

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hurwitz, Andrew	2. Court or Organization U.S. Court of Appeals, Ninth Circuit	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge, Ninth Circuit	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 401 W. Washington Street Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2015	Arizona Elected Officials Retirement System; no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Arizona Elected Officials Retirement System, pension	\$57,329.76
2. 2015	National Conference of Bar Examiners, stipends	\$7,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Arizona State University, salary
2. 2015	Arizona State Retirement System, pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Fordham University School of Law	3/27/2015 - 3/30/2015	New York, NY	Moot Court	Transportation, lodging, meals
2. National Conference of Bar Examiners	04/23/2015 - 04/25/2015	Austin, TX	Review and drafting of bar examination questions	Transportation, lodging, meals
3. National Conference of Bar Examiners	09/23/2015 - 09/27/2015	Stonington, CT	Review and drafting of bar examination questions	Transportation, lodging, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley	portfolio loan	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank (Checking/Savings)	A	Interest	K	T					
2. Real Estate Limited Partnership (Avondale Acres) Avondale, AZ	A	Distribution	K	W					
3. (H) Trust #1 ComProp " "	D	Int./Div.	N	T					
4. -MORGAN STANLEY BANK N.A.									
5. -ALGER SPECTRA A					Sold (part)	03/03/15	J	A	
6.					Sold	10/07/15	K	A	
7. -BARON REAL ESTATE INST					Sold (part)	07/27/15	J	B	
8. -COHEN & STEERS PREF SEC&INC I - COHEN & STEERS PREF SEC&INC I - COHEN &					Buy	10/07/15	J		
9. -COLUMBIA SELECT LG CP GROWTH Z					Buy	10/07/15	K		
10. -DOUBLELINE SHILLER ENH CAPE					Buy	12/11/15	K		
11. -DOUBLELINE TOTAL RETURN I					Sold (part)	03/03/15	J		
12.					Sold (part)	07/27/15	J		
13.					Buy (add'l)	10/07/15	K		
14. -FIRST EAGLE GLOBAL I					Sold	03/03/15	J	A	
15. -FIRST TRUST DORSEY WRIGHT FD					Buy	07/27/15	J		
16.					Buy (add'l)	10/07/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. -FIRST TRUST NORTH AMERICAN					Sold	03/03/15	K		
18. -GUGGENHEIM S7P 500 PURE VALE					Buy	10/07/15	J		
19. -HIGHLAND GLOBAL ALLOCATION I					Buy	07/27/15	J		
20. -INVESCO SMALL CAP VALUE Y					Sold (part)	04/08/15	J	A	
21.					Sold	09/10/15	K		
22. -ISHSARES CURR HEDGED MSCI EMU					Buy	12/11/15	K		
23. -ISHARES MSCI JAPAN ETF					Buy	10/07/15	J		
24. -ISHARES MSCI USA MIN VOL ETF					Buy	12/11/15	K		
25. -LOOMIS SAYLES STRATEGIC INC Y					Sold	10/07/15	J		
26. -MATTHEWS ASIAN INDIA					Buy	04/08/15	J		
27.					Buy (add'l)	07/27/15	J		
28.					Sold	09/09/15	J		
29. -MATTHEWS ASIAN JAPAN INV					Buy	04/08/15	K		
30.					Buy (add'l)	07/27/15	J		
31.					Buy (add'l)	10/07/15	J		
32. -MATTHEWS CHINA DIVIDEND					Buy	04/08/15	J		
33.					Sold	07/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. -METROPOLITAN WEST TOT RET BD I					Buy (add'l)	03/03/15	J		
35.					Sold (part)	07/27/15	J	A	
36.					Buy (add'l)	10/07/15	J		
37. -MIRAE ASIA GREAT CONS					Buy	04/08/15	J		
38.					Sold	07/09/15	J		
39. -OAKMARK I					Sold (part)	10/07/15	K	C	
40. -OPPENHEIMER INTL SM COM Y					Buy	07/27/15	J		
41.					Sold	09/10/15	J		
42. -PIMCO FUNDAMENTALIDXPLS AR P					Sold	03/03/15	L		
43. -PIMCO INCOME P					Buy (add'l)	10/07/15	J		
44. -PIMCO INTL STOCKPLUS AR STR P					Buy (add'l)	04/08/15	J		
45.					Sold (part)	07/27/15	K	A	
46.					Buy (add'l)	10/07/15	J		
47. -PIMCO REAL RETURN P.					Buy	07/27/15	K		
48.					Sold	10/07/15	K		
49. -PIMCO SHORT TERM P					Buy	10/07/15	K		
50. -PUTNAM EQUITY SPECTRUM Y					Buy (add'l)	03/03/15	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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51.					Sold (part)	04/08/15	J		
52.					Sold	07/27/15	K		
53. -RS SELECT GROWTH Y (Y)									
54. -SPDR S&P CHINA ETF					Buy	03/03/15	J		
55.					Sold	04/08/15	J	A	
56. -SPDR S&P EMERGING ASIA PACIF ETF					Buy	03/03/15	J		
57.					Sold	04/08/15	J	A	
58. -SPDR SERIES TRUST DB INT GVT					Buy	07/27/15	J		
59.					Sold	10/07/15	J		
60. -SUNAMERICA NEW FOC DIV STRA A					Sold (part)	03/03/15	J	A	
61.					Sold (part)	04/08/15	J	A	
62.					Buy (add'l)	10/07/15	J		
63.					Sold	12/11/15	K		
64. -T ROWE PRICE DVRSFD SM CP GR					Buy	03/03/15	K		
65.					Sold (part)	10/07/15	J		
66.					Sold (part)	12/09/15	J		
67. -T ROWE PRICE MID CAP GR ADV					Buy	03/03/15	K		

