

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DYK, TIMOTHY B.	2. Court or Organization FEDERAL CIRCUIT	3. Date of Report 06/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 717 MADISON PLACE, N.W. WASHINGTON, D.C. 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. JUDICIAL COUNSELOR	GILES RICH INN OF COURT
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

06/12/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	UNIVERSITY OF NEBRASKA - BOARD OF REGENTS (ROYALTY)	\$410.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	NYU LAW SCHOOL - SALARY
2. 2014	STATE OF MARYLAND - HONORARIA \$6,000
3. 2014	WASHINGTON UNIVERSITY - HONORARIA \$5,250
4. 2014	PODESTA GROUP INC - CONSULTANT

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. STANFORD LAW SCHOOL	03/20 - 03/22/2014	PALA ALTO, CA	KEYNOTE SPEAKER: PATENT TROLLS AND PATENT REFORM STANFORD LAW SCHOOL CONFERENCE	TRANSPORTATION, LODGING, MEALS
2. IP SECTION OF THE DELAWARE CHAPTER OF THE FEDERAL BAR ASSOCIATION	05/13/2014	WILMINGTON, DE	APPELLATE COURT JUDICIAL PANEL DELAWARE CHAPTER OF THE FEDERAL BAR ASSOC CONFERENCE	TRANSPORTATION

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

06/12/2015

3.	ED TX BAR ASSOCIATION AND USDC NON- APPROPRIATED FUNDS	10/22 - 10/23/2014	PLANO, TX	APPELLATE JUDGES' PANEL EASTERN DISTRICT OF TEXAS BENCH & BAR CONFERENCE	TRANSPORTATION, LODGING, MEALS
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4.					
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5.					
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FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

06/12/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

06/12/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. LOT - TRURO, MA (PARCEL 1) (\$230,600)		None	N	S					
2. CARLYLE FUND	G	Dividend	N	T					
3. MORGAN STANLEY	A	Interest	M	T					
4. 1 SHARES NEW YORK MUNI BOND FUND	C	Interest	M	T					
5. FIRST NIAGRA FINANCIAL (COMMON STOCK)	A	Dividend	J	T					
6. JAPAN EQUITY FUND	A	Dividend	L	T					
7. DEUTCHE TELECOM (COMMON STOCK)	B	Dividend	L	T					
8. BANK OF AMERICA (COMMON STOCK)	B	Dividend	M	T					
9. PETROBRAS (COMMON STOCK)	A	Dividend	L	T	Buy	11/11/14	L		
10.					Buy (add'l)	12/08/14	K		
11.					Sold (part)	12/31/14	J		
12. LEUCADIA NATL CORP (COMMON STOCK)	A	Dividend	K	T					
13. CRIMSON WINE GROUP (COMMON STOCK)		None	J	T					
14. NUVEEN MUNICIPAL VALUE FUND	D	Int./Div.	N	T					
15. NUVEEN MUNICIPAL OPP FUND	C	Interest	L	T					
16. ROYAL DUTCH SHELL (COMMON STOCK)	D	Dividend	M	T					
17. VANGUARD MONEY MARKET FUND T/E	A	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

06/12/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. VANGUARD INTERMEDIATE TERM T/ E ADMIRAL	D	Dividend	M	T	Buy	01/06/14	M		
19. NY COMM BK CORP (COMMON STOCK)	B	Dividend	K	T					
20. RYDEX INVERSE GOV'T LONG BOND FUND		None			Sold	11/24/14	K		
21. WESTERN INTERMEDIATE MUNI FUND	C	Interest	M	T					
22. FORD MOTOR CO (COMMON STOCK)	A	Dividend	J	T	Sold (part)	12/01/14	K	B	
23. NUVEEN SELECT TAX FREE INCOME FUND	D	Interest	M	T	Buy (add'l)	06/02/14	K		
24.					Buy (add'l)	06/04/14	K		
25.					Buy (add'l)	06/05/14	J		
26. ABB LIMITED (COMMON STOCK)	C	Dividend	L	T					
27. TOTAL S.A. (COMMON STOCK)	D	Dividend	M	T					
28. NORTHWEST BIOTHERAPUTICS (COMMON STOCK)		None	K	T	Sold (part)	03/10/14	K		
29. ATLAS AIR WORLDWIDE (COMMON STOCK)		None	L	T	Buy (add'l)	06/03/14	K		
30. ASTORIA FINANCIAL (COMMON STOCK)	A	Dividend	J	T					
31. NUVEEN SELECT MATURITY (MUNICIPAL FUND)	A	Interest	J	T					
32. VANGUARD IRA #4	D	Dividend	N	T					
33. -1 SHARES MSCI JAPAN									
34. -LEUCADIA NATIONAL CORP (COMMON STOCK)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

DYK, TIMOTHY B.

