

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thompson, Anne E.	2. Court or Organization U.S. District Court	3. Date of Report 01/02/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 402 E. State Street Room 4000 Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Criminal Law Drafting Committee	National Conference of Bar Examiners
2. Co-Trustee	Trust #1 - U/W Wm. Thompson Art. Fifth
3. Co-Trustee	Trust #2 - U/W Wm. Thompson Art. Sixth
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	National Conference of Bar Examiners -- Fees earned for drafting, editing & revising bar exam and evaluating performance of test questions.	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bar Examiners	June 21-23, 2013	Martha's Vineyard, MA	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
2. National Conference of Bar Examiners	October 4-6, 2013	New York, NY	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Nat'l Bank Checking Account	A	Interest	K	T					
2. Dukes County Bank Account		None	J	T					
3. Glenmede Tax-Exempt Fund		None	J	T					
4. Exelon Corporation		None			Sold	01/03/13	J	A	
5. Intel Corp	A	Dividend	J	T					
6. HJ Heinz Co	A	Dividend			Sold	04/18/13	J	B	
7. Freeport McMoran Copper Gold Inc.	A	Dividend	J	T					
8. Coach Inc.	A	Dividend	J	T					
9. Baxter Intl Inc		None	J	T	Buy	11/13/13	J		
10. TRUST #1 -- U/W Wm. Thompson Art. Fifth	D	Dividend	O	T					
11. -- Fidelity Diversified Intl Fund									
12. -- Fidelity Money Market Cash Reserves									
13. -- Fidelity Advisor New Insights Fund									
14. -- Fidelity Real Estate Investment Fund									
15. -- Fidelity Blue Chip Growth Fund									
16. -- Fidelity NJ Municipal Income Fund									
17. -- Fidelity Short-Inter Mediate Muni Income Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- AllianceBern Muni Inc Fund II NJ									
19. -- Baron Growth Fund									
20. -- DWS Strategic High Yield Tax Free CL S									
21. -- Diamond Hill Small Cap Fund CL A									
22. -- Franklin NJ Tax Free Income Fund A									
23. -- Harbor Capital Appreciation Fund					Sold (part)	10/03/13	J	C	
24. -- Hartford Capital Appreciation Fund CL A					Sold (part)	02/26/13	J	C	
25. -- Hartford Capital Appreciation Fund CL A					Sold (part)	10/03/13	J	A	
26. -- Hotchkiss & Wiley Mid Cap Value Fund CL A									
27. -- Morgan Stanley Mid Cap Growth Port CL P									
28. -- Mutual Series Mutual Shares CL A									
29. -- Nuveen NJ Muni Bond Fund CL A									
30. -- T Rowe Price Mid Cap Value Fund Adv Class									
31. -- T Rowe Price Value Fund Adv Class									
32. -- Royce PA Mutual Fund									
33. -- S&P 500 Depository Receipt					Buy (add'l)	02/28/13	J		
34. -- CRM Mid Cap Value Investor Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -- Pimco Commodity Real Ret Strat Admin					Sold	05/06/13	J	A	
36. -- Invesco Int'l Growth Fund									
37. -- Thornburg Int'l Value Fund									
38. -- Vitrus Foreign Opportunities Fund									
39. -- Templeton Global Bond Fund					Buy (add'l)	02/26/13	J		
40. -- Templeton Global Bond Fund					Sold (part)	07/09/13	J	A	
41. -- Templeton Global Bond Fund					Sold (part)	10/04/13	J	A	
42. -- MFS International Diversification Fund									
43. -- T Rowe Tax Free Inc Fund					Buy (add'l)	07/09/13	J		
44. -- EV Parametric Structured Emerging Markets									
45. -- Aston/River Road Dividend All Cap					Sold (part)	10/04/13	J	B	
46. -- Causeway International Value					Buy (add'l)	07/09/13	J		
47. -- FMI Large Cap Fund									
48. -- Lazard Emerging Markets					Buy (add'l)	05/06/13	J		
49. -- Lazard Emerging Markets					Sold (part)	07/09/13	J	A	
50. -- Lazard Emerging Markets					Sold (part)	10/04/13	J	A	
51. -- MFS Research Int'l									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -- Primecap Odyssey Growth Fund									
53. -- iShares TR Russell 1000 Value Index					Buy (add'l)	07/11/13	J		
54. -- iShares TR Russell 100 Growth Index									
55. -- Fidelity Municipal Income					Sold (part)	07/09/13	J	A	
56. -- Fidelity Municipal Income					Buy (add'l)	10/04/13	J		
57. -- Fidelity Municipal Income					Buy (add'l)	12/06/13	J		
58. -- Fidelity Municipal Income					Buy (add'l)	12/20/13	J		
59. -- Goldman Sachs Commodity Strat					Sold (part)	05/06/13	J	A	
60. -- Goldman Sachs Commodity Strat					Sold	07/01/13	J	A	
61. -- Artisan Small Cap Fund									
62. -- Wells Fargo Short-Term Muni Inc					Buy (add'l)	02/26/13	J		
63. -- Wells Fargo Short-Term Muni Inc					Sold (part)	05/06/13	J	A	
64. -- iShares TR Russell 1000 Index Fund									
65. -- Vanguard Growth Vipers									
66. -- Vanguard Value Vipers									
67. -- T Rowe Emerging Mkts					Buy	05/06/13	J		
68. -- T Rowe Emerging Mkts					Sold	07/09/13	J	A	

