

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEYMOUR, STEPHANIE K.	2. Court or Organization U.S. COURT OF APPEALS	3. Date of Report 04/21/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE--SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 4-562 U.S. COURTHOUSE 333 WEST FOURTH TULSA, OK 74103-3877		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Board Member	Oklahoma City University School of Law, Oklahoma City, OK
2. Vice President of Board of Directors	Crested Butte Music Festival, Crested Butte, CO
3. Board Member	Tenth Circuit Historical Society, Denver, CO
4. Advisory Council Member	Community Foundation of Gunnison Valley
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	self-employed - lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of North Carolina Law School	2/22/2013 - 2/24/2013	Chapel Hill, NC	Judge Moot Court Competition	Air fare only
2. New Mexico State Bar Association	11/22/2013	Albuquerque, NM	Conference Keynote Speaker	Air fare only
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Okla. Accts	A	Int./Div.	M	T					
2. F&M Bank Accounts	A	Interest	K	T					
3. -UBS Accounts	A	Interest	N	T					
4.					Open	04/02/13	M		
5. Rental Property, Tulsa, OK (\$630,000, 5/1/2001)	D	Rent			Sold	07/24/13	O		
6. Rental Property, Mt. Crested Butte, CO (\$245,000, 9/1/92)	C	Rent	O	R					
7. Lot, Mt. Crested Butte, CO (\$81,000, 8/10/94)		None	L	R					
8. Brokerage #5 (H)									
9. -Cathay Bk CA (CD)	B	Interest	L	T					
10. -Cavanal Hill TX Fr MM -Slet (cash equivalent)	A	Int./Div.	J	T					
11. -Community West Bk CA (CD)	D	Interest	M	T					
12. -Four Oaks B&t NC (CD)	C	Interest	M	T					
13. -GE Capital Fin'l UT (CD)	D	Interest	M	T					
14. -North Star Bk FL (CD)	A	Interest	L	T					
15. -1st Rep Bk BofA CA (CD)	C	Interest	L	T					
16. -1st Rep Bk BofA CA (CD)	C	Interest	L	T					
17. IRA #5 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Invesco Global Real Estate Fd (ASRAX) (mutual fund)	A	Dividend	K	T	Buy (add'l)	10/03/13	J		
19. -iShares Trust S&P US PFD Stock Index Fund (PFF) (ETF)	A	Dividend	K	T	Buy (add'l)	10/03/13	J		
20.					Sold (part)	05/31/13	J	A	
21. -SPDR Barclays Cap High Yd (JNK) (ETF)	A	Dividend			Sold	05/31/13	K	A	
22. -Vanguard Short Term Corp BD (VCSH) (ETF)	A	Dividend	K	T	Sold (part)	05/31/13	J		
23. -Double Line Total Return Fund N (DLTNX) (mutual fund)	B	Dividend	K	T	Sold (part)	06/03/13	J		
24.					Sold (part)	10/03/13	J		
25. -Loomis Sayles Investment Grade Bond (LIGRX) (mutual fund)	B	Dividend	K	T	Sold (part)	05/31/13	J	A	
26.					Sold (part)	10/03/13	J		
27. -TCW Emerging Markets Income Fd Cl N (TGINX) (mutual fund)	B	Dividend	K	T	Sold (part)	06/03/13	J	A	
28.					Buy (add'l)	10/03/13	J		
29. -Virtus Multi-Sector Short Term Bond (NARAX) (mutual fund)	B	Dividend	K	T	Sold (part)	05/31/13	J	A	
30.					Sold (part)	10/03/13	J		
31. -Kimco Realty Corp 6.900% (KIM.PRH) (preferred)	A	Dividend			Sold (part)	05/31/13	J		
32.					Sold	10/03/13	J		
33. -PS Business Parks Inc Cuml Ser S 6.450% (PSB.PRS) (preferred)	A	Dividend			Sold	10/03/13	J		
34. -Public Storage 6.500% Pref CLBL Par Value (PSA.PRP) (preferred)	A	Dividend			Buy (add'l)	05/31/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	10/03/13	J		
36. -Vornado Rlty TR Cuml Ser J Pref 6.875 (VNORJ) (preferred)	A	Dividend			Sold	10/03/13	J		