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68.					Buy (add'l)	04/08/15	J		
69.					Sold (part)	10/07/15	J		
70.					Sold (part)	12/09/15	J	A	
71. -TOUCHSTONE SANDS CAP SEL GR Y					Buy	10/07/15	K		
72.					Sold	12/09/15	K	B	
73. -WISDOMTREE EUROPE HEDGED EQUITY					Buy (add'l)	03/03/15	J		
74.					Buy (add'l)	04/08/15	K		
75.					Buy (add'l)	07/27/15	J		
76.					Sold (part)	10/07/15	K	A	
77.					Sold	12/11/15	K		
78. -WISDOMTREE TRUST INDIA					Buy	03/03/15	J		
79.					Sold	04/08/15	J		
80. -WISDOMTREE TRUST JAPN DEDGE EQ					Buy (add'l)	03/03/15	K		
81.					Sold (part)	04/08/15	K	C	
82.					Sold	09/10/15	K		
83. "(H) TRUST #2 A S&S [REDACTED]"	D	Int./Div.	N	T					
84. -MORGAN STANLEY BANK N.A.									

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85. -ALGER SPECTRA A					Sold (part)	03/04/15	J	A	
86.					Sold	10/07/15	K	A	
87. -BARON REAL ESTATE INST					Sold (part)	04/08/15	J	A	
88.					Sold (part)	07/28/15	J	A	
89. -COHEN & STEERS PREF SEC&INC I					Buy	10/07/15	J		
90. -COLUMBIA SELECT LG CP GROWTH Z					Buy	10/07/15	J		
91. -DOUBLELINE SHILLER ENH CAPE					Buy	12/11/15	K		
92. -DOUBLELINE TOTAL RETURN I					Sold (part)	03/04/15	J		
93.					Sold (part)	07/28/15	J		
94.					Buy (add'l)	10/07/15	K		
95. -FIRST EAGLE GLOBAL I					Sold	03/04/15	J	A	
96. -FIRST TRUST DORSEY WRIGHT FD					Buy	07/28/15	J		
97.					Buy (add'l)	10/07/15	J		
98. -FIRST TRUST NORTH AMERICAN					Sold	03/04/15	K		
99. -GUGGENHEIM S&P 500 PURE VALE					Buy	07/28/15	J		
100.					Sold	10/07/15	J		
101. -HIGHLAND GLOBAL ALLOCATION I					Buy	07/28/15	J		

