

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McNulty, Kevin	2. Court or Organization U.S. District Court - New Jersey	3. Date of Report 05/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - article III, active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Post Office and Courthouse Federal Square Newark, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executor	Estate-deceased family member (Estate now closed-all distributed)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

McNulty, Kevin

Date of Report

05/01/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 6/27/18	Santander Bank executor account - distribution of bequest from deceased relative	\$36,109.00
2. 2/1/18	Morgan Stanley - distribution of IRA from deceased relative	\$26,128.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	French Cap Consulting, Inc. 1099 income, writer and editor
2. 2018	NJ Monthly 1099 income, writer
3. 2018	Gotham Writers' Workshop Inc. W2 income, writing instructor
4. 2018	Misc. individual clients, writer and editor

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

McNulty, Kevin

Date of Report

05/01/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

McNulty, Kevin

Date of Report

05/01/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Brokerage account #1 (H)									
2. -Fidelity core money market acct		None	J	T					
3. -Fidelity growth company mutual fund	D	Dividend	M	T					
4. -Fidelity value mutual fund	C	Dividend	K	T					
5. -Fidelity equity income mutual fund	B	Dividend	K	T					
6. -Fidelity high income mutual fund	B	Dividend	K	T					
7. -Fidelity corporate bond mutual fund	A	Dividend	K	T					
8. Fidelity IRA #1 (H)									
9. -Fidelity low priced stock mutual fund	A	Dividend	J	T					
10. -Fidelity Four in One mutual fund	D	Dividend	N	T					
11. Fidelity IRA #2 (H)									
12. -Fidelity core money market acct		None	J	T					
13. -Fidelity high income mutual fund	C	Dividend	L	T					
14. Fidelity IRA #3 (H)									
15. -Fidelity core money market acct		None	J	T					
16. -Fidelity Four in One index fund	D	Dividend	N	T					
17. Fidelity IRA #4 (rollover) (H)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

McNulty, Kevin

Date of Report

05/01/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fidelity Govt money market fund	A	Dividend	K	T					
19. -Fidelity Blue Chip Growth mutual fund	E	Dividend	N	T					
20. -Fidelity Equity Dividend Income mutual fund	E	Dividend	M	T					
21. -Fidelity Mega Cap Stock mutual fund	E	Dividend	M	T					
22. -Fidelity Strategic Dividend & Income mutual fund	D	Dividend	M	T					
23. Fidelity Balanced mutual funds	E	Dividend	M	T					
24. Vanguard Wellington mutual funds	C	Dividend	K	T	Sold (part)	02/27/18	J		
25. Vanguard IRA target retirement fund	A	Dividend	J	T	Buy	02/27/18	J		
26. American Express High Yield svgs account	C	Interest	N	T					
27. Bank of America svgs & chkg accounts	A	Interest	K	T					
28. Capital One High Yield chkg account	A	Interest			Closed	04/16/18	J		
29. Chase Bank chkg account	A	Interest	J	T	Open	04/16/18	J		
30. Santander ckg, svgs accounts (as estate executor)	A	Interest			Distributed	06/27/18	M		
31. -Morgan Stanley Bank cash acct (25% distributive share)	A	Interest			Distributed	02/01/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

McNulty, Kevin

Date of Report

05/01/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII lines 24 and 25.

Matched sale and purchase to contribute to IRA.

VII lines 30

11/13/2017 Appointed executor of estate of relative who died on 10/28/17.

Controlled assets on line 30 as fiduciary & received 25% share as distribution.

Estate closed

VII line 31 Account contained proceeds of liquidation of deceased's IRA in 2017 (see 2017 report).

Received 25% share as beneficiary

Entire IRA liquidated and distributed to beneficiaries

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

McNulty, Kevin

Date of Report

05/01/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin McNulty**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544