37. -Weingarten Realty Invest 8.100% (WRD) (preferred)	A	Interest			Buy (add'l)	05/31/13	J		
38.					Sold	10/03/13	J		
39. -First Tr ETF IV No Amer Energy (EMLP) (ETF)	A	Dividend	K	T	Buy	05/31/13	K		
40. -Invesco Convertible Securities FD (CNSAX) (mutual fund)	A	Dividend	J	T	Buy	10/03/13	J		
41. -Blackrock Strategic Income (BASIX) (mutual fund)	A	Dividend	K	T	Buy	06/03/13	K		
42.					Buy (add'l)	10/04/13	J		
43. -Columbia Income Opportunities FD Class (CIOZX) (mutual fund)	A	Dividend	K	T	Buy	05/31/13	K		
44.					Buy (add'l)	10/03/13	J		
45. -Invesco Floating Rate Fund (AFRAX) (mutual fund)	A	Dividend	K	T	Buy	05/31/13	K		
46.					Buy (add'l)	10/03/13	J		
47. -Putnam Short Duration Income Fund (PSDTX) (mutual fund)	A	Dividend	K	T	Buy	10/03/13	K		
48. -Blackrock Global Long/Short Credit FD (BGCAX) (mutual fund)	A	Dividend	K	T	Buy	10/03/13	K		
49. -Blackrock Multi-Asset Income Portfolio (BAICX) (mutual fund)	A	Dividend	K	T	Buy	05/31/13	K		
50.					Sold (part)	10/03/13	J		
51. IRA #6 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Invesco Global Real Estate Income Fd (ASRAX) (mutual fund)	C	Dividend	L	T	Buy (add'l)	05/31/13	J		
53.					Sold (part)	10/03/13	J		
54. -iShares Trust S&P US Pfd Stock Index Fund (PFF) (ETF)	C	Dividend	L	T	Buy (add'l)	10/03/13	J		
55. -SPDR Barclays Capital High Yield (JNK) (ETF)	C	Dividend			Sold	10/03/13	L		
56. -Vanguard Short Term Corporate Bond (VCSH) (ETF)	B	Dividend	L	T	Buy (add'l)	05/31/13	J		
57.					Sold (part)	10/03/13	J		
58. -Double Line Total Return Fund (DLTNX) (mutual fund)	D	Dividend	L	T	Buy (add'l)	06/03/13	K		
59.					Sold (part)	10/03/13	L		
60. -Loomis Sayles Investment Grade Bond (LIGRX) (mutual fund)	C	Dividend	L	T	Buy (add'l)	05/31/13	K		
61.					Sold (part)	10/03/13	L		
62. -TCW Emerging Markets Income Fd Class N (TGINX) (mutual fund)	C	Dividend	L	T	Buy (add'l)	06/03/13	K		
63.					Sold (part)	10/03/13	J		
64. -Virtus Multi-Sector Short Term Bond (NARAX) (mutual fund)	C	Dividend	L	T	Buy (add'l)	05/31/13	K		
65.					Sold (part)	10/03/13	L		
66. -Kimco Realty Corp 6.900% (KIM.PRH) (preferred)	A	Dividend			Sold	10/03/13	J		
67. -PS Business Parks Inc Cuml Ser S 6.450% (PSB.PRS) (preferred)	A	Dividend			Sold	10/03/13	J		
68. -Public Storage 6.5% Pref CLBL Par Value(PSARP) (preferred)	A	Dividend			Sold	10/03/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000	F = \$100,001 - \$500,000 G = \$500,001 - \$1,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Vornado Rlty TR Cuml Ser J 6.875% (VNO.PRJ) (preferred)	A	Dividend			Sold	10/03/13	J		
70. -Weingarten Realty Invest 8.100% (WRD) (preferred)	A	Interest			Sold	10/03/13	J		
71. -First Tr ETF IV No Amer Energy (EMLP) (ETF)	A	Dividend	K	T	Buy	04/09/13	K		
72.					Buy (add'l)	05/31/13	J		
73.					Buy (add'l)	10/03/13	J		
74. -Invesco Convertible Securities FD (CNSAX) (mutual fund)	A	Dividend	K	T	Buy	10/03/13	K		
75. -Blackrock Strategic Income (BASIX) (mutual fund)	A	Dividend	M	T	Buy	06/03/13	K		
76.					Buy (add'l)	10/04/13	L		
77. -Columbia Income Opportunities FD (CIOZX) (mutual fund)	A	Dividend	L	T	Buy	10/03/13	L		
78. -Invesco Floating Rate Fund (AFRAX) (mutual fund)	A	Dividend	L	T	Buy	05/31/13	J		
79.					Buy (add'l)	10/03/13	L		
