

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BAILEY, FRANK J.	2. Court or Organization BANKRUPTCY COURT-MASSACHUSETTS	3. Date of Report 07/19/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address JOHN W. MCCORMACK POST OFFICE AND COURTHOUSE 5 POSTOFFICE SQUARE BOSTON, MA 02108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD MEMBER	IMMIGRANT LEARNING CENTER
2. TREASURER	MARCUS FOUNDATION
3. BOARD MEMBER	INSTITUTE FOR IMMIGRATION RESEARCH
4. GOVERNOR(FIRST CIRCUIT)	NATIONAL CONF. OF BANKRUPTCY JUDGES
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. JAN. 2015	NEW ENGLAND SCHOOL OF LAW-PAY FOR TEACHING	\$3,600.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	SUE CAHILL MD PC, SELF-EMPLOYED PHYSICIAN
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN BAR ASSOCIATION	FEBRUARY 6-7,2015	SAN DIEGO, CA	MID-YEAR MEETING	TRAVEL,HOTEL & MEALS
2.	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	APRIL 11-13, 2015	SAN ANTONIO, TX	MID YEAR MEETING	TRAVEL,HOTEL & MEALS
3.	S. FLA BANKER ASSOCIATION	MAY 7-8, 2015	BONITA SPRINGS, FL	EDUCATION	TRAVEL, HOTEL & MEALS
4.	AMERICAN BANKRUPTCY INSTITUTE	JULY 9-12,2015	NORTH FALMOUTH, MA	MEETING	TRAVEL,HOTEL & MEALS

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

5.	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	SEPT 26-30,2015	MIAMI, FL	ANNUAL MEETING	TRAVEL, HOTEL & MEALS
6.	AMERICAN BAR ASSOCIATION	NOVEMBER 20-21, 2015	WASHINGTON, DC	ANNUAL MEETING	TRAVEL,HOTEL & MEALS
7.	AMERICAN BANKRUPTCY INSTITUTE	DECEMBER 4-6, 2015	LA QUINTA,CA	MEETING	TRAVEL,HOTEL & MEALS
8.	AMERICAN BAR ASSOCIATION	SEPT 16-18, 2015	CHICAGO, IL	MEETING	TRAVEL,HOTEL & MEALS
9.	AMERICAN BAR ASSOCIATION	APRIL 30-MAY 2, 2015	PHILA, PA	MEETING	TRAVEL,HOTEL 7 MEALS
10.					
11.					
12.					
13.					
14.					
15.					

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK OF AMERICA	B	Interest	N	T					
2. SANTANDER BANK	B	Interest	M	T					
3. CITIBANK	A	Interest	M	T					
4. HOSPITALITY TRUST	D	Dividend	L	T					
5. SENIOR HOUSING TRUST	D	Dividend	L	T					
6. PUTNAM MM CLASS A	A	Dividend	L	T					
7. FRANKLIN GROWTH	A	Dividend	K	T					
8. MFS GLOBAL TOTAL RETURN CLASS A	A	Dividend	K	T					
9. FRANKLIN MUTUAL SERIES MUTUAL SHARES CLASS A	A	Dividend	K	T					
10. PUTNAM MM CLASS A	A	Dividend	K	T					
11. FEDERATED INTERNATIONAL SMALL MID CO CLASS A	A	Dividend	K	T					
12. MASS INVESTORS TR CLASS A	A	Dividend	K	T					
13. MFS UTILITIES CLASS A	A	Dividend	K	T					
14. PUTNAM GLOBAL HEALTH CARE CLASS A	B	Dividend	K	T					
15. TEMPLETON WORLD CLASS A	B	Dividend	L	T					
16. RMR REAL ESTATE FUND	A	Dividend	J	T					
17. UBS PACE LARGE CO GROWTH EQUITY	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 17