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102. -INVESCO SMALL CAP VALUE Y					Sold (part)	04/08/15	J	A	
103.					Sold (part)	07/28/15	J		
104.					Sold	09/08/15	J		
105. -ISHSARES CURR HEDGED MSCI EMU					Buy	12/11/15	K		
106. -ISHARES MSCI JAPAN ETF					Buy	10/07/15	J		
107. -ISHARES MSCI USA MIN VOL ETF					Buy	12/11/15	K		
108. -LOOMIS SAYLES STRATEGIC INC Y					Sold	10/07/15	J		
109. -MATTHEWS ASIAN INDIA					Buy	04/08/15	J		
110.					Buy (add'l)	07/28/15	J		
111.					Sold	09/09/15	J		
112. -MATTHEWS ASIAN JAPAN					Buy	04/08/15	J		
113.					Buy (add'l)	07/28/15	J		
114.					Buy (add'l)	10/07/15	J		
115. -MATTHEWS CHINA DIVIDEND					Buy	04/08/15	J		
116.					Sold	07/07/15	J		
117. -METROPOLITAN WEST TOT RET BD I					Buy (add'l)	03/04/15	J		
118.					Sold (part)	07/28/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Buy (add'l)	10/07/15	J		
120. -MIRAE ASIA GREAT CONS					Buy	04/08/15	J		
121.					Sold	07/07/15	J		
122. -OAKMARK I					Sold (part)	04/08/15	J	A	
123.					Sold (part)	10/07/15	K	C	
124.					Sold	12/11/15	J		
125. -OPPENHEIMER INTL SM COM Y					Buy	07/28/15	J		
126.					Sold	09/10/15	J		
127. -PIMCO FUNDAMENTAL IDXPLS AR P					Sold	03/04/15	K		
128. -PIMCO INCOME P					Sold (part)	07/28/15	J		
129.					Buy (add'l)	10/07/15	J		
130. -PIMCO INTL STOCKPLUS AR STR P					Sold (part)	03/04/15	J	A	
131.					Buy (add'l)	04/08/15	J		
132.					Sold (part)	07/28/15	J	A	
133.					Buy (add'l)	10/07/15	J		
134. -PIMCO REAL RETURN P					Buy	07/28/15	J		
135.					Sold	10/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -PIMCO SHORT TERM P					Buy	10/07/15	K		
137. -PUTNAM EQUITY SPECTRUM Y					Buy (add'l)	03/04/15	J		
138.					Sold (part)	04/08/15	J		
139.					Sold	07/28/15	J		
140. -RS SELECT GROWTH (Y)									
141. -SPDR S&P CHINA ETF					Buy	03/04/15	J		
142.					Sold	04/08/15	J	A	
143. -SPDR S&P EMERGING ASIA PACIF ETF					Buy	03/04/15	J		
144.					Sold	04/08/15	J	A	
145. -SUNAMERICA NEW FOC DIV STRA A					Sold (part)	03/04/15	J	A	
146.					Sold (part)	04/08/15	J	A	
147.					Buy (add'l)	10/07/15	J		
148.					Sold (part)	12/11/15	K		
149. -TOUCHSTONE SANDS CAP SEL GR					Buy	10/07/15	J		
150. -T ROWE PRICE DVRSFD SM CP GR					Buy	03/04/15	K		
151.					Sold (part)	07/28/15	J	A	
152.					Sold (part)	12/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -T ROWE PRICE MID CAP GR ADV					Buy	03/04/15	J		
154.					Sold (part)	07/28/15	J	A	
155.					Buy (add'l)	10/07/15	J		
156.					Sold (part)	12/09/15	J	A	
157. -WISDOMTREE EUROPE HEDGED EQUIT					Buy (add'l)	03/04/15	J		
158.					Buy (add'l)	04/08/15	J		
