

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Co., Western La.	3. Date of Report 08/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 300 Pannin St., Suite 5101 Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Lecturer/Adjunct Faculty Member	Centenary College of Louisiana
2. Board of Directors Member	Children and Arthritis, Inc. (501(c)(3) organization)
3. Advisory Board Member	Global Power Air Museum of Barksdale Air Force Base (501(c)(3) organization)
4. Board of Trustees	Paul M. Hebert Law Center, Louisiana State University
5. Director of Accommodations	Committee on Bar Admissions, Louisiana Supreme Court

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Centenary College of Louisiana - Teaching	\$2,500
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Paralegal services - self-employed
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Private Foundation, Association or Society	02/28/2016 - 03/01/2016	Savannah, GA	Educational activities other than judicial education	Transportation, meals, lodging
2. Bar Group, Bar Organization, or Bar Association	05/18/2016 - 05/22/2016	San Francisco, CA	Activity of professional association or civic organization	Transportation, meals, lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Midland Mortgage	Mortgage - Rental Property #1, Ruston, LA	L
2.	Capital One Bank	Consumer Line of Credit	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Bank Accounts	A	Interest	L	T					
2. State Farm Life Insurance Co. Universal Life	B	Int./Div.	K	T					
3. Northwestern Mutual Life Insurance Co. Whole Life	B	Int./Div.	K	T					
4. Timber Land, Lincoln Parish, Louisiana - 1/1/96, \$30,000		None	K	R					
5. Rental Property #1, Ruston, LA - 07/03/01, \$74,000	D	Rent	L	R					
6. IRA #1 Raymond James Bank Deposit Program	A	Interest	K	T					
7. Red River Syndicate LP	A	Int./Div.	L	U					
8. Janney, Montgomery Scott Brokerage Account - Cash	A	Interest	J	T					
9. Giant Studios		None			Closed	01/01/16	J	A	
10. IRA #2 Raymond James Bank Deposit Program	A	Interest	J	T					
11. Raymond James Bank Deposit Program-T	A	Int./Div.	J	T					
12. IRA #2- Genesis Energy LP	B	Distribution	K	T					
13. IRA #1- GNMA Series 2009-87	A	Interest	J	T					
14. IRA #3 Raymond James Bank Deposit Program	A	Interest	J	T					
15. JP Morgan -Cash Acct.		None	J	T					
16. Raymond James Bank Deposit Program-S		None	J	T					
17. IRA #1-GNMA Series 2010-162	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. IRA #2-Apple Incorporated	A	Dividend	J	T	Sold (part)	01/27/16	K	C	
19. IRA #2-Proto Labs Incorporated		None	J	T					
20. IRA #2-Regeneron Pharmaceuticals		None	J	T					
21. IRA #2-Cyrusone Incorporated	A	Dividend	J	T					
22. IRA #2-Energy Transfer Partners	A	Distribution	J	T					
23. IRA #2-W P Carey Inc.	A	Dividend	K	T					
24. IRA #2- Grifols	A	Dividend	J	T					
25. IRA #2- Hydrogenics		None	J	T					
26. IRA #2- Energy Transfer Equity	A	Distribution	J	T					
27. Gilead Sciences - Janney	A	Dividend	J	T					
28. Enlink Midstream - Janney	A	Distribution	J	T					
29. IberiaBank		None	J	T					
30. IRA #2- Verint Systems Inc		None	J	T					
31. IRA #2- Valero Energy Corp	A	Dividend	J	T					
32. IRA #2- T. Rowe Price Blue Chip		None	J	T					
33. IRA #2- Vanguard Wellesley Inc Fund	A	Dividend	K	T					
34. IRA #2 - Dodge & Cox	A	Dividend	J	T					

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35. IRA #2 - Amazon		None	K	T					
36. IRA #2 - Alphabet Inc		None	K	T					
37. IRA #2 - Delaware Smal Cap	A	Int./Div.	J	T					
38. IRA #2- Fidelity Small Cap		None	J	T					
39. IRA #2 - Am Cap Fund Class F2		None	J	T					
40. IRA #2 - Fidelity New Millenium	A	Dividend	J	T					
41. Raymond James S- Citizens Natl Bancshares		None	J	T					
42. Azure Midstream Partners - Janney		None	J	T					
43. General Electric Co - Janney	A	Dividend	J	T					
44. Raymond James T- Citizens Natl Bancshares		None	J	T					
45. IRA #2 - Cal Maine Foods	A	Dividend	J	T	Buy	08/19/16	J		
46. IRA #2 - EOG Res	A	Dividend	J	T	Buy	08/19/16	J		
47. IRA #2 - Pioneer Nat Res	A	Dividend	K	T	Buy	08/19/16	K		
48. IRA #2 - Martin Midstream Ptnrs	A	Distribution	J	T	Buy	06/28/16	J		
49. IRA #2 - World Pt Terms LP	A	Distribution	J	T	Buy	06/28/16	J		
50. IRA #3 - Genesis Energy LP	A	Distribution	J	T	Buy	07/12/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 5.) Rental Property #1 Ruston, LA - original purchase price \$74,000. Improvements to property since date of purchase total \$16,194.
- 9.) Giant Studios has filed for bankruptcy. Asset has become worthless.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ S. Maurice Hicks, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544