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18. UBS PACE INTL EMERGING CLASS P	A	Dividend	J	T					
19. UBS PACE LARGE CO VALUE CLASS P	A	Dividend	J	T					
20. UBS PACE INTL EQUITY CLASS P	A	Dividend	J	T					
21. UBS PACE SMALL MED CO GROWTH CLASS P	A	Dividend	J	T					
22. UBS PACE SMALL MED CO VALUE CLASS P	A	Dividend	J	T					
23. UBS PACE INTERNATIONAL FIXED INCOME CLASS P	A	Dividend	J	T					
24. UBS PACE MUNI FIXED CLASS P	A	Dividend	J	T					
25. UBS PACE GOVT SEC FIXED CLASS P	A	Dividend	J	T					
26. UBS PACE STRAT FIXED CLASS P	A	Dividend	J	T					
27. UBS PACE HIGH YIELD CLASS P	A	Dividend	J	T					
28. UBS PACE ALTERNATIVE STRAT CLASS P	A	Dividend	K	T					
29. FIDELITY FREEDOM 2025-SEP-IRA	B	Dividend	L	T					
30. WELLS FARGO -SENIOR PROPERTIES TRUST & HOSPITALITY PROPERTIES TRUST	A	Dividend	L	T					
31. PUTNAM MM CLASS A-IRA	A	Dividend	J	T					
32. PUTNAM DYNAMIC ASSET ALLOC GROWTH-IRA	A	Dividend	L	T					
33. PUTNAM EQUITY INCOME-IRA	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 17

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34. FRANKLIN EQUITY INCOME-IRA	A	Dividend	K	T					
35. FRANKLIN INCOME-IRA	B	Dividend	K	T					
36. FEDERATED CAPITAL RESERVES	B	Dividend	J	T					
37. INVESCO CONSTELLATION	A	Dividend			Sold	01/01/15	J	A	
38. FRANKLIN FLEX CAP GROWTH	A	Dividend	K	T					
39. FRANKLIN DYNATECH	A	Dividend	J	T					
40. FRANKLIN SMALL MID CAP GROWTH	C	Dividend	K	T					
41. GROWTH FD OF AMERICA	A	Dividend	J	T					
42. IVY BALANCED	A	Dividend	J	T					
43. MFS GROWTH	A	Dividend	K	T					
44. MASS INVESTORS GROWTH	A	Dividend	K	T					
45. VICTORY MUNDER MULTI-CAP	A	Dividend	J	T					
46. MUTUAL SERIES BEACON	A	Dividend	K	T					
47. NEW PRESPECTIVE	A	Dividend	J	T					
48. VIRTUS STAT GROWTH	A	Dividend	J	T					
49. FRANKLIN FLEX CAP GROWTH	A	Dividend	K	T					
50. FRANKLIN DYNATECH	A	Dividend	K	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

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51. FRANKLIN SMALL MID CAP GROWTH	B	Dividend	K	T					
52. MFS GROWTH	A	Dividend	K	T					
53. MASS INVESTORS GROWTH	A	Dividend	K	T					
54. VICTORY MUNDER MULTI-CAP	A	Dividend	J	T					
55. MUTUAL SERIES BEACON	A	Dividend	L	T					
56. PUTNAM INTL GROWTH	A	Dividend	J	T					
57. EUROPACIFIC-SEP	C	Dividend	M	T					
58. CAPITAL WORLD GROWTH & INCOME-SEP	C	Dividend	M	T					
59. WASHINGTON MUTUAL INVESTORS- SEP	C	Dividend	M	T					
60. INTERMED. BOND FD-SEP	B	Dividend	M	T					
61. ALLIANZ HIGH FIVE FIXED PERIOD ACCOUNT	A	Dividend	L	T					
62. ALLIANZ HIGH FIVE AZL MVP FUSION MODERATE	A	Dividend	L	T					
63. NORTHWESTERN MUTUAL LIFE- WHOLE LIFE POLICY	C	Dividend	M	T					
64. NEW HAMPSHIRE CONDO, WATERVILLE VALLEY, NH		None	K	W					
65. FIDELITY ADVISOR EQUITY GROWTH-RETIREMENT	A	Dividend	M	T					
66. ALLNZ NFJ DIV VALUE-RETIREMENT	B	Dividend	M	T					
67. FIDELITY MID CAP II A-RETIREMENT	B	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 17

Name of Person Reporting

BAILEY, FRANK J.

