

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dale, Candy W.	2. Court or Organization District of Idaho	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge--full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address James A. McClure Federal Building and Courthouse 550 West Fort Street Boise, Idaho 83724		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee (Director)	College of Idaho/non-profit liberal arts college
2.	Executor/Personal Representative	Estate [REDACTED]
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Stoel Rives--salary and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Idaho College of Law	May 10-12, 2013	Moscow, Idaho	Commencement Speaker	Transportation, meals, and lodging
2. Idaho State Bar	August 17-18, 2013	Moscow, Idaho	Professionalism Orientation at UI College of Law	Transportation, meals, and lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Tufts University School of Medicine	Tuition	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank Accounts	A	Interest	M	T					
2. Bank of America Accounts	A	Interest	J	T					
3. Farmer's New World Life Ins	A	Interest	J	T					
4. New York Life--whole #1	B	Int./Div.	L	T					
5. New York Life--whole #2	A	Int./Div.	K	T					
6. Master Retirement Trust		None	N	T					
7. Wells Fargo Accounts (Estate) (X)	G	Interest	M	T					
8. TD Ameritrade IRA Account #1									
9. --DFA Five-Yr Global Portfolio Fund (DFGBX)	A	Interest	L	T					
10.	B	Distribution							
11.	A	Distribution							
12. --Loomis & Sayles Bond Fund (LSBDX)	E	Interest	N	T					
13.	B	Distribution							
14. --DFA Emerging Market Social Core Equity (DFESX)	A	Dividend			Sold	11/14/13	K	A	
15. --DFA Internat'l Small Cap Value (DISVX)	A	Dividend	K	T					
16.	A	Distribution							
17.	A	Distribution							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --DFA Internat'l Value (DFIVX)	A	Dividend			Sold	11/14/13	J	A	
19. --DFA Large Cap Value (DFLVX)	B	Dividend			Sold (part)	07/30/13	M	E	
20.					Sold	11/14/13	L	E	
21. --DFA US Small Cap Equity (DFSTX)	A	Dividend			Sold	11/14/13	L	E	
22. --PIMCO Total Return Bond Fund (PTTRX)	D	Interest	M	T					
23.	B	Distribution							
24.	A	Distribution							
25. --DFA Global Real Estate Fund (DFGEX)	C	Dividend	M	T	Buy (add'l)	07/30/13	K		
26.					Buy (add'l)	11/15/13	L		
27. --DFA Inflation Protected Securities Fund (DIPSX)	A	Interest	L	T					
28. --Oppenheimer Sr. Floating Rate Fund (OOSYX)	A	Interest	J	T	Buy	11/19/13	J		
29. --Pimco High Yield Corporate Bond ETF (HYS)	B	Interest	M	T	Buy	07/30/13	L		
30.	A	Distribution			Buy (add'l)	11/15/13	M		
31.	A	Distribution							
32. --DFA Emerging Markets Small Cap Fund (DEMSX)	A	Dividend			Buy	07/30/13	J		
33.					Buy (add'l)	09/03/13	J		
34.					Buy (add'l)	10/01/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	11/01/13	J		
36.					Sold	11/14/13	K	A	
37. --TD Ameritrade Money Market Fund	A	Interest	J	T					
38. TD Ameritrade Taxable Account #1									
39. --DFA Internat'l Core Equity Fund (DFIEX)	D	Dividend	N	T	Buy (add'l)	11/14/13	K		
40. --DFA US Core Equity Fund (DFEOX)	D	Dividend	N	T					
41.	B	Distribution							
42. --DFA Emerging Markets Core Equity (DFCEX)	C	Dividend	M	T	Buy (add'l)	11/14/13	L		
43. --DFA US Large Cap Value (DFLVX)	B	Dividend	M	T					
44. --DFA Tax Managed Small Cap Value Equity Fund (DTMVX)	A	Dividend	M	T	Buy (add'l)	11/14/13	K		
45.	C	Distribution							
46. --DFA Tax-Managed Small Cap Equity Fund (DFTSX)	A	Dividend	L	T	Buy (add'l)	11/14/13	L		
47. --DFA Tax-Managed US Value (DTMMX)	B	Dividend	M	T	Buy	07/30/13	M		
48.					Buy (add'l)	11/14/13	K		
49. --Vanguard Tax-Exempt Limited Term Bond Fund (VMLUX)	A	Interest	K	T	Buy	11/19/13	K		
50. --EXXON Mobil (XOM)	B	Dividend	M	T					
51. --International Business Machines (IBM)	A	Dividend	K	T					