159.					Buy (add'l)	07/28/15	J		
160.					Sold (part)	10/07/15	J	A	
161.					Sold	12/11/15	K		
162. -WISDOMTREE TRUST INDIA					Buy	03/04/15	J		
163.					Sold	04/08/15	J		
164. -WISDOMTREE TRUST JAPN HEDGE					Buy (add'l)	03/04/15	K		
165.					Sold (part)	04/08/15	K	B	
166.					Sold	09/10/15	K		
167. "(H) Trust #3 S S&S	D	Int./Div.	N	T					
168. -MORGAN STANLEY BANK N.A.									
169. -ALGER SPECTRA A					Sold (part)	03/03/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170.					Sold	10/07/15	K	A	
171. -BARON REAL ESTATE INST					Sold	07/28/15	J	B	
172. -COHEN & STEERS PREF SEC&INC I					Buy	10/07/15	J		
173. -COLUMBIA SELECT LG CP GROWTH Z					Buy	07/28/15	J		
174.					Buy (add'l)	10/07/15	J		
175. -DOUBLELINE SHILLER ENH CAPE					Buy	12/11/15	K		
176. -DOUBLELINE TOTAL RETURN					Sold (part)	03/03/15	J		
177.					Sold (part)	07/28/15	J		
178.					Buy (add'l)	10/07/15	K		
179. -FIRST EAGLE GLOBAL I					Sold	03/03/15	J	A	
180. -FIRST TRUST DORSEY WRIGHT FD					Buy	10/07/15	K		
181. -FIRST TRUST NORTH AMERICAN					Sold	03/03/15	K		
182. -GUGGENHEIM S7P 500 PURE VALE					Buy	10/07/15	J		
183. -HIGHLAND GLOBAL ALLOCATION I					Buy	07/28/15	J		
184.					Buy (add'l)	10/07/15	J		
185. -INVESCO SMALL CAP VALUE					Sold	09/10/15	K		
186. -ISHSARES CURR HEDGED MSCI EMU					Buy	12/11/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. -ISHARES MSCI JAPAN ETF					Buy	10/07/15	J		
188. -ISHARES MSCI USA MIN VOL ETF					Buy	12/11/15	K		
189. -LOOMIS SAYLES STRATEGIC INC Y					Sold	10/07/15	J		
190. -MATTHEWS ASIAN INDIA					Buy	04/08/15	J		
191.					Buy (add'l)	07/28/15	J		
192.					Sold	09/09/15	J		
193. -MATTHEWS ASIAN JAPAN					Buy	04/08/15	K		
194.					Buy (add'l)	07/28/15	J		
195.					Buy (add'l)	10/07/15	J		
196. -MATTHEWS CHINA DIVIDEND					Buy	04/08/15	J		
197.					Sold	07/07/15	J		
198. -METROPOLITAN WEST TOT RET BD I					Buy (add'l)	03/03/15	J		
199.					Sold (part)	07/28/15	J	A	
200.					Buy (add'l)	10/07/15	J		
201. -MIRAE ASIA GREAT CONS					Buy	04/08/15	J		
202.					Sold	07/09/15	J		
203. -OAKMARK I					Sold (part)	04/08/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.					Sold (part)	10/07/15	J	A	
205. -OPPENHEIMER INTL SM COM Y					Buy	07/28/15	J		
206.					Sold	09/10/15	J		
207. -PIMCO FUNDAMENTALIDXPLS AR					Sold	03/03/15	L		
208. -PIMCO INCOME					Sold (part)	07/28/15	J		
209.					Buy (add'l)	10/07/15	J		
210. -PIMCO INTL STOCKPLUS AR STR					Buy (add'l)	04/08/15	J		
211.					Sold (part)	07/28/15	J	A	
212.					Buy (add'l)	10/07/15	J		
213. -PIMCO REAL RETURN P					Buy	07/28/15	K		
214.					Sold	10/07/15	J		