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07/19/2016

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68. JANUS OVERSEAS-RETIREMENT	B	Dividend	L	T					
69. FIDELITY ADVISOR STRAT INCOME A-RETIREMENT	B	Dividend			Sold	01/01/15	M	A	
70. ALLNZ NF J DIV VALUE-DEFERRED SAV	A	Dividend	K	T					
71. FIDELITY ADVISOR EQUITY GROWTH-DEFERRED SAV	A	Dividend	L	T					
72. FIDELITY ADVISOR MID CAP-DEFERRED SAV	A	Dividend	K	T					
73. JANUS OVERSEAS-DEFERRED SAV	A	Dividend	K	T					
74. FIDELITY ADVISORS STRAT INCOME A BOND-DEFERRED SAV	B	Interest			Sold	01/01/15	K	A	
75. FIDELITY MAGELLAN-IRA	A	Dividend	J	T					
76. SUNAMERICA FOCUSED DIV STRAT	A	Dividend	J	T					
77. PRIME MM FD RBC INVESTOR CLASS	A	Interest	J	T					
78. BLACKROCK BR MOD 17 AGE BASED	A	Dividend	L	T					
79. BLACKROCK BR MODE 17+ AGE BASED	A	Dividend	M	T					
80. GUARDIAN LIFE INS CO-WHOLE LIFE POLICY	D	Dividend	M	T					
81. ALLNZ NFJ SMALL CAP VALUE-DEFERRED	A	Dividend	K	T					
82. ALLNZ NFJ SMALL CAP VALUE A-RETIREMENT	A	Dividend	L	T					
83. FIDELITY EQUITY GROWTH A	A	Dividend	L	T					
84. FIDELITY FREEDOM 2020-SEP IRA	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 17

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85. PERSHING GOVT MM	A	Dividend	J	T					
86. FIDELITY CASH RESERVES	A	Dividend	K	T					
87. INT COL/ACORN INTL A-RETIREMENT	A	Dividend	K	T					
88. INTL COL/ACORN INTL A-DEFERRED	A	Dividend	J	T					
89. OPPENH DEVELOPING MARKETS- RETIREMENT	A	Dividend	K	T					
90. OPPENH DEVELOPING MARKETS- DEFERRED	A	Dividend	J	T					
91. CAPITAL ONE	A	Interest	L	T					
92. INVESCO AMERICAN FRANCHISE FD	A	Dividend	J	T					
93. OPPENH INTL GROWTH A-DEFERRED	A	Dividend	K	T					
94. OPPENH INTL GROWTH A- RETIREMENT	A	Dividend	L	T					
95. UBS PACE MORTGAGE-BACKED SEC FIXED	A	Dividend	J	T	Buy	01/01/15	J		
96. PIMCO INCOME BOND RETIREMENT FUND	A	Dividend	M	T	Buy	01/01/15	M		
97. PIMCO INCOME A DEFERRED	A	Dividend	K	T	Buy	01/01/15	K		
98. INVESCO AMERICAN FRANCHISE FUND	A	Dividend	J	T	Buy	01/01/15	J		
99. CAPE COD HOUSE-\$465,000		None	N	R	Buy	05/18/15	N		
100.									
101.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 17

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102.									
103.									
104.									
105.									
106.									
107.									
108.									
109.									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.									
154.									
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1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170.									
171.									
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177.									
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1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FOR THE FOLLOWING PART 1 POSITIONS, THERE IS NO REPORTABLE ASSET;

IMMIGRANT LEARNING CENTER

MARCUS FOUNDATION

INSTITUTE FOR IMMIGRATION RESEACH

NATIONAL CONF. OF BANKRUPTCY JUDGES

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

BAILEY, FRANK J.

Date of Report

07/19/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **FRANK J. BAILEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544