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06/12/2015

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - ABB LTD (COMMON STOCK)									
36. - NEW AMERICA HIGH INCOME FUND					Buy	01/27/14	K		
37. - BANK OF CALIFORNIA (COMMON STOCK)									
38. - FIDELITY JAPAN SMALL CO. FUND									
39. -VANGUARD MONEY MARKET									
40. - RYDEX INV GOV'T LONG BOND					Sold	11/24/14	K		
41. - NY TIMES CO (COMMON STOCK)					Sold	03/10/14	L		
42. - KINDERMORGAN ENERGY PARTNERS (COMMON STOCK)					Sold	03/10/14	L		
43. - BLACKSTONE MORT TRUST (COMMON STOCK)									
44. - CRIMSON WINE GROUP (COMMON STOCK)									
45. - PEOPLES UNITED (COMMON STOCK)									
46. VANGUARD IRA - #1	E	Dividend	O	T					
47. - BANK OF AMERICA (COMMON STOCK)									
48. - FIDELITY JAPAN SM CO FUND									
49. - RYDEX INVERSE GOV'T LONG BOND FUND					Sold	11/24/14	L		
50. - DEUTCHE HIGH INCOME OPPERTUNITIES FUND					Buy	01/27/14	J		
51. - ENSCO (COMMON STOCK)					Buy	06/03/14	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

DYK, TIMOTHY B.

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06/12/2015

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52. - I SHARES JAPAN INDEX FUND									
53. - THIRD AVE FOCUSED CREDIT FUND					Sold	05/29/14	M	F	
54. - N Y TIMES (COMMON STOCK)					Sold	03/10/14	L		
55. - MORGAN STANLEY SENIOR NOTES									
56. - VANGUARD MONEY MARKET									
57. -LUMINENT (COMMON STOCK)									
58. - GLADSTONE COMMERCIAL CORP. (COMMON STOCK)									
59. VANGUARD IRA #2	D	Dividend	N	T					
60. -VANGUARD MONEY MARKET									
61. - FIDELITY INV TRUST JAPAN SMALL CO FUND									
62. - DEUTCHE TELECOM (COMMON STOCK)									
63. - CITIGROUP (COMMON STOCK)									
64. - VALLEY NATIONAL BANK (COMMON STOCK)									
65. -LUMINENT (COMMON STOCK)									
66. -DEUTCHE HIGH INCOME OPPORTUNITIES FUND					Buy	01/27/14	K		
67. - FORD MOTOR CO (COMMON STOCK)					Sold	12/01/14	K	B	
68. - N Y TIMES (COMMON STOCK)					Sold	03/10/14	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

06/12/2015

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69. VANGUARD IRA # 3	D	Dividend	N	T					
70. - VANGUARD MONEY MARKET									
71. - THIRD AVE FOCUS CREDIT FUND					Sold	05/29/14	M	F	
72. - CONOCO PHILLIPS (COMMON STOCK)									
73. - FAIR POINT COMMUNICATIONS (COMMON STOCK)									
74. - GLADSTONE COMMERCIAL CORP (COMMON STOCK)									
75. TD AMERITRADE IRA #1 (CASH)	A	Dividend	J	T					
76. TD AMERITRADE IRA #2	A	Dividend	O	T					
77. -SPEC SITUATION LIFE SCIENCES FUND									
78. - MONEY MARKET									
79. WELLS FARGO IRA	A	Dividend	J	T					
80. - MONEY MARKET									
81. - KAISER ALUMINUM (COMMON STOCK)									
82. - KAISER ALUMINUM SENIOR NOTES DUE 02/15/02									
83. VANGUARD IRA #5	A	Dividend	M	T					
84. - VANGUARD MONEY MARKET									
85. - NEW AMERICA HIGH INCOME FUND					Buy	01/27/14	K		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

06/12/2015

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86. -ALLEGHENY TECHNOLOGIES INC (COMMON STOCK)					Sold	04/07/14	L	E	
87. NEW YORK UNIVERSITY 403(b) PLAN (PREVIOUSLY VANGUARD IRA #6)	B	Dividend	L	T					
88. - VANGUARD DIVIDEND GROWTH FUND									
89. MASS MUTUAL LIFE INS	A	Dividend	K	W					
90. ING LIFE INS	C	Dividend	M	T					
91. PNC BANK WASH DC	A	Interest	K	T					
92. US BONDS	C	Interest	M	T					
93. J.J. GUMBERG LIMITED PARTNERSHIP	D	Distribution	J	W					
94. VIRGINIA RETIREMENT SYSTEM	A	Interest	J	T					
95.									

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

DYK, TIMOTHY B.

Date of Report

06/12/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) TOTAL INCOME FOR IRA ACCOUNTS IS TOTAL INCOME AS REPORTED BY CUSTODIAN OF ACCOUNT.

2) TRANSFERS FROM IRA MONEY MARKET TO PERSONAL ACCOUNT (RMD) NOT SHOWN.

3) PART VII, LINES 87- 88. PERIODIC EMPLOYER CONTRIBUTIONS MADE TO THIS ACCOUNT, NEW YORK UNIVERSITY 403(b) PLAN (PREVIOUSLY VANGUARD IRA #6) -VANGUARD DIVIDEND GROWTH FUND) ARE NOT REPORTED AS A SEPERATE BUY.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

DYK, TIMOTHY B.

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06/12/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **TIMOTHY B. DYK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544