215. -PIMCO SHORT TERM P					Buy	10/07/15	K		
216. -PUTNAM EQUITY SPECTRUM					Buy (add'l)	03/03/15	K		
217.					Sold (part)	04/08/15	K		
218.					Sold	07/28/15	J		
219. -RS SELECT GROWTH (Y)									
220. -SPDR S&P CHINA ETF					Buy	03/03/15	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221.					Sold	04/08/15	J	A	
222. -SPDR S&P EMERGING ASIA PACIF ETF					Buy	03/03/15	J		
223.					Sold	04/08/15	J	A	
224. -SUNAMERICA NEW FOC DIV STRA A					Sold (part)	03/03/15	K	A	
225.					Sold (part)	04/08/15	J	A	
226.					Buy (add'l)	10/07/15	J		
227.					Sold	12/11/15	K		
228. -TOUCHSTONE SANDS CAP SEL GR					Buy	10/07/15	K		
229.					Sold	12/08/15	K	B	
230. -T ROWE PRICE DVRSFD SM CP GR					Buy	03/03/15	K		
231.					Sold (part)	10/07/15	J		
232.					Sold (part)	12/09/15	J		
233. -T ROWE PRICE MID CAP GR ADV					Buy	03/03/15	K		
234.					Buy (add'l)	04/08/15	J		
235.					Sold (part)	10/07/15	J		
236.					Sold (part)	12/09/15	J	A	
237. -WISDOMTREE EUROPE HEDGED					Buy (add'l)	03/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238.					Buy (add'l)	04/08/15	J		
239.					Buy (add'l)	07/28/15	J		
240.					Sold (part)	10/07/15	K	A	
241.					Sold	12/11/15	K		
242. -WISDOMTREE TRUST INDIA					Buy	03/03/15	J		
243.					Sold	04/08/15	J		
244. -WISDOMTREE TRUST JAPN HEDGE EQ					Buy (add'l)	03/03/15	K		
245.					Sold (part)	04/08/15	K	C	
246.					Sold	09/10/15	K		
247. (H) IRA #1 A RO	E	Int/Div.	O	T					
248. -MORGAN STANLEY BANK N.A.									
249. -BARON REAL ESTATE					Sold (part)	04/14/15	J	A	
250.					Sold (part)	05/19/15	J	A	
251.					Sold	07/07/15	J	B	
252. -COHEN & STEERS PREF SEC&INC I					Buy	10/07/15	K		
253. -COLUMBIA SELECT LG CP GROWTH Z					Buy	07/07/15	K		
254.					Buy (add'l)	10/07/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255. -CREDIT SUISSE CUSHING 30 MLP					Sold	03/03/15	K		
256. -DOUBLELINE TOTAL RETURN I					Buy (add'l)	03/03/15	J		
257.					Buy (add'l)	04/14/15	J		
258.					Sold (part)	05/19/15	J	A	
259.					Sold (part)	07/07/15	J		
260.					Buy (add'l)	10/07/15	K		
261. -FIRST TRUST DORSEY WRIGHT FD					Buy	07/07/15	K		
262.					Buy (add'l)	07/07/15	J		
263. -GUGGENHEIM CHINA SMALL CAP ETF					Buy	05/19/15	J		
264.					Sold	07/07/15	J		
265. -GUGGENHEIM S&P 500 PURE VALE					Buy	07/07/15	K		
266.					Sold (part)	10/07/15	J		
267. -HIGHLAND GLOBAL ALLOCATION I					Buy	05/19/15	K		
268.					Buy (add'l)	07/07/15	J		
269.					Sold (part)	10/07/15	J		
270. -INVESCO SMALL CAP VALUE					Sold (part)	04/14/15	K	A	
271.					Buy (add'l)	07/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

