

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stormes, Nita L.	2. Court or Organization Southern District of Cal.	3. Date of Report 08/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Mag. Judge-Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 333 West Broadway San Diego, CA 92101-8910		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Personal Trust (Assets are listed in Part VII in rows 33-92)
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Jones Day (Law Firm)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cabrillo Federal Credit Union - 2 Savings	A	Interest			Closed	04/22/16	K		
2. Cabrillo Federal Credit Union - 2 IRA Cash Equivalent	A	Interest			Closed	04/22/16	J		
3. Cabrillo Federal Credit Union - 2 Checking	A	Interest			Closed	04/22/16	J		
4. California Bank & Trust - 2 IRA Cash equivalent	A	Interest	K	T					
5. Vanguard CA Money Market Fund - 3	A	Interest	K	T					
6. Fidelity Magellan Fund (Fidelity Ultra) - 1	A	Dividend	K	T					
7. Janus Fund -3	A	Dividend	J	T					
8. Janus Mercury Fund (now Janus Research Fund) - 3	A	Dividend	J	T					
9. Janus Worldwide Fund - 3	A	Dividend	J	T					
10. Fidelity Puritan Fund - 3	A	Dividend	J	T					
11. Franklin Mutual Quest Fund - Z - 1	A	Dividend	K	T					
12. Oakmark Fund - 3	A	Dividend	K	T					
13. Scudder International Fund (now Deutsche CROCI International Fund) - 3	A	Dividend	J	T					
14. Vanguard Index Fund (Fidelity Ultra) - 1	A	Dividend	K	T					
15. Fidelity CA Muni Money Market (Fidelity Ultra) - 1	A	Interest	J	T					
16. Federated Kaufman Fund R - 3	A	Dividend	K	T					
17. California Bank & Trust - 1 Checking	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard CA Money Market Fund - 2	B	Interest	O	T					
19. Vanguard CA Money Market Fund - 1	A	Interest	M	T					
20. E*Trade Tax-Exempt Cal. Fund - 1	A	Interest	J	T					
21. San Diego County Credit Union Checking - 1	A	Interest	J	T					
22. San Diego County Credit Union Savings - 1	A	Interest	J	T					
23. Apple - 1	A	Dividend	K	T					
24. New Energy Technologies Inc (now SolarWindow Technologies) - 1	A	Dividend	J	T					
25. Tesla Motors Inc - 1	A	Dividend	J	T					
26. GNMA Fund Admiral Shares - 3 IRA	A	Int./Div.	N	T					
27. Primecap Fund Investor - 3 IRA	A	Int./Div.	L	T					
28. Total Bond Mkt Index Inv - 3 IRA	A	Int./Div.			Sold	12/01/16	J	A	
29. Total Stock Mkt Index Inv - 3 IRA	A	Int./Div.	N	T					
30. Wellington Fund Admiral - 3 IRA	A	Int./Div.	O	T					
31. 500 Index Fund Adm - 3 IRA	A	Int./Div.	PI	T					
32. Jones Day 2020 Fund - 3 401	A	Int./Div.	N	T					
33. UBS Bank - 2T	A	Interest	K	T					
34. Accenture - 2T	A	Dividend	J	T	Buy	02/15/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Apple - 2T	A	Dividend	K	T					
36. Blackrock - 2T	A	Dividend	J	T	Buy	12/13/16	J		
37. Boeing - 2T	A	Dividend			Sold	09/27/16	J	A	
38. British Amer. Tobacco - 2T	A	Dividend	J	T					
39. Chubb Ltd - 2T	A	Dividend	J	T	Buy	04/28/16	J		
40. Coca Cola Co Com - 2T	A	Dividend	J	T	Buy	02/25/16	J		
41. Colgate Palmolive - 2T	A	Dividend	J	T					
42. Crane Co - 2T	A	Dividend	J	T					
43. CVS Health Corp - 2T	A	Dividend	J	T	Buy	10/10/16	J		
44. Diageo PLC - 2T	A	Dividend	J	T					
45. Dominion Resources Inc	A	Dividend			Sold	02/25/16	J	A	
46. Exxon Mobil Corp - 2T	A	Dividend	J	T	Buy	05/18/16	J		
47. Home Depot Inc - 2T	A	Dividend	J	T					
48. Illinois Tool - 2T	A	Dividend			Sold	02/25/16	J	A	
49. Intel - 2T	A	Dividend	J	T					
50. Invesco LTD - 2T	A	Dividend	J	T					
51. Johnson Controls - 2T	A	Dividend	J	T					