80. -Putnam Short Duration Income FD (PSDTX) (mutual fund)	A	Dividend	K	T	Buy	10/03/13	K		
81. -Blackrock Global Long/Short Credit FD (BGCAX) (mutual fund)	A	Dividend	L	T	Buy	10/03/13	L		
82. -Blackrock Multi-Asset Income Portfolio (BAICX) (mutual fund)	B	Dividend	L	T	Buy	05/31/13	K		
83.					Buy (add'l)	10/03/13	J		
84. Brokerage #9 (H)									
85. -BOK Financial Corp. New (BOKF) (common)	D	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Jackson Nat'l Life Ins Co Perspective L Series Class L		None	N	T					
87. -Lincoln Nat'l Choice Plus Assurance L Share		None	O	T					
88. -Lincoln Nat'l Choice Plus Assur L Share		None	O	T					
89. -Ohio Nat'l Oncore Lite II Class L		None	N	T					
90. Brokerage #10 (H)									
91. -Blackrock Strategic Income A (BASIX) (mutual fund)	B	Dividend	L	T	Buy (add'l)	01/10/13	J		
92.					Buy (add'l)	05/03/13	J		
93.					Buy (add'l)	10/01/13	J		
94. -Consumers Staples Sector SPDR Trust (XLP) (ETF)	A	Dividend			Buy (add'l)	01/10/13	J		
95.					Sold (part)	05/02/13	J	B	
96.					Sold	05/31/13	L	D	
97. -Health Care Select Sector SPDR Fund (XLV) (ETF)	B	Dividend	K	T	Buy (add'l)	01/10/13	K		
98.					Sold (part)	05/02/13	J	B	
99.					Sold (part)	07/01/13	J	A	
100.					Sold (part)	10/01/13	K	D	
101. -iShares Trust S&P Growth Index Fund (IVW) (ETF)	A	Dividend	L	T	Sold (part)	01/10/13	J	A	
102.					Sold (part)	05/02/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	07/01/13	J	A	
104.					Buy (add'l)	10/01/13	J		
105. -Vanguard Total Stock Market (VTI) (ETF)	C	Dividend	N	T	Sold (part)	01/10/13	J	A	
106.					Sold (part)	05/02/13	J	A	
107.					Buy (add'l)	07/01/13	M		
108.					Buy (add'l)	10/01/13	K		
109. -Vanguard REIT (VNQ) (ETF)	A	Dividend			Buy (add'l)	01/10/13	J		
110.					Sold (part)	05/02/13	J	A	
111.					Buy (add'l)	07/01/13	J		
112.					Sold	09/03/13	L	C	
113. -SPDR Barclays Capital High Yield (JNK) (ETF)	A	Dividend			Sold	01/10/13	L	B	
114. -Double Line Total Return Fund N (DLTNX) (mutual-fund)	B	Dividend			Buy (add'l)	01/10/13	J		
115.					Buy (add'l)	05/02/13	J		
116.					Buy (add'l)	07/02/13	J		
117.					Sold	10/02/13	L		
118. -Franklin Templeton Global Bd Fd Cl A (TPINX) (mutual fund)	A	Dividend			Sold (part)	01/10/13	J	A	
119.					Buy (add'l)	05/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	07/01/13	L		
121. -TCW Emerging Markets Income Fund (TGINX) (mutual fund)	A	Dividend			Buy (add'l)	01/11/13	K		
122.					Buy (add'l)	05/03/13	J		
123.					Sold	07/02/13	L		
124. -Invesco Balanced-Risk Allocation Fund (ABRZX) (mutual fund)	C	Dividend	L	T	Buy (add'l)	01/10/13	J		
125.					Buy (add'l)	05/02/13	J		
126.					Buy (add'l)	07/01/13	J		
127.					Sold (part)	10/01/13	L		
128. -Powershares QQQ Trust (QQQ) (ETF)	A	Dividend	L	T	Buy	05/31/13	L		
129.					Buy (add'l)	07/01/13	J		
130.					Buy (add'l)	10/01/13	J		
131. -Invesco Floating Rate Fund (AFRAX) (mutual fund)	C	Dividend	L	T	Buy (add'l)	01/10/13	J		
132.					Buy (add'l)	05/02/13	J		
133.					Sold (part)	07/01/13	J		
134.					Buy (add'l)	10/01/13	J		
135. -iShares Barclays Tips Bond Fund (TIP) (ETF)	A	Dividend			Buy (add'l)	01/10/13	K		
136.					Sold	05/02/13	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -iShares iBoxx Inv Grade Corp Bond Fund (LQD) (ETF)	A	Dividend			Sold	01/10/13	K	B	
138. -SPDR S&P Dividend ETF (SDY) (ETF)	D	Dividend	M	T	Sold (part)	01/10/13	J	A	