272.					Sold	09/10/15	K		
273. -ISHARES MSCI JAPAN ETF					Buy	05/19/15	K		
274.					Sold (part)	10/07/15	J		
275. -JOHN HANCOCK SEAPORT					Buy	10/07/15	J		
276. -LOOMIS SAYLES STRATEGIC INC Y					Sold	10/07/15	J		
277. -MATTHEWS ASIAN INDIA					Buy	04/14/15	J		
278.					Buy (add'l)	05/19/15	J		
279.					Sold	09/09/15	J		
280. -MATTHEWS ASIAN JAPAN					Buy	04/14/15	K		
281.					Buy (add'l)	05/19/15	J		
282.					Sold (part)	07/07/15	J	A	
283.					Buy (add'l)	10/07/15	J		
284. -MATTHEWS CHINA DIVIDEND					Buy	04/14/15	J		
285.					Buy (add'l)	05/19/15	J		
286.					Sold	05/19/15	J		
287. -METROPOLITAN WEST TOT RET BD I					Buy (add'l)	03/03/15	J		
288.					Buy (add'l)	04/14/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289.					Sold (part)	05/19/15	J	A	
290.					Sold (part)	07/07/15	J	A	
291.					Buy (add'l)	10/07/15	J		
292. -MIRAE ASIA GREAT CONS					Buy	04/14/15	K		
293.					Buy (add'l)	05/20/15	J		
294.					Sold	07/07/15	K		
295. -NATIXIS OAKMARK INTL					Sold	02/28/15	J		
296. -OAKMARK I					Sold (part)	03/03/15	K	D	
297.					Buy (add'l)	04/14/15	J		
298.					Buy (add'l)	07/07/15	K		
299.					Sold (part)	10/07/15	K	B	
300. -OPPENHEIMER INTL SM COM Y					Buy	05/19/15	J		
301.					Sold	07/07/15	J		
302. -PIMCO FUNDAMENTAL IDXPLS AR					Sold (part)	03/03/15	K		
303.					Sold (part)	04/14/15	J		
304.					Sold	05/19/15	K		
305. -PIMCO INCOME					Buy (add'l)	10/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
306. -PIMCO INTL STOCKPLUS AR STR					Buy (add'l)	03/03/15	J		
307.					Buy (add'l)	04/14/15	J		
308.					Sold (part)	05/19/15	J	A	
309.					Sold (part)	05/19/15	J		
310. -PIMCO REAL RETURN P					Buy	05/19/15	K		
311.					Sold	10/07/15	K		
312. -PIMCO SHORT TERM P					Buy	10/07/15	K		
313. -PUTNAM EQUITY SPECTRUM					Buy (add'l)	03/03/15	K		
314.					Sold (part)	04/14/15	J		
315.					Sold	05/19/15	K		
316. -RS SELECT GROWTH					Sold	03/03/15	J	A	
317. -SPDR S&P CHINA ETF					Buy	03/03/15	J		
318.					Sold	04/14/15	J	B	
319. -SPDR S&P EMERGING ASIA PACIF ETF					Buy	03/03/15	J		
320.					Sold	04/14/15	K	B	
321. -SPDR SERIES TRUST DB INT GVT					Buy	05/19/15	J		
322.					Sold	10/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

