

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLMAN, THOMAS C.	2. Court or Organization EASTERN DISTRICT OF CALIFORNIA	3. Date of Report 02/25/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 01/01/2015
7. Chambers or Office Address UNITED STATES BANKRUPTCY COURT 501 I STREET, SUITE 3-200 SACRAMENTO, CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

HOLMAN, THOMAS C.

Date of Report

02/25/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting
HOLMAN, THOMAS C.

Date of Report
02/25/2015

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

HOLMAN, THOMAS C.

Date of Report

02/25/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA ACCOUNTS	A	Interest	M	T					
2. BANK OF AMERICA ACCOUNT (Trust 2)	A	Interest	J	T					
3. ABALX (IRA NO. 1)	A	Dividend			Sold	01/01/14	M	F	
4. BABIPrt (457B PLAN NO. 1)	A	Int./Div.	K	T					
5. MDCPIND (457B PLAN NO. 1)	A	Int./Div.	J	T					
6. MS EAFE (457B PLAN NO. 1)	B	Int./Div.	K	T					
7. RUS IND (457B PLAN NO. 1)	A	Int./Div.	J	T					
8. STK IND (457B PLAN NO. 1)	A	Int./Div.	L	T					
9. XXXX ABI (IRA NO. 1)		None	J	T					
10. DREYFUS OPPORTUNISTIC MIDCAP VALUE - DMCVX (IRA NO. 2)	A	Dividend	K	T					
11. SYMETRA LIFE INSURANCE CO.	C	Interest	M	T					
12. DREYFUS LIQUID ASSETS - DLAXX (IRA NO. 2)		None	J	T					
13. JACKSON NATIONAL LIFE INSURANCE CO. - ANNUITY (SEP IRA NO. 2)	A	Interest	J	T					
14. TRMXX (IRA NO. 1)	A	Dividend	M	T					
15. SCHWAB INC MONEY MARKET ACCOUNT	A	Int./Div.	J	T					
16. SCHWAB BANK CHECKING ACCOUNT	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

HOLMAN, THOMAS C.

Date of Report

02/25/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. FRANKLIN TEMPLETON (FKTFX) (Trust 2)	D	Dividend	M	T					
18. PUTNAM CA TAX EXEMPT INCOME A (PCTEX) (Trust 2)	D	Dividend	N	T					
19. PUTNAM GROWTH & INCOME A (PGRWX) (Trust 2)	B	Dividend	M	T					
20. RKT (IRA NO. 2)	A	Dividend	J	T					
21. SMURFIT STONE CONTAINER CORP PFD CONV EXCH SER A (IRA NO. 2)		None	J	T					
22. BANK OF AMERICA ACCOUNTS (Trust 3)	A	Interest	M	T					
23. GSRAX (IRA NO. 1)	D	Dividend	P1	T					
24. MORGAN STANLEY CASH ACCOUNT (IRA 2)	A	Interest	L	T					
25. RENTAL PROPERTY # 1 (SANTA CRUZ, CA) (Trust 3)		None	P1	W					
26. RENTAL PROPERTY # 2 (SANTA CRUZ, CA) (Trust 3)		None	N	W					
27. STERLING BANK/ UMPQUA BANK ACCOUNTS [REDACTED]	A	Interest			Open	02/18/14	N		POD Accounts
28. STERLING BANK/ UMPQUA BANK ACCOUNTS [REDACTED]	A	Interest			Distributed	03/18/14	N	A	Trust 3
29. STERLING BANK/ UMPQUA BANK ACCOUNTS (Trust 3)	A	Interest	N	T					
30. WELLS FARGO BANK ACCOUNTS [REDACTED]	A	Interest			Open	02/18/14	O		POD Accounts
31. WELLS FARGO BANK ACCOUNTS [REDACTED]	A	Interest			Distributed	03/25/14	O	A	Trust 3
32. WELLS FARGO BANK ACCOUNTS (Trust 3)	A	Interest	O	T					
33. US BANK ACCOUNTS [REDACTED]	A	Interest			Open	02/18/14	P1		POD Accounts

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

HOLMAN, THOMAS C.

Date of Report

02/25/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. US BANK ACCOUNTS [REDACTED]	B	Interest			Distributed	04/08/14	P1	A	Trust 3
35. US BANK ACCOUNTS (Trust 3)	B	Interest	P1	T					
36. HOUSE & LAND ASHLAND (Estate 1)		None	N	Q	Open	02/27/14	N		Estate 1 Opened.
37. HOUSEHOLD GOODS ASHLAND (Estate 1)		None	J	Q	Open	02/27/14	J		Estate 1 Opened
38. BANK OF AMERICA ACCOUNTS (Estate 1)	A	Interest			Open	02/27/14	O		Estate 1 Opened
39. BANK OF AMERICA ACCOUTS (Estate 1)	A	Interest			Distributed	04/17/14	O	A	Chase BankAccts Estate 1
40. CHASE BANK ACCOUNTS (Estate 1)	A	Interest	O	T	Open	02/27/14	J		Estate 1 Opened
41. INVS PRFD OPPOR 20 CUSIP 92119K704 (Estate 1)	A	Int./Div.			Open	02/27/14	K		Estate 1 Opened
42. INVS PRFD OPPOR 20 CUSIP 92119K704 (Estate 1)	A	Int./Div.			Sold	05/20/14	K	A	Chase Bank Accts Estate 1
43. DESCHUTES CNTY ORE NPFG B/E/ CUSIP 250316LR5 (Estate 1)	A	Int./Div.			Open	02/27/14	K		Estate 1 Opened
44. DESCHUTES CNTY ORE NPFG B/E/ CUSIP 250316LR5 (Estate 1)	A	Int./Div.			Sold	05/20/14	K	A	Chase Bank Accts Estate 1
45. MET LIFE TOTAL CONTROL SURVIVOR LIFE INSURANCE (Estate 1)	A	Int./Div.	J	T	Open	02/27/14	J		Estate 1 Opened
46. MET LIFE TOTAL CONTROL SURVIVOR LIFE (Estate 1)	A	Int./Div.			Redeemed	05/09/14	J	A	Chase Bank Accts Estate 1

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

HOLMAN, THOMAS C.

Date of Report

02/25/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 3 --- THIS ASSET WAS SOLD ON 08/23/13 ALONG WITH OTHER ASSETS LISTED IN THE 2013 REPORT AS SOLD ON THAT DATE (LINES 4, 5, & 7). THE SALE OF THIS ASSET WAS ERRONEOUSLY OMITTED FROM THE 2013 REPORT.

PART VII, LINE 23 --- THE SYMBOL/CUSIP FOR THIS ASSET IS CORRECTED. IT SHOULD HAVE BEEN GSRAX RATHER THAN GXRAS IN THE 2013 REPORT (LINE 31).

PART VII, LINE 36 --- APPRAISAL DATE 2/17/14.

PART VII, LINE 37 --- APPRAISAL DATE 2/17/14.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

HOLMAN, THOMAS C.

Date of Report

02/25/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ THOMAS C. HOLMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544