139.					Sold (part)	05/02/13	J	B	
140.					Sold (part)	07/01/13	J	A	
141.					Buy (add'l)	10/01/13	J		
142. -Vanguard Bd Index Fd Inc Total Bond Mkt (BND) (ETF)		None			Sold	01/10/13	K	B	
143. -Vanguard Extd Mkt ETF (VXF) (ETF)	B	Dividend	L	T	Buy	05/02/13	L		
144.					Buy (add'l)	07/01/13	K		
145.					Buy (add'l)	10/01/13	J		
146. -Vanguard Intl Equity Index FD Inc (VEU) (ETF)	A	Dividend	L	T	Buy	10/01/13	L		
147. -iShares MSCI Emerging Markets (EEMV) (ETF)	A	Dividend			Buy	01/10/13	L		
148.					Buy (add'l)	05/02/13	J		
149.					Sold	07/01/13	L		
150. -Putnam Short Duration Income FD (PSDTX) (mutual fund)	A	Dividend	L	T	Buy	07/01/13	L		
151.					Buy (add'l)	10/01/13	J		
152. -Mainstay Marketfield Fund (MFLDX) (mutual fund)	A	Dividend	L	T	Buy	10/01/13	L		
153. -Blackrock Global Long/Short Credit FD (BGCAX) (mutual fund)	A	Dividend	L	T	Buy	10/01/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Columbia Income Opportunities FD Class (CIOZX) (mutual fund)	C	Dividend	L	T	Buy	01/10/13	L		
155.					Buy (add'l)	05/02/13	J		
156.					Buy (add'l)	07/01/13	J		
157.					Buy (add'l)	10/01/13	J		
158. -Fidelity Advisor Biotechnology FD (FBTAX) (mutual fund)	A	Dividend	K	T	Buy	10/01/13	K		
159. IRA #7 (H)									
160. -UBS Bank USA Account	A	Interest	M	T					
161. -AFLAC Inc (AFL) (common)	A	Dividend	J	T	Sold (part)	05/20/13	K	A	
162.					Buy (add'l)	08/16/13	J		
163. -Air Prod & Chemical Inc (APD) (common)	A	Dividend			Sold	01/22/13	K	A	
164.					Buy	03/27/13	K		
165.					Sold	05/20/13	K	A	
166. -Astrazeneca PLC Spon ADR (AZN) (common)	A	Dividend	K	T	Sold (part)	01/22/13	J	A	
167.					Buy (add'l)	01/23/13	J		
168. -Bank of Nova Scotia Canada CAD (BNS) (common)	A	Dividend			Buy (add'l)	03/27/13	J		
169.					Sold	08/13/13	K	A	
170. -Boeing Company (BA) (common)	A	Dividend			Sold	05/08/13	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.			J	T	Buy	08/16/13	J		
172. -Clorox Co (CLX) (common)	A	Dividend	J	T	Sold (part)	04/22/13	K	A	
173.					Buy (add'l)	08/16/13	J		
174. -Coca Cola Co Com (KO) (common)	A	Dividend			Sold	05/20/13	K	B	
175.			J	T	Buy	08/16/13	J		
176. -Colgate Palmolive Co. (CL) (common)	A	Dividend	J	T	Sold (part)	02/19/13	J	A	
177.					Sold (part)	05/20/13	J	A	
178.					Buy (add'l)	08/16/13	J		
179.					Buy (add'l)	09/30/13	J		
180. -CSX Corporation (CSX) (common)	A	Dividend	J	T	Sold (part)	02/19/13	K	A	
181.					Buy (add'l)	03/27/13	J		
182. -Diageo Plc New GB Spon ADR (DEO) (common)	A	Dividend	J	T	Sold (part)	01/22/13	J	B	
183. -Du Pont De Nemours (DD) (common)	A	Dividend			Buy	02/22/13	K		
184.					Sold	07/23/13	K	B	
185. -Genl Mills Inc (GIS) (common)	A	Dividend			Sold (part)	01/08/13	J	A	
186.					Sold	04/22/13	J	C	
187. -Illinois Tool Works Inc (ITW) (common)	A	Dividend	J	T	Sold (part)	06/24/13	K	C	

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	08/16/13	J		
189. -Intel Corp (INTC) (common)	A	Dividend	K	T	Buy (add'l)	03/27/13	J		
190. -Intl Business Mach (IBM) (common)	A	Dividend			Buy	05/31/13	K		
191.					Sold	10/23/13	K		
192. -Johnson & Johnson Com (JNJ) (common)	A	Dividend	K	T	Sold (part)	01/22/13	J	A	
193.					Sold	07/22/13	K	C	
194.					Buy	09/30/13	K		
195. -JP Morgan Chase & CO (JPM) (common)	A	Dividend	K	T	Buy	05/31/13	K		
196. -Medtronic Inc (MDT) (common)	A	Dividend	J	T	Sold (part)	05/20/13	K	C	