323. -SUNAMERICA NEW FOC DIV STRA A					Sold (part)	03/03/15	J	A	
324.					Buy (add'l)	04/14/15	J		
325.					Buy (add'l)	05/19/15	K		
326.					Sold (part)	10/07/15	K	A	
327. -T ROWE PRICE DVRSFD SM CP GR					Buy (add'l)	03/03/15	K		
328.					Sold (part)	05/19/15	J	A	
329.					Sold (part)	10/07/15	J		
330. -T ROWE PRICE MID CAP GR ADV					Buy	03/03/15	K		
331.					Buy (add'l)	04/15/15	K		
332.					Sold (part)	05/19/15	K	A	
333.					Buy (add'l)	10/07/15	J		
334. -TOUCHSTONE SANDS CAP SEL GR					Sold (part)	03/03/15	J		
335.					Buy (add'l)	04/15/15	J		
336.					Sold (part)	10/07/15	K		
337. -WISDOMTREE EUROPE HEDGED EQUITY					Buy (add'l)	03/03/15	J		
338.					Buy (add'l)	04/15/15	J		
339.					Buy (add'l)	05/19/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
340.					Sold (part)	07/07/15	K	B	
341.					Buy (add'l)	10/07/15	J		
342. -WISDOMTREE TRUST JAPN HEDGE EQUITY					Buy (add'l)	03/03/15	K		
343.					Sold (part)	04/15/15	K	C	
344.					Sold	05/19/15	K	D	
345. (H) IRA #2 A Annty	A	Int./Div.	N	T					
346. -John Hancock Venture Annuity Lifestyle Moderate Fund									
347. (H) IRA #3 S	C	Int./Div.	M	T					
348. -MORGAN STANLEY BANK N.A.									
349. -BARON REAL ESTATE					Sold (part)	05/19/15	J	A	
350.					Sold	07/07/15	J	A	
351. -COHEN & STEERS PREF SEC&INC I					Buy	10/07/15	J		
352. -COLUMBIA SELECT LG CP GROWTH Z					Buy	07/07/15	J		
353. -CREDIT SUISSE CUSHING 30 MLP					Sold	03/03/15	J		
354. -CUSHING MLP PREMIER I					Sold	03/03/15	J	A	
355. -DOUBLELINE TOTAL RETURN I					Buy (add'l)	04/14/15	J		
356.					Sold (part)	05/19/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
357.					Buy (add'l)	10/07/15	J		
358. -FIRST TRUST DORSEY WRIGHT FD					Buy	07/07/15	J		
359. -GUGGENHEIM S&P 500 PURE VALE					Buy	07/07/15	J		
360.					Sold (part)	10/07/15	J		
361. -HIGHLAND GLOBAL ALLOCATION I					Buy	05/19/15	J		
362. -INVESCO SMALL CAP VALUE					Sold (part)	04/14/15	J	A	
363.					Buy (add'l)	07/07/15	J		
364.					Sold	09/10/15	J		
365. -ISHARES MSCI JAPAN ETF					Buy	05/19/15	J		
366.					Sold (part)	10/07/15	J		
367. -JOHN HANCOCK SEAPORT I					Buy	10/07/15	J		
368. -LOOMIS SAYLES STRATEGIC INC Y					Sold	10/07/15	J		
369. -MATTHEWS ASIAN INDIA					Buy	04/14/15	J		
370.					Buy (add'l)	05/19/15	J		
371.					Sold	09/09/15	J		
372. -MATTHEWS ASIAN JAPAN					Buy	04/14/15	J		
373.					Buy (add'l)	04/14/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
374.					Buy (add'l)	10/07/15	J		
375. -MATTHEWS CHINA DIVIDEND					Buy	04/14/15	J		
376.					Buy (add'l)	05/19/15	J		
377.					Sold	07/07/15	J		
378. -METROPOLITAN WEST TOT RET BD I					Buy (add'l)	04/14/15	J		
379.					Sold (part)	05/19/15	J	A	
380.					Buy (add'l)	10/07/15	J		
381. -MIRAE ASIA GREAT CONS					Buy	04/14/15	J		
382.					Buy (add'l)	05/19/15	J		
383.					Sold	07/07/15	J		
384. -NATIXIS OAKMARK INTL					Sold	03/03/15	J		
385. -OAKMARK I					Sold (part)	03/03/15	J	B	
386.					Buy (add'l)	07/07/15	J		
387.					Sold (part)	10/07/15	J	A	
388. -OPPENHEIMER INTL SM COM Y					Buy	05/19/15	J		
389.					Sold	07/07/15	J		
390. -PIMCO FUNDAMENTAL IDXPLS AR					Sold (part)	03/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
391.					Sold (part)	04/14/15	J		
392.					Sold	05/19/15	J		
393. -PIMCO INCOME					Buy (add'l)	10/07/15	J		
394. -PIMCO INTL STOCKPLUS AR STR					Buy (add'l)	03/03/15	J		
395.					Buy (add'l)	04/14/15	J		
396.					Sold (part)	05/19/15	J	A	
397. -PIMCO REAL RETURN P					Buy	05/19/15	J		
398.					Sold	10/07/15	J		
399. -PIMCO SHORT TERM P					Buy	10/07/15	J		
400. -PUTNAM EQUITY SPECTRUM					Buy (add'l)	03/03/15	J		
401.					Sold (part)	04/14/15	J		
402.					Sold	05/19/15	J		
403. -RS SELECT GROWTH (Y)									
404. -SPDR S&P CHINA ETF					Buy	03/03/15	J		
405.					Sold	04/14/15	J	A	
406. -SPDR S&P EMERGING ASIA PACIF ETF					Buy	03/03/15	J		
407.					Sold	04/14/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hurwitz, Andrew

