

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leinenweber, Harry D.	2. Court or Organization U.S.D.C. (ILLINOIS NORTHERN)	3. Date of Report 07/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Suite #1946 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Life Member	National Conference of Commissioners on Uniform State Laws
2. Member, Board of Directors	Good Shepherd Manor, Momence, Illinois
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Illinois General Assembly Retirement	\$15,939.84
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Dreyfus Florida Muni Money Market Fund - Director Fees
2. 2014	Deutsche Bank - Consulting Fees
3. 2014	Dreyfus NJ Muni Bond Fund - Director Fees
4. 2014	Dreyfus NY Tax Exempt Bond Fund - Director Fees
5. 2014	Dreyfus Global Investing - Director Fees
6. 2014	Dreyfus Strategic World Investment Fund - Director Fees
7. 2014	Dreyfus Strategic World Investment Fund - Director Fees
8. 2014	Dreyfus Strategic World Investment Fund - Director Fees
9. 2014	Dreyfus Florida Intermediate Muni Bond Fund - Director Fees
10. 2014	Dreyfus Strategic World Investment Fund - Director Fees
11. 2014	Dreyfus Strategic World Investment Fund - Director Fees
12. 2014	Dreyfus Strategic World Investment Fund - Director Fees
13. 2014	Dreyfus Investor GNMA Fund - Director Fees
14. 2014	Dreyfus 100% U.S. Treasury Short-Term Fund - Director Fee
15. 2014	Dreyfus 100% U.S. Treasury Intermediate Term Fund - Director Fees

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16. 2014	Dreyfus 100% U.S. Treasury Money Market Fund - Director Fees
17. 2014	Office of Personnel Management - Congressional Retirement
18. 2014	Dreyfus Strategic Growth fund - Director Fees
19. 2014	Neiman Marcus - Residuals from Previous Directorship *See Section VIII for Additional Comments.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. J.P. Morgan Chase checking account	C	Interest	K	T					*See Notes in Section VIII
2. J.P. Morgan Chase checking account	C	Interest	J	T					*See Notes in Section VIII
3. J.P. Morgan Chase savings account	C	Interest	M	T					*See Notes in Section VIII
4. AT&T Common Stock	E	Dividend			Sold	04/02/14	O	E	
5. AT&T Common Stock	E	Dividend			Sold	04/15/14	K	C	
6. Procter & Gamble Common Stock Option		None	N	W					
7. Insignia Bank		None	K	W					
8. Real Estate, Chicago, IL		None	M	T					*See Notes in Section VIII
9. Constellation Energy Group		None			Distributed	02/10/14	J		*See Notes in Section VIII
10. Dreyfus Premier China Fund	A	Dividend	L	T					
11. JPMorgan High Yield Bond Fund	C	Interest	M	T	Sold (part)	04/02/14	K	A	
12. Pimco Total Return Fund	D	Interest	J	T	Sold (part)	04/03/14	M	A	*See Notes in Section VIII
13. Pimco Total Return Fund	D	Interest	J	T	Sold (part)	07/07/14	J	A	
14. Vanguard Intermediate Investment Fund	B	Interest	L	T	Sold (part)	04/03/14	L	A	
15. Cohen & Sterrs Realty	B	Dividend	M	T	Sold (part)	12/12/14	L	A	
16. DFA Emerg. Market	B	Dividend	K	T	Sold (part)	04/03/14	J	A	
17. DFA Intl. Small Co.	B	Dividend	L	T	Sold (part)	04/03/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DFA Intl. Small Co.	B	Dividend	L	T	Buy	12/12/14	L		
19. Fidelity Floating Rate	A	Interest	L	T	Sold (part)	04/03/14	J	A	
20. Pimco Com. Real Ret.	D	Interest			Sold	04/02/14	M	A	
21. Diamond Hill	B	Dividend	K	T	Sold (part)	04/04/14	K	A	
22. Diamond Hill	B	Dividend	K	T	Sold (part)	12/12/14	K	A	
23. UBS AG Jersey	C	Interest	M	T	Buy	07/09/14	J		
24. UBS AG Jersey	C	Interest	M	T	Buy	12/16/14	L		
25. Harbor International	C	Interest	M	T	Buy	07/07/14	K		
26. Royce PA	C	Dividend			Sold	04/03/14	M	A	
27. EM International Property	B	Dividend			Sold	12/11/14	M	A	
28. Goldman Sachs	C	Dividend			Sold (part)	05/05/14	K	A	
29. Goldman Sachs	C	Dividend			Sold	12/06/14	L	A	
30. I Shares Russell	D	Dividend	N	T	Buy	06/24/14	J		
31. I Shares Russell	D	Dividend	N	T	Buy	07/09/14	J		
32. Nuveen International	D	Dividend			Sold (part)	01/08/14	L		
33. Nuveen International	D	Dividend			Sold (part)	04/03/14	M		
34. Nuveen International	D	Dividend			Sold	12/11/14	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. Cullen High Yield	C	Dividend			Sold (part)	04/16/14	J	A	
36. Cullen High Yield	C	Dividend	K	T	Buy	05/06/14	L		
37. Touchstone	C	Dividend	L	T					
38. DFA Intl. Core Equity	C	Dividend	M	T	Buy	07/07/14	J		
39. DFA Intl. Core Equity	C	Dividend	M	T	Buy	12/12/14	M		
40. WHV Intl. Equity	B	Dividend	K	T	Buy	07/07/14	J		
41. WHV Intl. Equity	B	Dividend	K	T	Buy	12/12/14	J		
42. SPDR Dow Jones Intl.	A	Dividend	M	T	Buy	12/11/14	M		
43. DFA Tax Managed Intl. DTMIX	D	Dividend	L	T	Sold (part)	05/06/14	J	A	
44. DFA Tax Managed Intl. DTMIX	D	Dividend	L	T	Buy	06/20/14	J		
45. Barclay Bank I Path	A	Interest	L	T	Buy	02/11/14	L		
46. Nuveen Short Term Duration High Yield	C	Interest	L	T	Buy	04/03/14	L		
47. Nuveen Short Term Duration High Yield	C	Interest	L	T	Buy	07/09/14	J		
48. Charles Schwab Money Market Fund	A	Interest	M	T					*See Notes in Section VIII
49. Consolidated Energy (Exelon)	D	Distribution			Distributed	02/10/14	J		*See Notes in Section VIII
50. Ryder Systems	G	Distribution			Distributed (part)	06/02/14	N		*See Notes in Section VIII
51. Ryder Systems	D	Dividend			Sold (part)	04/04/14	L	A	*See Notes in Section VIII

