

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stevenson, Karen L.	2. Court or Organization Central District of California	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 312 N. Spring Street, Room 504 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Of Counsel (2013-2015)	Buchalter Nemer, APLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Buchalter Nemer APLC Salary	\$114,145.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Buchalter Nemer APLC	Investiture Ceremony Reception	\$3,570.25
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	AES	Student Loan (Law School)	None
2.	American Express	Credit Card	J
3.	Bank of America	Credit Card	J
4.	Navient	Student Loan (Law School)	L
5.	CitiCards VISA	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Cash Reserves	A	Int./Div.	J	T					
2. Fidelity Capital & Income	B	Int./Div.	K	T					
3. Fidelity Balanced Mut Fund	A	Int./Div.	K	T					
4. Fidelity Value	A	Int./Div.	J	T					
5. AMG Mgrs Bond Fd. Servie	B	Int./Div.	L	T					
6. Am.Century Md Cap Value	A	Int./Div.	J	T					
7. FMI Large Cap Fund	A	Int./Div.	K	T					
8. Heartland Select Value	A	Int./Div.	J	T					
9. Janus Balanced Fund	A	Int./Div.	K	T					
10. Kinetics Small Cap Opportunities	A	Int./Div.	J	T					
11. Blackrock S&P Stock	A	Int./Div.			Sold	12/21/15	K		
12. Conservative Model Fund- Allianz GI Global Water	A	Int./Div.			Sold	12/21/15	J		
13. Focused Dividend Strategy -FDSX	A	Int./Div.			Sold	12/21/15	J		
14. Goldman Sachs LG CP GR Insight GLCGX	A	Int./Div.			Sold	12/21/15	J		
15. Goldman Sachs SM Cap Value - GSSIX	A	Int./Div.			Sold	12/21/15	J		
16. Hartford Global Capital Apprec-HCTSX	A	Int./Div.			Sold	12/21/15	J		
17. MFS Int'l VALUE - MGIAX	A	Int./Div.			Sold	12/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. PIMCO Income InstL - PMIX	A	Int./Div.			Sold	12/21/15	J		
19. PIMCO REAL RETURN - PRRIX	A	Int./Div.			Sold	12/21/15	J		
20. PRUDENTIAL JENN MATURAL RES- PNRZX	A	Int./Div.			Sold	12/21/15	J		
21. Virtus Foreign Opportunities -JVXIX	A	Int./Div.			Sold	12/21/15	J		
22. Wells Fargo ADV AJD - RATE GVT - EKIZX	A	Int./Div.			Sold	12/21/15	J		
23. Wells Fargo ADV SPEC Md CP VAL WFPAX	A	Int./Div.			Sold	12/21/15	J		
24. Prudential JENN MID CAP GROWTH- PEEZX	A	Int./Div.			Sold	12/21/15	J		
25. Ready Assets Prime MOney Fund - MRAXX	A	Int./Div.			Sold	12/21/15	J		
26. Oppenheimer Developing Markets - ODMAX	A	Int./Div.			Sold	12/21/15	J		
27. MFS Government Securities	A	Int./Div.			Sold	12/21/15	J		
28. Principal Inv High Yield- CPHYX	A	Int./Div.			Sold	12/21/15	J		
29. Conservative to Moderate Model Fund - Allianz Global Water - AWTIX	A	Int./Div.			Sold	12/21/15	J		
30. Focused Divident Strategy - FDSAX	A	Int./Div.			Sold	12/21/15	J		
31. Goldman Sachs SM Cap Value - GSSIX	A	Int./Div.			Sold	12/21/15	J		
32. Goldman Sachs LG CP Gr INSight - GLCGX	A	Int./Div.			Sold	12/21/15	J		
33. Hartford Global Capital Apprec-HCTSX	A	Int./Div.			Sold	12/21/15	J		
34. INVESCO Real Estate Fund - IARIX	A	Int./Div.			Sold	12/21/15	J		