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69. -- Aberdeen Emerging Markets					Buy	07/09/13	J		
70. -- Oppenheimer International Bond					Buy	07/09/13	J		
71. -- Financial Select Sector					Buy	10/08/13	J		
72. TRUST #2 -- U/W Wm. Thompson Art. Sixth	D	Dividend	O	T					
73. -- Fidelity Diversified Int'l Fund									
74. -- Fidelity Money Market Cash Reserves									
75. -- Fidelity Advisor Mid Cap Fund II CL I					Sold (part)	06/21/13	J	A	
76. -- Fidelity Advisor Int'l Discovery Fund CL I									
77. -- Fidelity Real Estate Investment Fund									
78. -- Fidelity Blue Chip Growth Fund									
79. -- Allianz NFJ Dividend Value Fund									
80. -- Baron Growth Fund									
81. -- DWS Strategic High Yield Tax Free CL S					Sold (part)	02/26/13	J	B	
82. -- Diamond Hill Small Cap Fund CL A									
83. -- Franklin NJ Tax Free Income Fund A					Sold (part)	06/24/13	K	A	
84. -- Franklin NJ Tax Free Income Fund A					Buy (add'l)	08/27/13	K		
85. -- Harbor Capital Appreciation Fund									

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86. -- Hartford Capital Appreciation Fund CL A					Sold (part)	02/26/13	J	C	
87. -- iShares TR Russell 100 Growth Index Fund									
88. -- Mutual Series Mutual Shares CL A									
89. -- Nuveen NJ Muni Bond Fund CL A					Buy (add'l)	06/24/13	L		
90. -- Nuveen NJ Muni Bond Fund CL A					Sold (part)	08/27/13	L	A	
91. -- RS Value Fund									
92. -- T Rowe Price Mid Cap Value Fund Adv Class									
93. -- T Rowe Price Value Fund Adv Class									
94. -- Royce PA Mutual Fund									
95. -- S&P 500 Depository Receipt					Buy (add'l)	05/07/13	J		
96. -- CRM Mid Cap Value Investor Fund									
97. -- Invesco Int'l Growth Fund									
98. -- Artisan Int'l Value Fund									
99. -- American EuroPacific Growth Fund									
100. -- SSGA Emerging Markets					Sold	06/24/13	J	A	
101. -- Thornburg Int'l Value Fund									
102. -- AllianceBern Muni Inc II NJ Fund									

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103. -- Fidelity NJ Muni Income					Buy (add'l)	05/03/13	J		
104. -- Fidelity NJ Muni Income					Sold (part)	06/24/13	J	A	
105. -- Fidelity NJ Muni Income					Buy (add'l)	08/27/13	K		
106. -- MFS International Diversification Fund									
107. -- Pimco Commodity Real Return Strat Admin					Buy (add'l)	02/26/13	J		
108. -- Pimco Commodity Real Return Strat					Sold	05/03/13	K	A	
109. -- Fidelity Advisor New Insights									
110. -- EV Parametric Structure Emerging Markets									
111. -- T Rowe Price Tax Free Income					Buy (add'l)	05/03/13	J		
112. -- T Rowe Price Tax Free Income					Sold (part)	06/24/13	J	A	
113. -- T Rowe Price Tax Free Income					Buy (add'l)	08/27/13	K		
114. -- Dodge & Cox Stock Fund									
115. -- iShares TR 1000 Index									
116. -- iShares TR 1000 Value Index									
117. -- Fidelity Municipal Income					Buy (add'l)	02/26/13	J		
118. -- Fidelity Municipal Income					Sold (part)	06/21/13	K	A	
119. -- Fidelity Municipal Income					Sold	08/26/13	J	A	