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52. Ryder Systems	D	Dividend			Sold	06/02/14	M	A	*See Notes in Section VIII
53. AT&T	G	Distribution	P1	T	Distributed (part)	02/06/14	M		*See Notes in Section VIII
54. Vanguard Total Bond Fund	C	Interest	M	T	Buy	04/03/14	M		
55. Vanguard Intermediate Term Tax-Exempt	D	Interest	M	T	Buy	02/19/14	M		
56. Vanguard Intermediate Term Tax-Exempt	D	Interest	M	T	Buy	04/03/14	K		
57. Vanguard Intermediate Term Tax-Exempt	D	Interest	M	T	Buy	06/20/14	K		
58. Vanguard Intermediate Term Tax-Exempt	D	Interest	M	T	Buy	07/03/14	K		
59. Vanguard Intermediate Term Tax-Exempt	D	Interest			Sold (part)	12/11/14	L		
60. Nuveen Short-Term High Yield	C	Interest	L	T	Buy	04/03/14	L		
61. Nuveen Short-Term High Yield	C	Interest	L	T	Buy	07/09/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III. - B. Spouse's Non-Investment Income

19. [REDACTED] was a former director of the parent company of Neiman Marcus. She receives a discount for retail purchases.

VII. - Investment and Trusts.

1. This J.P. Morgan Chase account is a personal checking account. Deposits and withdrawals are made throughout the year.

2. This J.P. Morgan Chase account is a personal checking account. Deposits and withdrawals are made throughout the year.

3. This J.P. Morgan Chase account is a personal savings account. Deposits and withdrawals are made throughout the year.

8. Real estate sold on four-year contract.

9. Constellation has been taken over by Exelon Corporation. [REDACTED] has retired as a director and receive stock-based compensation after retirement.

12. Erroneously described as Pimco Retirement Fund in 2013 Report.

48. Charles Schwab Money Market Fund (SWXXX) is a depository of funds resulting from sale of assets in a Charles Schwab account.

The funds are temporary and are used almost immediately in the purchase of another asset within the account. This account was inadvertently omitted from my prior reports.

49. [REDACTED] has retired as Director and received stock based compensation after retirement.

50. Ryder gave [REDACTED] a grant of restricted stock based units as partial compensation. A portion of the units vest annually and are distributed. There are two more annual installments.

51.-52. These were the sales of the 2014 distribution of Ryder stock.

53. [REDACTED] received deferred stock units as partial compensation as a Director. The value of the units are distributed in equal annual installments through 2022.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry D. Leinenweber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544