

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) Gershon Nina		2. Court or Organization EDNY		3. Date of Report 5-1-07	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ active		5a. Report Type (check appropriate type) ___ Nomination, Date ___ ___ Initial ☒ Annual ___ Final		6. Reporting Period 2006	
7. Chambers or Office Address 225 Cadman Plaza E, Brooklyn N.Y. 11201		5b. ___ Amended Report		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY



NONE (No reportable positions.)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS



NONE (No reportable agreements.)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

INCOME

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)



NONE (No reportable non-investment income.)

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 DISCLOSURE OFFICE

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1	☒ NONE (No such reportable reimbursements.)	
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1	☒ NONE (No such reportable gifts.)		\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
1			
2	USAA (Mastercard)	credit card	K
3	Citi Premier Card (Visa)	credit card	J
4			
5			

*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

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Page 1 INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children. See pp. 34-37 of Instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	AMEL Code 1 (A-H)	Type (e.g., div., rent, or misc.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, transfer, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private trade)
NONE No reportable income, assets.									
1 IBM Common Stock	A	Div	J	T					
2 US Govt HH Bonds	B	Int	K	T					
4 Fidelity Magellan (IRA)	A	Div	K	T					
5 Fidelity Magellan (IRA)	A	Div	J	T					
6 Fidelity NY tax free M.M.	A	Div	J	T					
7 Washington Mutual (formerly Time) (IRA)	Cash Eq. A	Int	J	T					
9 Citibank Checking Acct.		None	J	T					
10 Chase Manh. Bank CD	A	Int	J	T					
11 DW/Sears Liq. Assets	A	Div	J	T					
13 Chase Manh Bank	A	Int	J	T					
15 Dreyfus Growth & Inc (IRA)	A	Div	K	T					
17 DW Dev. Growth Fund	A	Div	K	T					

Date of Report: 11/11/2011

5-1-07

II. Page 2 INVESTMENTS and TRUSTS – income, value, transactions (includes those of spouse and dependent children. See pp. 34-57 of instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	AME Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private trades)
— NONE (No reportable income, assets).									
18 DW Div. Growth Fund	A	Div	K	T					
19 DW Global Div. Growth F	A	Div	K	T					
20 Kaufmann Fund	A	Div	L	T					
21 [REDACTED] Deferred Comp		None	N	T					
22 DW Liq. Assets	A	Div			transfer	9/6	J	A	N.Y. Money (NTFI)
23 N.Y. Money Trust (NTFI)	A	Div	J	T	transfer				see line :
30 LI Power-B Bond	A	Int	J	T					
31 Vanguard 500 (IRA)	B	Div	L	T					
32 Cattaraugus Inpt SE Bond	B	Int	K	T					
34 Albany Co GO-B Bond	A	Int	J	T					

1. Income/Gain Code: (See Col. B1, D4)	A-\$1,000 or less F-\$100,001-\$100,000	B-\$1,001-\$2,500 G-\$100,001-\$1,000,000	C-\$2,501-\$5,000 H-\$1,000,001-\$3,000,000	D-\$5,001-\$15,000 J2-More than \$5,000,000	E-\$15,001-\$50,000
2. Value Code: (See Col. C1, D3)	J-\$13,000 or less N-\$250,001-\$500,000 P3-\$25,000,001-\$50,000,000	K-\$13,001-\$50,000 O-\$500,001-\$1,000,000	L-\$50,001-\$100,000 P1-\$1,000,001-\$3,000,000 P4-More than \$30,000,000	M-\$100,001-\$250,000 P2-\$3,000,001-\$25,000,000	
3. Value Method Code: (See Col. C2)	Q-Appraisal	R-Cost (real estate only)			

ANNUAL DISCLOSURE REPORT

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Nina Gershon

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Page 3 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. J4-57 of Instructions.)

A Description of Asset (including trust assets) Place "(2)" after each asset except from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am. Code 1 (A-H)	Type (e.g., div., rent or mt.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets.)									
35 Fed. Int. Govt Fund ^(IRA)	A	Int	K	T					
36 Dreyfus U.S. Int. Fund	A	Int	J	T					
37 Vanguard GNMA Fund	A	int	K	T					
38 Dreyfus Mun. Bond Fund	A	Int	K	T					
39 Vanguard GNMA (IRA)	B	Div	K	T					
41 NYS Thruway Auth	A	Int	E J	T					
42 NYC Trans. Fin.	A	Int	K	T					
43 Chautauqua Cty. N.Y. Bond	B	Int	K	T					
44 NYS Dorm Auth	A	Int	J	T					
45 NYS Dorm Auth	A	Int	J	T					
46 NYC Mun Water Auth	A	Int	J	T					
47 Haverstraw Pub Imp	A	Int	J	T					
48 NYS Hsg Fin Agency	A	Int	K	T					
49 Albany Cty NY Airport Auth	B	Int	K	T					
50 NYS Local Govt Asst	B	Int	K	T					
51 NYS Env Facs Corp	B	Int	K	T					

Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
Value Codes: (See Col. C1, D3)	J=\$13,000 or less N=\$250,001-\$500,000 P=\$25,000,001-\$50,000,000	K=\$13,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
Value Method Codes: (See Col. C2)	Q=Appraisal R=Cost (real estate only)	S=Assessment			

5. of Reason

5-1-07

VII. Page 4 INVESTMENTS and TRUSTS – income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)*

[illegible][illegible]

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

May 1, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544