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52. --Union Pacific Corp (UNP)	A	Dividend	L	T					
53. --YUM Brands Inc (YUM)	A	Dividend	J	T					
54. --Delaware Tax Free Idaho Cl A (VIDAX)	B	Dividend			Sold	11/11/13	K	A	
55. --Idaho Muni Bond Bk	B	Interest	L	T					
56. --Ada & Canyon Cnty ID Sch Dist	A	Interest	K	T					
57. --Canyon Cnty Id Sch	B	Interest	K	T					
58. --Fremont & Madison Cnty ID	A	Interest			Sold	02/01/13	K	A	
59. --ID Hsg & Fin Assn	B	Interest	K	T					
60. --ID Hsg & Fin Assn	B	Interest	K	T					
61. --Moscow ID SWR Rev	B	Interest	K	T					
62. --Boise State Unive Id Revs	A	Interest	J	T					
63. --Boise State Univ ID Revs	B	Interest	K	T					
64. --Boise City Urban Renewal AG	B	Interest	K	T					
65. --Canyon County ID SCH Dist#131	B	Interest	K	T					
66. --Idaho BD BK Auth Rev Bds	A	Interest	J	T					
67. --Madison County ID Sch Dist No. 32	A	Interest	K	T					
68. --Puerto Rico Elec Pwr Auth.	A	Interest	K	T					

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69. --Boise City Idaho Urban Renewal Bonds (X)	A	Interest	J	T					
70. --Idaho Health Facs Hosp Rev Bonds (X)	A	Interest	J	T					
71. --Idaho Housing & Fin Assc (X)		None	J	T					
72. --Idaho Housing & Fin Assc. (X)		None	J	T					
73. --Idaho Housing & Fin Assc. (X)		None	J	T					
74. --TD Ameritrade Money Market Fund	A	Interest	L	T					
75. TD Ameritrade Taxable Account #2									
76. --TD Ameritrade Money Market Fund	A	Interest	K	T					
77. --DFA Tax-Advantaged US Core Equity II (DFTCX)	B	Dividend	M	T					
78.	B	Distribution							
79. --DFA World Ex-US Core Equity (DFTWX)	B	Dividend	L	T	Buy (add'l)	09/16/13	J		
80.	A	Distribution			Buy (add'l)	10/16/13	J		
81.					Buy (add'l)	11/18/13	J		
82.					Buy (add'l)	12/16/13	J		
83. --PIMCO Total Return Bond Fund (PTTRX)	A	Interest	K	T					
84.	A	Distribution							
85.	A	Distribution							

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. --Chipolte Mexicam Grill Inc (CMG)		None	J	T					
87. --Glaxosmithkline PLC (GSX)	A	Dividend	J	T					
88. --McDonalds (MCD)	A	Dividend	K	T					
89. --Delaware Tax Free ID C1 A (VIDAX)	C	Interest			Buy (add'l)	01/29/13	K		
90.					Sold	11/11/13	L	A	
91. --Franklin Adjustable US Gov't Fund (FISAX)	A	Dividend			Sold	01/29/13	K	A	
92. --Idaho St. Bldg Auth Aldg Rev	A	Interest			Sold	09/03/13	J	A	
93. --Puerto Rico Elec Pwr Auth Pwr	A	Interest	J	T					
94. --Pimco High Yield Corporate Bond ETF (HYS)	A	Interest	K	T	Buy	11/26/13	J		
95.	A	Distribution			Buy (add'l)	11/26/13	K		
96. --Oppenheimer Sr. Floating Rate Fund (OOSYX)	A	Interest	L	T	Buy	11/26/13	L		
97. TD Ameritrade IRA #2									
98. --TD Ameritrade Money Market	A	Interest	J	T					
99. --Wells Fargo Commodity Link CD		None			Matured	05/31/13	K	A	
100. --All State Corp Debenture	A	Interest			Matured	06/17/13	K	A	
101. --Teco Finance Inc	B	Interest	K	T					
102. --DFA International Small Cap (DISVX)	A	Dividend	K	T					

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103.	A	Distribution							
104.	A	Distribution							
105. --DFA Inflation Prot. Sec (DIPSX)	B	Dividend	M	T					
106. --DFA US Targeted Value (DFFVX)	A	Dividend			Sold	11/14/13	K	D	
107. --DFA US Large Cap Value (DFLVX)	A	Dividend			Sold	11/14/13	K	D	
108. --DFA International Value (DFIVX)	A	Dividend			Sold	11/14/13	J	B	
109. --Loomis and Sayles Bond Fund (LSBDX)	C	Dividend	L	T					
110.	A	Distribution							
111. --Vanguard Int. Term Invest Grade Bond Fund (VFICX)	B	Dividend	K	T					
112.	A	Distribution							
113.	A	Distribution							
114. --Pimco High Yield Corporate Bond Fund (HYS)	A	Interest	K	T	Buy	06/27/13	K		
115.	A	Distribution			Buy (add'l)	07/30/13	K		
116.	A	Distribution							
117. --Oppenheimer Sr. Floating Rate Fund (OOSYX)	A	Interest	L	T	Buy	11/15/13	L		
118. Hines Real Estate Account #1									
119. --Hines Real Estate Inv Trust (HINES)	A	Dividend			Sold	06/28/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. Roth IRA Account #1									
121. --DFA Tax-Advantaged Core Equity II Fund (DFTCX)	A	Dividend	J	T					
122.	A	Distribution							
123. Beneficiary IRA Account #1									
124. --Aviva Fixed Account (X)	A	Interest	J	T					
125. --Aviva 1-Yr S&P Index Up (X)	A	Dividend	J	T					
126.									
127.									
128.									
129.									
130.									
131.									
132.									
133.									
134.									
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Candy W. Dale**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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