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35. MFS Int'l Value - MGLAX	A	Int./Div.			Sold	12/21/15	J		
36. PIMCO Instl - PIMIX	A	Int./Div.			Sold	12/21/15	J		
37. PIMCO Real Return -PRRIX	A	Int./Div.			Sold	12/21/15	J		
38. Prudential Jenn Natural Res - PNRZX	A	Int./Div.			Sold	12/21/15	J		
39. Virtus Foreign Opportunities - JVXIX	A	Int./Div.			Sold	12/21/15	J		
40. Wells Fargo Adv Adj Rate GVT - EKIZX	A	Int./Div.			Sold	12/21/15	J		
41. Wells Fargo Adv Spec Md Cp Val - WFPAX	A	Int./Div.			Sold	12/21/15	J		
42. Prudential Jenn Mid Cap Growth - PEEAX	A	Int./Div.			Sold	12/21/15	J		
43. Ready Assets Prime Money Fund - MRAXX	A	Int./Div.			Sold	12/21/15	J		
44. Oppenheimer Developing Markets - ODMAX	A	Int./Div.			Sold	12/21/15	J		
45. Sentinel Small Company A-SAGWX	A	Int./Div.			Sold	12/21/15	J		
46. MFS Government Securities - MFGSX	A	Int./Div.			Sold	12/21/15	J		
47. Principal Inv High Yield - CPHYX	A	Int./Div.			Sold	12/21/15	J		
48. Davis Financial A -RPFGX	A	Int./Div.			Sold	12/21/15	J		
49. Hartford Global Capital Apprec	A	Int./Div.			Sold	12/21/15	J		
50. Ivy Science & Technology Y	A	Int./Div.			Sold	12/21/15	J		
51. MFS Gov's Securities	A	Int./Div.			Sold	12/21/15	K		

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52. Moderate to Aggressive Model Fund	A	Int./Div.			Sold	12/21/15	J		
53. Oppenheimer Global Opportunity	A	Int./Div.			Sold	12/21/15	J		
54. PIMCO Total Return	A	Int./Div.			Sold	12/21/15	J		
55. Ready Assets Prime Money Fund	A	Int./Div.			Sold	12/21/15	J		
56. Sentinel Small Company A	A	Interest			Sold	12/21/15	J		
57. Target Date Model 2020 -Focused Dividend Strategy - FDSAX	A	Int./Div.			Sold	12/21/15	J		
58. Goldman Sachs LG Cp Gr Insight - GLCGX	A	Int./Div.			Sold	12/21/15	J		
59. Goldman Sachs SM Cap Value - GSSIX	A	Int./Div.			Sold	12/21/15	J		
60. MFS Int'l Value - MCGIAX	A	Int./Div.			Sold	12/21/15	J		
61. PIMCO Income Instl - PMIX	A	Int./Div.			Sold	12/21/15	J		
62. PIMCO Real Return - PRRIX	A	Int./Div.			Sold	12/21/15	J		
63. PIMCO Total Return PTTRX	A	Int./Div.			Sold	12/21/15	J		
64. Virtus Foreign Opportunities - JVXIX	A	Int./Div.			Sold	12/21/15	J		
65. Wells Fargo ADV Spec Md Cp Val - WFPAX	A	Int./Div.			Sold	12/21/15	J		
66. PRudential Jenn Mid Cap Growth - PEEAX	A	Int./Div.			Sold	12/21/15	J		
67. Ready Assets Prime Money Fund - MRAXX	A	Int./Div.			Sold	12/21/15	J		
68. Oppenheimer Developing Markets - ODMAX	A	Int./Div.			Sold	12/21/15	J		

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69. Sentinel Small Company A - SAGWX	A	Int./Div.			Sold	12/21/15	J		
70. MFS Government Securities - MFGSX	A	Int./Div.			Sold	12/21/15	J		
71. Principal Inv. High Yield - CPHYX	A	Int./Div.			Sold	12/21/15	J		
72. Capital One 360 Savings Acct	A	Int./Div.	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII - the investments that were sold were my former law firm 401K account that I rolled over into the TSP.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen L. Stevenson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544