation ROK	A	Dividend	J	T					
380. Invesco IVZ	A	Dividend	J	T					
381. Texas Instruments TXN	A	Dividend	J	T					
382. Union Pacific Corp UNP	A	Dividend	J	T					
383. Total SA France TOT	A	Dividend	J	T					
384. United Parcel Service UPS	A	Dividend	J	T					
385. United Technologies UTX	A	Dividend	J	T					
386. VF Corp VFC	A	Dividend			Sold	07/13/17	J	A	
387. YUMI Brands YUM	A	Dividend	J	T					
388. Nuveen Short Duration NVHIX	B	Dividend	K	T	Buy (add'l)	04/06/17	K		
389. Thornburg Limited Term Municipal (Y)									
390. SPDR S&P XRT (X)	A	Dividend			Sold	03/23/17	J	A	
391. Accenture ACN		None	J	T	Buy	11/16/17	J		

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392. Amazon AMZN		None	J	T	Buy	06/16/17	J		
393. Chevron Corp	A	Dividend	J	T	Buy	04/06/17	J		
394. McDonalds Corp	A	Dividend	J	T	Buy	04/06/17	J		
395.					Buy (add'l)	05/04/17	J		
396. Metlife	A	Dividend	J	T	Buy	04/06/17	J		
397.					Buy (add'l)	05/04/17	J		
398. Suncor SU	A	Dividend	J	T	Buy	04/06/17	J		
399. US Bancorp Del	A	Dividend	J	T	Buy	04/06/17	J		
400.					Buy (add'l)	05/04/17	J		
401. CVS	A	Dividend			Buy	04/06/17	J		
402.					Buy (add'l)	05/04/17	J		
403.					Sold	10/24/17	J	A	
404. Price T Rowe		None			Buy	04/06/17	J		
405.					Buy (add'l)	05/04/17	J		
406.					Sold	05/24/17	J	A	
407. Louisiana St Gas & Fuels SR6 (X)	A	Interest	K	T					
408. Louisiana St Gas & Fuels SZ8 (X)	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

AFRICK, LANCE M.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Atlanta GA WTR & Wastewater PG9 (X)	A	Interest	K	T					
410. Atlanta GA WTR & Wastewater PP9 (X)	A	Interest	K	T					
411. New York St Z87 (X)		None	L	T					
412. Univ Houston TX DSO (X)	A	Interest	J	T					
413. Univ Houston TX EA8 (X)	A	Interest	J	T					
414. Univ CA SR6 5Z4 (X)		None	K	T					
415. Univ CA RV 6S9 (X)		None	K	T					
416. Dallas Fort Worth TL4	D	Interest	M	T	Buy	01/24/17	M		
417. Florida St XU3	B	Interest	M	T	Buy	01/24/17	M		
418. New Jersey Trans 2M2	C	Interest	M	T	Buy	01/10/17	M		
419. Ohio St DD3	C	Interest	L	T	Buy	03/02/17	L		
420. Alabama AQ3	C	Interest	L	T	Buy	02/15/17	L		
421. Dallas TX RMO	C	Interest	M	T	Buy	01/23/17	M		
422. Univ OK NX2	A	Interest	M	T	Buy	12/22/17	M		
423. Austin TX JU1		None	L	T	Buy	09/22/17	L		
424. North TX PX5	A	Interest	L	T	Buy	12/21/17	L		
425. Ohio St 2K3	B	Interest	L	T	Buy	04/21/17	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

AFRICK, LANCE M.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. The Comwlth of MA KA3	B	Interest	L	T	Buy	06/22/17	L		
427. Massachusetts St YA3	A	Interest	L	T	Buy	09/27/17	L		
428. Ohio St JW5	A	Interest	M	T	Buy	12/19/17	M		
429. Massachusetts ST EB8	B	Interest	L	T	Buy	02/10/17	L		
430. Atlanta GA ZW1	C	Interest	L	T	Buy	02/14/17	L		
431. Dutchess Cnty JV5	A	Interest	K	T	Buy	07/17/17	K		
432. Pennsylvania St S46		None	L	T	Buy	12/15/17	L		
433. Central Puget Sand 6FO	C	Interest	L	T	Buy	03/02/17	L		
434. District Columbia GJ8	B	Interest	L	T	Buy	07/06/17	L		
435. Utility Debt BT5 (X)	D	Interest	M	T					
436. Washington ST F86	A	Interest	M	T	Buy	08/04/17	M		
437. Texas A&M FNO	A	Interest	M	T	Buy	12/19/17	M		
438. District Columbia ULO	B	Interest	L	T	Buy	08/04/17	L		
439. Oregon St A72	C	Interest	L	T	Buy	03/09/17	L		
440. New Jersey R93	A	Interest	M	T	Buy	07/13/17	M		
441. Univ VA WM1	A	Interest	L	T	Buy	12/15/17	L		
442. Philadelphia PA ZBO	B	Interest	M	T	Buy	05/12/17	M		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. NB Strategic LP Invest		None	M	T	Buy	09/30/17	M		
444. Alpha Keys (X)		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **LANCE M. AFRICK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544