Date of Report

05/12/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
408. -SPDR SERIES TRUST DB INT GVT					Buy	05/19/15	J		
409.					Sold	10/07/15	J		
410. -SUNAMERICA NEW FOC DIV STRA A					Sold (part)	03/03/15	J	A	
411.					Buy (add'l)	05/19/15	J		
412.					Sold (part)	10/07/15	J	A	
413. -T ROWE PRICE DVRSFD SM CP GR					Buy	04/14/15	J		
414.					Sold (part)	05/19/15	J	A	
415. -T ROWE PRICE MID CAP GR ADV					Buy	03/03/15	J		
416.					Buy (add'l)	04/14/15	J		
417.					Sold (part)	05/19/15	J	A	
418.					Buy (add'l)	10/07/15	J		
419. -TOUCHSTONE SANDS CAP SEL GR					Buy (add'l)	04/14/15	J		
420.					Sold (part)	10/07/15	J		
421. -WISDOMTREE EUROPE HEDGED EQUITY					Buy (add'l)	04/14/15	J		
422.					Buy (add'l)	05/19/15	J		
423.					Sold (part)	07/07/15	J	A	
424.					Buy (add'l)	10/07/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Hurwitz, Andrew

Date of Report

05/12/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
425. -WISDOMTREE TRUST JAPN HEDGE EQ					Buy (add'l)	03/03/15	J		
426.					Sold (part)	04/14/15	J	A	
427.					Sold	05/19/15	J	A	
428. (H) IRA #4 A Int	B	Int./Div.	K	T					
429. -MORGAN STANLEY BANK N.A.									
430. -BARON REAL ESTATE (Y)									
431. -COLUMBIA SELECT LG CP GROWTH Z					Buy	07/07/15	J		
432. -CREDIT SUISSE CUSHING 30 MLP					Sold	10/07/15	J		
433. -DOUBLELINE TOTAL RETURN I					Buy (add'l)	10/07/15	J		
434. -FIRST TRUST DORSEY WRIGHT FD					Buy	07/07/15	J		
435. -INVESCO SMALL CAP VALUE (Y)									
436. -MATTHEWS ASIAN JAPAN INV					Buy	04/08/15	J		
437. -METROPOLITAN WEST TOT RET BD I									
438. -NATIXIS OAKMARK INTL (Y)									
439. -OAKMARK I (Y)									
440. -PIMCO FUNDAMENTAL IDXPLS AR (Y)									
441. -PIMCO INCOME									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
442. -PIMCO SHORT TERM P					Buy	10/07/15	J		
443. -PUTNAM EQUITY SPECTRUM (X)									
444. -SUNAMERICA NEW FOC DIV STRA A									
445. -T ROWE PRICE DVRSFD SM CP GR					Buy	03/03/15	J		
446. -TOUCHSTONE SANDS CAP SEL GR					Sold (part)	03/03/15	J		
447. -WISDOMTREE EUROPE HEDGED EQUITY (X)					Sold (part)	07/07/15	J	A	
448. -WISDOMTREE TRUST JAPN HEDGE EQUIT					Sold (part)	04/08/15	J	A	
449.					Sold	05/19/15	J	A	
450. (H) 529 #1 [REDACTED]	A	Int./Div.	J	T					
451. -MORGAN STANLEY BANK N.A.									
452. -AMERICAN AMCAP 529A					Buy	01/08/15	J		
453. -AMERICAN MUTUAL 529A					Buy	01/08/15	J		
454. (H) 529 #2 [REDACTED]	A	Int./Div.	J	T					
455. -MORGAN STANLEY BANK N.A.									
456. -AMERICAN AMCAP 529A					Buy	01/08/15	J		
457. -AMERICAN MUTUAL 529A					Buy	01/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hurwitz, Andrew

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05/12/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #1

RS Select Growth has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year.

Trust #2

RS Select Growth has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year

Trust #3

RS Select Growth has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year.

IRA #3

RS Select Growth has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year

IRA #4

Baron Real Estate has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year

Invesco Small Cap has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year.

Natixis Oakmark Intl has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year

Oakmark has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year

PIMCO Fundamental has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year

Putnam Equity Spectrum has an (X) because the purchase was not reportable but it was owned at the end of year and the value was greater than \$1,000.

Wisdomtree Europe Hedged has an (X) because the purchases were not reportable but it was owned at the end of year and the value was greater than \$1,000.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Andrew Hurwitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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