197.					Buy (add'l)	08/16/13	J		
198.					Buy (add'l)	09/30/13	J		
199. -Microsoft (MSFT) (common)		None			Buy	02/22/13	K		
200.					Sold	05/14/13	K	B	
201. -Nextera Energy Inc Com (NEE) (common)	A	Dividend	K	T	Sold (part)	01/22/13	J	A	
202.					Buy (add'l)	09/30/13	K		
203. -Nordstrom Inc (JWN) (common)	A	Dividend	J	T	Buy	08/16/13	J		
204. -Northeast Utilities (NU) (common)	A	Dividend	K	T	Buy	01/23/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Novartis AG Spon ADR (NVS) (common)	A	Dividend	J	T	Sold (part)	01/22/13	J	A	
206. -Occidental Petroleum Crp (OXY) (common)	A	Dividend	K	T					
207. -Pearson PLC Spon ADR (PSO) (common)		None			Buy	01/23/13	K		
208.					Sold	02/26/13	K		
209. -Raytheon Co. (RTN) (common)	A	Dividend			Sold	03/27/13	K	B	
210. -Sanofi Spon ADR (SNY) (common)	A	Dividend	K	T	Buy	03/27/13	K		
211. -Schlumberger LTD (SLB) (common)	A	Dividend	K	T	Buy	05/31/13	K		
212. -Simon PPTY Group Inc (SPG) (common)	A	Dividend	K	T	Buy	02/22/13	K		
213. -Stanley Black & Decker Inc (SWK) (common)	A	Dividend	J	T	Buy	08/16/13	J		
214. -Starbucks Corp (SBUX) (common)	A	Dividend	K	T	Buy	05/31/13	K		
215. -Starwood Hotels & Resorts Worldwide (HOT) (common)	A	Dividend	K	T	Buy	05/31/13	K		
216. -Teva Pharmaceuticals Ind (TEVA) (common)	A	Dividend	K	T	Buy	02/21/13	K		
217. -Time Warner Cable Inc. (TWC) (common)	A	Dividend	K	T	Buy	02/22/13	K		
218.					Buy (add'l)	08/16/13	J		
219. -Toronto Dominion Bk New Canada CAD (TD) (common)	A	Dividend			Sold	08/13/13	K	B	
220. -Total SA France Spon ADR (TOT) (common)	B	Dividend	K	T					
221. -United Parcel Service Inc CL B (UPS) (common)	A	Dividend			Sold	04/22/13	K	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Untd Technologies Corp (UTX) (common)		None			Sold	02/13/13	K	B	
223. -VFC Corp (common)	A	Dividend			Sold	05/20/13	K	B	
224. -Vodafone Group PLC New Spon ADR (VOD) (common)	A	Dividend	K	T					
225. -Yum! Brands Inc (YUM) (common)	A	Dividend	K	T	Buy	05/31/13	K		
226. Brokerage #12 (H)									
227. -Invesco Global Real Estate Incomr Fd (ASRAX) (mutual fund)	B	Dividend	K	T	Sold (part)	10/03/13	K	A	
228.					Sold (part)	12/20/13	J	A	
229. -Invesco Convertible Securities Fund (CNSAX) (mutual fund)	A	Dividend	J	T	Buy	10/03/13	K		
230.					Sold (part)	12/20/13	J		
231. -Eagle Rock Energy Partners Ltd (EROC) (common)	B	Dividend			Sold	12/05/13	J		
232. -Energy Transfer Partners LP (ETP) (common)	B	Dividend	K	T	Sold (part)	12/20/13	J	A	
233. -PAA Nat Gas Storage LP MLP (PNG) (common)	B	Dividend	J	T	Sold (part)	12/20/13	J	A	
234. -Regency Energy Partners LP Units REPSTG Ltd (RGP) (common)	B	Dividend	J	T	Sold (part)	12/20/13	J	A	
235. -JP Morgan Chase & Co 5.250% (corp bond)	A	Interest	K	T					
236. -Goldman Sachs Grp Inc 3.625% (corp bond)	A	Interest	K	T					
237. -Genl Elec Co. 5.250% (corp bond)	A	Interest	K	T					
238. -Petrobras Intl Fin 5.875% (corp bond)	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -iShares Trust S&P U S PFD Stock Index (PFF) (ETF)	B	Dividend	K	T	Sold (part)	10/03/13	J		
240.					Sold (part)	12/20/13	J		
241. -SPDR Barclays Capital High Yield (JNK) (ETF)	D	Dividend			Sold	10/03/13	M		
242. -Vanguard Short Term Corp BD (VCSH) (ETF)	A	Dividend	K	T	Sold (part)	05/31/13	L		
243.					Buy (add'l)	10/03/13	K		