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120. -- Oakmark International									
121. -- IShares Msci EAFE Index									
122. -- IShares TR Russell Midcap Value Index					Sold (part)	06/26/13	J	A	
123. -- Fidelity High Income					Sold	02/26/13	J	A	
124. -- Templeton Global Bond					Buy (add'l)	02/26/13	J		
125. -- Templeton Global Bond					Sold (part)	06/21/13	J	A	
126. -- Templeton Global Bond					Sold	08/27/13	J	A	
127. -- Templeton Global Bond					Buy	11/07/13	J		
128. -- Fidelity-Short-Inter Muni					Sold (part)	06/24/13	J	A	
129. -- Fidelity-Short-Inter Muni					Sold	08/27/13	K	A	
130. -- T Rowe Price International Stock					Buy (add'l)	06/24/13	J		
131. -- Wells Fargo Short-Term Muni Inc					Buy (add'l)	02/25/13	J		
132. -- Wells Fargo Short-Term Muni Inc					Buy (add'l)	08/26/13	J		
133. -- T Rowe Emerging Mkts					Buy	05/03/13	J		
134. -- T Rowe Emerging Mkts					Sold	06/24/13	J	A	
135. -- Aberdeen Emerging Markets					Buy	06/24/13	J		
136. -- Fidelity Emerging Markets					Buy	06/24/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Thompson, Anne E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -- Fidelity Tax Free Bond					Buy	06/24/13	K		
138. -- Fidelity Tax Free Bond					Sold	08/27/13	K	A	
139. -- Oppenheimer International Bond					Buy	06/24/13	J		
140. -- Oppenheimer International Bond					Buy (add'l)	08/27/13	J		
141. -- Blackrock National Muni					Buy	12/20/13	J		
142. GLENMEDE IRA	E	Distribution	O	T					
143. -- Glenmede Core Fixed Income Port					Buy (add'l)	12/02/13	L		
144. -- Exxon Mobil Corp									
145. -- Johnson & Johnson									
146. -- Glenmede Govt Cash Fund									
147. -- Devon Energy									
148. -- Qualcomm Corp					Sold (part)	07/25/13	J	B	
149. -- AT&T Inc									
150. -- Google Inc					Sold	07/25/13	K	D	
151. -- Exelon Corp									
152. -- Paychex Inc					Sold	06/18/13	J	C	
153. -- Intel Corp									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -- Templeton Global Bond Fund									
155. -- Legg Mason Brandywine Global Fund									
156. -- Schlumberger Ltd					Sold	03/06/13	J	C	
157. -- Matthews Asian & Growth Income Fund									
158. -- HJ Heinz Co					Sold	04/23/13	J	D	
159. -- Kellogg Co									
160. -- E I DuPont de Nemours & Co									
161. -- PNC Financial Services Group					Sold	10/07/13	J	B	
162. -- Conocophillips									
163. -- Mosaic Co					Sold	01/07/13	J	A	
164. -- Mosaic Co					Buy	08/07/13	J		
165. -- Microchip Technology Inc									
166. -- Glenmede Fund Inc Advisor Small Cap					Sold (part)	08/08/13	J	A	
167. -- Dupont Capital Emerging Markets									
168. -- 3M Co					Sold	01/07/13	J	B	
169. -- Cognizant Tech Solutions					Sold (part)	09/18/13	J	B	
170. -- Cognizant Tech Solutions					Sold	10/11/13	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -- Avago Technologies Ltd					Sold	10/04/13	J	C	
172. -- Emerson Electric Co					Sold	10/16/13	J	C	
173. -- Baker Hughes Inc					Sold	08/08/13	J	A	
174. -- American Express Co.									
175. -- Matthews Pacific Tiger Fund									
176. -- Van Eck Global Hard Assets									
177. -- Freeport McMoran Copper Gold Inc									
178. -- Tupperware Corp					Sold (part)	06/12/13	J	B	
179. -- Novartis AG ADR					Sold	06/10/13	J	C	
180. -- Coach Inc									
181. -- Graco Inc					Sold (part)	06/14/13	J	C	
182. -- Nike Inc					Sold (part)	09/17/13	J	B	
183. -- Nike Inc					Sold	10/18/13	J	C	
184. -- Apple Inc					Buy (add'l)	01/09/13	J		
185. -- Apache Corp									
186. -- Coca Cola Co									
187. -- Dollar Tree Inc					Buy	02/01/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -- Dollar Tree Inc					Sold	10/08/13	J	C	
189. -- Merck & Co Inc					Buy	02/04/13	J		
190. -- General Electric					Buy	02/22/13	J		
191. -- Abbvie Inc Co					Buy	03/11/13	J		
192. -- Wells Fargo Co					Buy	03/12/13	J		
193. -- Astrazeneca PLC					Buy	03/18/13	J		
194. -- Seyworks Solutions					Buy	04/22/13	J		
195. --National Oilwell Varco Inc					Buy	05/01/13	J		
196. -- Ensco PLC					Buy	06/25/13	J		
197. -- Celgene Corp					Buy	06/25/13	J		
198. -- Allergan Inc					Buy	06/26/13	J		
199. -- Ebay Inc					Buy	10/22/13	J		
200. -- Franklin Resources Inc					Buy	11/01/13	J		
201. -- Cisco Systems					Buy	11/06/13	J		
202. -- Baxter Intl Inc					Buy	11/13/13	J		
203. -- Blackrock Strategic Income					Buy	12/02/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This report is being amended to add one more asset that was not included on the original report. On Part VII, Line 54, the asset "Ishares TR Russell 100 Growth Index" has been added.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anne E. Thompson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544