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52. Lockheed Martin Corp - 2T	A	Dividend	J	T	Buy	02/25/16	J		
53. Marsh & McLennan Cos Inc - 2T	A	Dividend	J	T					
54. McDonalds Corp - 2T	A	Dividend	J	T	Buy	02/25/16	J		
55. Medtronic - 2T	A	Dividend	J	T					
56. Microsoft Corp. - 2T	A	Dividend	J	T					
57. Nextera Energy - 2T	A	Dividend	J	T					
58. Nordstrom - 2T	A	Dividend	J	T					
59. Novartis - 2T	A	Dividend	J	T					
60. Occidental Petroleum - 2T	A	Dividend			Sold	02/25/16	J	A	
61. Pepsico Inc - 2T	A	Dividend	J	T					
62. Praxair Inc - 2T	A	Dividend	J	T	Buy	09/27/16	J		
63. Qualcomm - 2T	A	Dividend	K	T					
64. Rockwell Automatic Inc - 2T	A	Dividend	J	T					
65. Schlumberger Ltd Netherlands - 2T	A	Dividend			Sold	05/26/16	J	A	
66. Texas Instruments 2T	A	Dividend	J	T					
67. Toronto Dominion Bk New Canada - 2T	A	Dividend			Sold	06/30/16	J	A	
68. Union Pacific Corp. - 2T	A	Dividend	J	T					

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69. UNTD Technologies Corp - 2T	A	Dividend	J	T					
70. VF Corp - 2T	A	Dividend	J	T					
71. EGA Emerging Global Shrs - 2T	A	Dividend			Sold	11/23/16	K	A	
72. SPDR S&P 500 ETF - 2T	A	Dividend	K	T					
73. Natixis Oakmark International Fund - 2T	A	Dividend	K	T					
74. Principal Sm-MD CP Div - 2T	A	Dividend			Sold	03/29/16	L	A	
75. T.Rowe Price Global Tech Fund - 2T	A	Dividend	K	T					
76. FHR 3622 TA - 2T	A	Interest			Sold	10/19/16	J	A	
77. Springfield IL Elec Natio RV- 2T	A	Interest			Sold	02/25/16	K	A	
78. California St Pub Wks - 2T	A	Interest	K	T					
79. California St Pub Wks - 2T	A	Interest	K	T					
80. Southern CA Pub Pwr - 2T	A	Interest	K	T					
81. California Cmnty Coll - 2T	A	Interest	K	T					
82. Cajon Valley CA Un Sc - 2T	A	Interest	K	T					
83. California St Pub Wks - 2T	A	Interest	K	T	Buy	03/29/16	K		
84. California St - 2T	A	Interest	K	T					
85. Turlock CA Irr Dist - 2T	A	Interest	K	T					

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86. California ST Pub Wks - 2T	A	Interest	K	T					
87. California St - 2T	A	Interest	K	T	Buy	03/29/16	K		
88. Rio CA Elem Sch - 2T	A	Interest	K	T	Buy	04/28/16	K		
89. Standard CA SCH - 2T	A	Interest	K	T					
90. Southern CA Pub Pwr - 2T	A	Interest	K	T					
91. Imperial CA Irr Dist - 2T	A	Interest	K	T	Buy	02/25/16	K		
92. Pioneer Strategic Income Fund - 2T	A	Interest	K	T	Buy	04/28/16	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions - All assets in the Personal Trust are listed in Part VII in rows 33-92.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nita L. Stormes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544