244.					Sold (part)	12/20/13	J	A	
245. -Blackrock Strategic Income (BASIX) (mutual fund)	A	Dividend	L	T	Buy	10/04/13	M		
246.					Sold (part)	12/06/13	J	A	
247.					Sold (part)	12/20/13	K	A	
248. -Columbia Income Opportunities Fund (CIOZX) (mutual fund)	A	Dividend	L	T	Buy	10/03/13	L		
249.					Sold (part)	12/20/13	K	A	
250. -Double Line Total Return Fund N (DLTNX) (mutual fund)	D	Dividend	L	T	Sold (part)	10/03/13	L		
251.					Sold (part)	12/05/13	J		
252.					Sold (part)	12/20/13	J		
253. -Invesco High Yield Municipal Fund (ACTHX) (mutual fund)	A	Dividend	K	T	Buy	10/03/13	L		
254.					Sold (part)	12/20/13	J		
255. -Invesco Floating Rate Fund (AFRAX) (mutual fund)	A	Dividend	L	T	Buy	10/03/13	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 19 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	12/20/13	K	A	
257. -Loomis Sayles Investment Grade Bd (LIGRX) (mutual fund)	D	Dividend	L	T	Sold (part)	07/25/13	L		
258.					Buy (add'l)	10/13/13	J		
259.					Sold (part)	12/20/13	K		
260. -TCW Emerging Markets Income Fund CL N (TGINX) (mutual fund)	C	Dividend	L	T	Sold (part)	10/03/13	K		
261.					Sold (part)	12/05/13	J		
262.					Sold (part)	12/20/13	J		
263. -Thornburg Limited Term Municipal Fund (LTMFX) (mutual fund)	A	Dividend	K	T	Buy	10/03/13	L		
264.					Sold (part)	12/20/13	J		
265. -Virtus Multi-Sector Short Term Bd (NARAX) (mutual fund)	C	Dividend	L	T	Sold (part)	10/03/13	L		
266.					Sold (part)	12/20/13	K	A	
267. -Blackrock Global Long/Short Credit Fund (BGCAX) (mutual fund)	A	Dividend	K	T	Buy	10/03/13	L		
268.					Sold (part)	12/20/13	J	A	
269. -Blackrock Multi-Asset Income Portfolio (BAICX) (mutual fund)	A	Dividend	K	T	Buy	10/03/13	L		
270.					Sold (part)	12/20/13	J	A	
271. -Kimco Realty Corp 6.9% (KIM.PRH) (preferred)	A	Dividend			Sold	10/03/13	J		
272. -PS Business Parks Inc Cuml Ser S 6.450% (preferred)	A	Dividend			Sold	10/03/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Public Storage 6.5% Pref CLBL Par Val (PSA.PR) (preferred)	A	Dividend			Sold	10/03/13	J		
274. -Vornado Rlty Tr Cuml Ser J 6.875% (VNO.PRJ) (preferred)	A	Dividend			Sold	10/03/13	J		
275. -Weingarten Realty Invest 8.100% (WRD) (preferred)	A	Dividend			Sold	10/03/13	J		
276. Brokerage Account #13 (H)									
277. -Apple Inc (AAPL) (common)	A	Dividend	J	T	Buy	04/16/13	J		
278.					Buy (add'l)	06/27/13	J		
279. -AFLAC Inc (AFL) (common)	A	Dividend	J	T	Buy	04/09/13	J		
280.					Buy (add'l)	04/15/13	J		
281.					Buy (add'l)	06/27/13	J		
282.					Buy (add'l)	08/13/13	J		
283. -Air Prod & Chemical Inc (APD) (common)	A	Dividend			Buy	04/09/13	J		
284.					Buy (add'l)	04/15/13	J		
285.					Buy (add'l)	06/27/13	J		
286.					Sold	08/13/13	J	A	
287. -Astrazeneca PLC Spon ADR (AZN) (common)	A	Dividend	J	T	Buy	04/09/13	J		
288.					Buy (add'l)	04/15/13	J		
289.					Buy (add'l)	06/27/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$250,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	08/13/13	J		
291. -Boeing Company DE (BA) (common)	A	Dividend	J	T	Buy	04/09/13	J		
292.					Buy (add'l)	04/15/13	J		
293.					Buy (add'l)	06/27/13	J		
294.					Buy (add'l)	08/13/13	J		
295. -Bank of Nova Scotia Canada CAD (BNS) (common)		None			Buy	04/09/13	J		
296.					Buy (add'l)	04/15/13	J		
297.					Sold	05/31/13	J	A	
