

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Parker, Thomas M.	2. Court or Organization U.S. Dist. Court. Northern Dist. of Ohio	3. Date of Report 08/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) full time U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 04/11/2016
7. Chambers or Office Address 801 W. Superior Ave Chambers 11B Cleveland, OH 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Judge	Summit County Court of Common Pleas (1-1-15 to 3-24-16)
2. Member of Board of Trustees	Shelter Care, Inc.
3. Member of Board of Trustees	Urban Vision, Inc. (Beginning Jan. 2016)
4. Trustee	Diane C. Parker Irrevocable Gifting Trust FBO 1
5. Trustee	Diane C. Parker Irrevocable Gifting Trust FBO 2
6. Trustee	Diane C. Parker Irrevocable Gifting Trust FBO 3
7. Trustee	Diane C. Parker Irrevocable Gifting Trust FBO 4
8. Trustee	Diane C. Parker Irrevocable Gifting Trust FBO 5

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. April 2016	Ohio Public Employees Retirement System (participant in traditional service retirement plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Summit County, Ohio - Judicial salary (county portion)	\$8,404.00
2. 2014	State of Ohio - Judicial Salary (state portion)	\$97,074.00
3. 2014	Diane C. Parker Irrev. Trust Dtd. 2-7-2001 (share of estate income)	\$14,692.00
4. 2014	Diane C. Parker Irrev. Trust Dtd. 2-7-2001 (trustee fee)	\$23,194.00
5. 2015	Summit County, Ohio - Judicial salary (county portion)	\$8,897.18
6. 2015	State of Ohio - Judicial salary (state portion)	\$98,531.68
7. 2016	Summit County, Ohio - Judicial salary (county portion 1-1-16 to 3-24-16)	\$1,552.68
8. 2016	State of Ohio - Judicial salary (state portion 1-1-16 to 3-24-16)	\$17,554.47
9. 2016	Ohio Public Employees Retirement System (retirement benefit)	\$1,174.94

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Summit Christian School - salary
2. 2016	Summit Christian School - salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				

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3. _____
4. _____
5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	William D. Ford Federal Direct Student Loan Program	Student Loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Alger Spectra Fund, Cl Z (X)	C	Distribution	K	T	Exempt				
2. Amana Income Fund (X)	A	Dividend			Exempt				
3. American Century Heritage Investment Fund (X)	C	Distribution	K	T	Exempt				
4. AMG Yacktmann Fund Svc Class (X)	C	Distribution	K	T	Exempt				
5. AQR Managed Futures Strategy Fund Cl 1 (X)	B	Dividend	K	T	Exempt				
6. Arbitrage Event Driven Fund Cl 1 (X)		None			Exempt				
7. Artisan Mid Cap Value Fund INV FBOID (X)		None			Exempt				
8. Blackrock High Yield Bond Fund 1 (X)	B	Dividend	K	T	Exempt				
9. Columbia Select Large Cap Growth Fund Cl. Z (X)	D	Dividend	K	T	Exempt				
10. Delaware Value Fund Institutional Cl 1 (X)	A	Dividend	K	T	Exempt				
11. Double Line Total Return Fund Institutional (X)	B	Dividend	K	T	Exempt				
12. Dreyfus/Standish Global Fixed Income Fund Cl. 1 (X)	B	Dividend	L	T	Exempt				
13. Exxon Mobil Corp common stock (X)	A	Dividend	J	T	Exempt				
14. Gabelli Small Cap Growth fund Cl. 1 (X)	A	Distribution	K	T	Exempt				
15. John Hancock Global Absolute Return Strategies Fund Cl. 1 (X)	B	Dividend	K	T	Exempt				
16. John Hancock 2 Strategic Income Opportunity Fund Cl. 1 (X)	B	Dividend	K	T	Exempt				
17. JP Morgan Mid Cap Value Fund Cl. S (X)	B	Dividend	K	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Legg Mason Western Asset Macro Opportunities Fund Cl. 1 (X)	B	Dividend	K	T	Exempt				
19. Loomis Sayles Bond Fund Class Institutional (X)	B	Dividend	K	T	Exempt				
20. Mainstay Floating Rate 1 FBOID (X)	A	Dividend	J	T	Exempt				
21. Mainstay Marketfield Fund Cl. 1 (X)		None			Exempt				
22. Oakmark International Fund Cl. 1 (X)	C	Dividend	K	T	Exempt				
23. Pimco All Assets All Auth Fund Cl. P (X)	A	Dividend			Exempt				
24. RS Select Growth Fund Cl. Y (X)	B	Distribution	K	T	Exempt				
25. Schwab 1000 Index Fund (X)	A	Dividend	J	T	Exempt				
26. Sun America Focused Dividend Strategy Fund Cl. W (X)	B	Dividend	K	T	Exempt				
27. Templeton Global Bond Advantage Fund (X)	B	Dividend	K	T	Exempt				
28. Transparent Value Large Cap Market Fund Cl. 1 (X)		None			Exempt				
29. Virtus Emerging Markets Opportunities Fund Cl. 1 (X)	A	Dividend	K	T	Exempt				
30. UBS BANK	A	Interest	J	T	Exempt				
31. Flexshares IBOXX 3 YR TIPS IDX ETF	A	Dividend	K	T	Exempt				
32. Flexshares UPSTREAM NATURAL IDX ETF	A	Dividend	K	T	Exempt				
33. Guggenheim Timber ETF	A	Dividend	J	T	Exempt				
34. ISHARES 1-3 YR TREAS. BOND ETF	A	Dividend	J	T	Exempt				