298. -British Amer Tobacco PLC GB Spon ADR (BTI) (common)		None			Buy	04/09/13	J		
299.					Buy (add'l)	04/15/13	J		
300.					Sold	05/01/13	J	A	
301. -Colgate Palmolive Co (CL) (common)	A	Dividend	J	T	Buy	04/09/13	J		
302.					Buy (add'l)	04/15/13	J		
303.					Buy (add'l)	06/27/13	J		
304.					Buy (add'l)	08/13/13	J		
305. -Clorox Co (CLX) (common)	A	Dividend	J	T	Buy	04/09/13	J		
306.					Buy (add'l)	04/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	06/27/13	J		
308.					Buy (add'l)	08/13/13	J		
309. -Coca Cola Co Com (KO) (common)	A	Dividend	J	T	Buy	04/09/13	J		
310.					Buy (add'l)	04/15/13	J		
311.					Buy (add'l)	06/27/13	J		
312.					Buy (add'l)	08/13/13	J		
313. -CSX Corporation (CSX) (common)	A	Dividend	J	T	Buy	04/09/13	J		
314.					Buy (add'l)	04/15/13	J		
315.					Buy (add'l)	06/27/13	J		
316.					Buy (add'l)	08/13/13	J		
317. -Du Pont De Nemours (DD) (common)	A	Dividend	J	T	Buy	04/09/13	J		
318.					Buy (add'l)	04/15/13	J		
319.					Buy (add'l)	06/27/13	J		
320.					Buy (add'l)	08/13/13	J		
321. -Diageo PLC New GB Spon ADR (DEO) (common)	A	Dividend	J	T	Buy	04/09/13	J		
322.					Buy (add'l)	04/15/13	J		
323.					Buy (add'l)	06/27/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324.					Buy (add'l)	08/13/13	J		
325. -Emerson Electric Co (EMR) (common)	A	Dividend	J	T	Buy	04/09/13	J		
326.					Buy (add'l)	04/15/13	J		
327.					Buy (add'l)	06/27/13	J		
328.					Buy (add'l)	08/13/13	J		
329. -Intel Corp (INTC) (common)	A	Dividend	J	T	Buy	04/09/13	J		
330.					Buy (add'l)	04/15/13	J		
331.					Buy (add'l)	06/27/13	J		
332.					Buy (add'l)	08/13/13	J		
333. -Illinois Tool Works Inc (ITW) (common)	A	Dividend	J	T	Buy	04/09/13	J		
334.					Buy (add'l)	04/15/13	J		
335.					Buy (add'l)	06/27/13	J		
336.					Buy (add'l)	08/13/13	J		
337. -Johnson & Johnson Com (JNJ) (common)	A	Dividend	J	T	Buy	04/09/13	J		
338.					Buy (add'l)	04/15/13	J		
339.					Buy (add'l)	06/27/13	J		
340.					Buy (add'l)	08/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. -Nordstrom Inc (JWN) (common)	A	Dividend	J	T	Buy	08/13/13	J		
342. -Medtronic Inc (MDT) (common)	A	Dividend	J	T	Buy	04/09/13	J		
343.					Buy (add'l)	04/15/13	J		
344.					Buy (add'l)	06/27/13	J		
345.					Buy (add'l)	08/13/13	J		
346. -Microsoft Corp (MSFT) (common)	A	Dividend	J	T	Buy	04/09/13	J		
347.					Buy (add'l)	04/15/13	J		
348.					Buy (add'l)	06/27/13	J		
349.					Buy (add'l)	08/13/13	J		
350. -Nextera Energy Inc Com (NEE) (common)	A	Dividend	J	T	Buy	04/09/13	J		
351.					Buy (add'l)	04/15/13	J		
352.					Buy (add'l)	06/27/13	J		
353.					Buy (add'l)	08/13/13	J		
354. -Northeast Utilities (NU) (common)	A	Dividend	J	T	Buy	04/09/13	J		
355.					Buy (add'l)	04/15/13	J		
356.					Buy (add'l)	06/27/13	J		
357.					Buy (add'l)	08/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -Novartis AG Spon ADR (NVS) (common)		None	J	T	Buy	04/09/13	J		
359.					Buy (add'l)	04/15/13	J		
360.					Buy (add'l)	06/27/13	J		
361.					Buy (add'l)	08/13/13	J		
362. -Occidental Petroleum Crp (OXY) (common)	A	Dividend	J	T	Buy	04/09/13	J		
363.					Buy (add'l)	04/15/13	J		
364.					Buy (add'l)	06/27/13	J		
365.					Buy (add'l)	08/13/13	J		
366. -Pearson PLC Spon ADR (PSO) (common)	A	Dividend	J	T	Buy	04/09/13	J		
367.					Buy (add'l)	04/15/13	J		
368.					Buy (add'l)	06/27/13	J		
369.					Buy (add'l)	08/13/13	J		