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35. ISHARES 3-7 YR. TREAS. BOND ETF	A	Dividend	J	T	Exempt				
36. ISHARES CORE S&P MIDCAP ETF	C	Dividend	K	T	Exempt				
37. ISHARES CORE S&P SMALL CAP ETF	C	Dividend	K	T	Exempt				
38. ISHARES IBOXX HIGH YIELD BOND ETF	B	Dividend	K	T	Exempt				
39. ISHARES IBOXX INVT GRADE BOND ETF	A	Dividend	K	T	Exempt				
40. ISHARES S&P 500 GROWTH ETF IV	A	Dividend	K	T	Exempt				
41. ISHARES S&P VALUE ETF IV	B	Dividend	K	T	Exempt				
42. POWERSHARES SENIOR LOAN ETF	A	Dividend	J	T	Exempt				
43. SPDR BARCLAYS SHORT TERM CORP. ETF	A	Dividend	J	T	Exempt				
44. SPDR DOW JONES INTER REAL ESTATE ETF	A	Dividend	J	T	Exempt				
45. SPDR DOW JONES REIT ETF IV	A	Dividend	J	T	Exempt				
46. Vanguard FTSE All World Ex US ETF	B	Dividend	L	T	Exempt				
47. Vanguard Emerging Markets ETF	A	Dividend	K	T	Exempt				
48. Vanguard FTSE All World Ex US Small Cap ETF	A	Dividend	J	T	Exempt				
49. Wisdomtree Emerging Markets Local ETF	A	Dividend	J	T	Exempt				
50. Schwab Gov't Money Market Fund	A	Dividend	J	T	Exempt				
51. Blackrock College Advantage Aggr. 13-16 Age Based Fund A		None	K	T	Exempt				

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52. Blackrock College Advantage Aggr. 17+ Age Based Fund A		None	K	T	Exempt				
53. PNC Bank Savings Account	A	Interest	J	T	Exempt				
54. PNC Bank Savings Account	A	Interest	J	T	Exempt				
55. Account Receivable - Committee to Re-Elect Judge Tom Parker		None	K	T	Exempt				
56.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Trustee positions I have listed in Part I are not otherwise reflected in any other part. The trusts were established by my mother prior to her death for the benefit of five of her grandchildren. I received no income from my service as trustee and have no beneficial interest in trust assets. Thus, these trusts have no assets that are reportable in Part VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas M. Parker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544