370. -Starbucks Corp (SBUX) (common)	A	Dividend	J	T	Buy	05/31/13	J		
371.					Buy (add'l)	06/27/13	J		
372.					Buy (add'l)	08/13/13	J		
373. -Schlumberger LTD (SLB) (common)	A	Dividend	J	T	Buy	05/31/13	J		
374.					Buy (add'l)	06/27/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	08/13/13	J		
376. -Sanofi Spon ADR De (SNY) (common)	A	Dividend	J	T	Buy	04/09/13	J		
377.					Buy (add'l)	04/15/13	J		
378.					Buy (add'l)	06/27/13	J		
379.					Buy (add'l)	08/13/13	J		
380. -Simon PPTY Group Inc (SPG) (common)	A	Dividend	J	T	Buy	04/09/13	J		
381.					Buy (add'l)	04/15/13	J		
382.					Buy (add'l)	06/27/13	J		
383.					Buy (add'l)	08/13/13	J		
384. -Stanley Black & Decker Inc Com (SWK) (common)	A	Dividend	J	T	Buy	08/13/13	J		
385. -Toronto Dominion Bk New (TD) (common)		None			Buy	04/09/13	J		
386.					Buy (add'l)	04/15/13	J		
387.					Sold	05/31/13	J	A	
388. -Teva Pharmaceuticals Ind Ltd Israel ADR (TEVA) (common)	A	Dividend	J	T	Buy	04/09/13	J		
389.					Buy (add'l)	04/15/13	J		
390.					Buy (add'l)	06/27/13	J		
391.					Buy (add'l)	08/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. -Total S.A. France Spon ADR (TOT) (common)	A	Dividend	J	T	Buy	04/09/13	J		
393.					Buy (add'l)	04/15/13	J		
394.					Buy (add'l)	06/27/13	J		
395.					Buy (add'l)	08/13/13	J		
396. -Time Warner Cable Inc (TWC) (common)	A	Dividend	J	T	Buy	04/09/13	J		
397.					Buy (add'l)	04/15/13	J		
398.					Buy (add'l)	06/27/13	J		
399.					Buy (add'l)	08/13/13	J		
400. -Unilever PLC Amer SHS New Spon ADR (UL) (common)	A	Dividend	J	T	Buy	04/09/13	J		
401.					Buy (add'l)	04/15/13	J		
402.					Buy (add'l)	06/27/13	J		
403.					Buy (add'l)	08/13/13	J		
404. -United Parcel Service Inc (UPS) (common)	A	Dividend	J	T	Buy	04/09/13	J		
405.					Buy (add'l)	04/15/13	J		
406.					Buy (add'l)	06/27/13	J		
407.					Buy (add'l)	08/13/13	J		
408. -Untd Technologies Corp (UTX) (common)	A	Dividend	J	T	Buy	04/09/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 28 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	04/15/13	J		
410.					Buy (add'l)	06/27/13	J		
411.					Buy (add'l)	08/13/13	J		
412. -VF Corp De (VFC) (common)	A	Dividend	J	T	Buy	04/09/13	J		
413.					Buy (add'l)	04/15/13	J		
414.					Buy (add'l)	06/27/13	J		
415.					Buy (add'l)	08/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 29 of 30

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

04/21/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -- The IRA and Brokerage accounts have retained the same heading numbers as last year's report. New accounts, if any, were assigned the next sequential number. Also see note below regarding former Brokerage #11.

Part VII, Lines 3-4 -- All UBS Bank Accounts previously reported under the separate Brokerage and IRA accounts have been combined on this report as allowed under the guidelines, and the combined incomes and values now are reported on Line 3. One new UBS Bank Account was opened in 2013, and the opening date and opening amount of that account is reported on Line 4. Also note that this combined reporting resulted in the deletion of Brokerage #11 as its only asset is now included in Line 3.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ STEPHANIE K. SEYMOUR**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544