

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Halfenger, Gerald M.	2. Court or Organization U.S. Bankruptcy Court, E.D. Wisconsin	3. Date of Report 01/04/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse & Federal Building Room 140 517 East Wisconsin Avenue Milwaukee, WI 53217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Foley & Lardner LLP
2. Second Vice President & First Vice President	Seventh Circuit Bar Association
3. Director	Milwaukee Lawyers' Chapter of the Federalist Society
4. Director	Milwaukee Youth Symphony Orchestra
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/10/2013	Parties: G. Michael Halfenger & Foley & Lardner LLP. Terms: Halfenger's withdrawal from partnership effective 1/10/2013 (see Part VIII)
2. 2000	Foley & Lardner Defined Contribution Plan ("RPC Fund"), no control
3. 1/2010	Foley & Lardner Cash Balance Plan, no control

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Foley & Lardner LLP partner distribution for FYE 1/31/2013	\$341,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	10/31/13 - 11/2/13	Atlanta, GA	continuing legal education, Conference business meeting	lodging, food
2. State Bar of Wisconsin, Bankruptcy, Insolvency, and Creditors' Rights Section	2/27/2013 - 3/1/13	Kohler, WI	continuing legal education sessions, annual section dinner	lodging, food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Foley & Lardner LLP	investiture reception	\$3,765.27
2.	State Bar of Wisconsin, Bankruptcy, Insolvency, and Creditors' Rights Section	investiture reception	\$1,500.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Target Retirement 2020 Inv		None			Sold	05/14/13	L		
2.					Sold	05/15/13	J		
3. Fidelity Contrafund		None			Sold	05/14/13	L		
4.					Sold	05/15/13	J		
5. Vanguard Institutional Index I		None			Sold	05/14/13	L		
6.					Sold	05/15/13	J		
7. Alger Small Cap Growth I-2		None			Sold	05/14/13	K		
8.					Sold	05/15/13	J		
9. Dreyfus/theBoston Co Sm Cap Val I		None			Sold	05/14/13	K		
10.					Sold	05/15/13	J		
11. Dodge & Cox International Stock		None			Sold	05/14/13	L		
12.					Sold	05/15/13	J		
13. DFA International Small Cap Value I		None			Sold	05/14/13	K		
14.					Sold	05/15/13	J		
15. PIMCO High Yield Instl		None			Sold	05/14/13	K		
16.					Sold	05/15/13	J		
17. RPC Fund (Foley & Lardner Defined Contribution Plan)		None			Sold	05/14/13	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Foley & Lardner Cash Balance Pension Plan		None			Sold	03/20/13	L		
19. Foley & Larnder Capital Account	B	Interest			Closed	01/31/13	L		
20. Allianz NFJ Large Cap Value	A	Dividend	K	T					
21. Blackrock Equity Dividend	A	Dividend	K	T					
22. Delaware Select Growth		None	J	T					
23. Dodge & Cox Intl Stock	A	Dividend	K	T					
24. DWS Managed Municipal Bonds	A	Dividend	K	T					
25. Eaton Vance Tax Managed Value	A	Dividend	J	T					
26. Franklin Fed Int-Term T/F Inc		None	K	T					
27. Fundamental Investors	A	Dividend	K	T					
28. Harbor Capital Appreciation	A	Dividend	K	T					
29. Hotchkis & Wiley Mid Cap Val	A	Dividend	K	T					
30. Invesco International Growth	A	Dividend	K	T					
31. Invesco Comstock Fd Cl Y	A	Dividend	K	T					
32. Manning & Napier World Opp	A	Dividend	K	T					
33. MFS Municipal Lim Maturity	A	Dividend	J	T					
34. Mutual Global Discovery Cl Z	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Neuberger Berman Mid Cap Gr	A	Dividend	J	T					
36. Pioneer Oak Ridge Sm Cap Gr	A	Dividend	J	T					
37. T Rowe Price Tax-Ex Mon Mkt	A	Int./Div.	J	T					
38. American Fund Tax Exempt Bond	A	Int./Div.	K	T					
39. Columbia Acorn International	A	Dividend	J	T					
40. Eaton Vance Atlanta Cap SMID 1	A	Dividend	J	T					
41. Guggenheim Mid Cap Value Fund 1	A	Dividend	J	T					
42. MFS Growth Fund C1 1	A	Dividend	K	T					
43. American Balanced Fd C1 A-529	A	Dividend	K	T					
44. Capital Income Builder Fd A-529	A	Dividend	K	T					
45. New Perspective Fund C1 A-529	A	Dividend	K	T					
46. Washington Mutual Investment C1 A-529	A	Dividend	K	T					
47. Northern Trust Co		None			Sold	01/11/13	J		
48. Europacific Growth Fund C1 A	A	Dividend			Sold	03/28/13	J		
49. Fundamental Investors Fund A	A	Dividend			Sold	03/28/13	K		
50. Goldman Sachs Mid Cap Value C1 A	A	Dividend			Sold	03/28/13	K		
51. Washington Mutual Invs Fund C1 A	A	Dividend			Sold	03/28/13	K		

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52. JP Morgan Fed Money	A	Dividend	J	T	Buy	04/01/13	J		
53.					Buy (add'l)	05/31/13	K		
54. Alger Small/mid cap growth	A	Dividend	K	T	Buy	04/01/13	J		
55.					Buy (add'l)	05/31/13	K		
56. Munder Mid Cap Core Growth	A	Dividend	L	T	Buy	04/01/13	J		
57.					Buy (add'l)	05/31/13	K		
58. Ranier mid cap equity	A	Dividend	K	T	Buy	04/01/13	J		
59.					Buy (add'l)	05/31/13	K		
60. columbia small cap value ii	A	Dividend	K	T	Buy	04/01/13	J		
61.					Buy (add'l)	05/31/13	K		
62. Delaware US growth	A	Dividend	L	T	Buy	04/01/13	J		
63.					Buy (add'l)	05/31/13	K		
64. Harbor Capital Appreciation	A	Dividend	L	T	Buy	04/01/13	J		
65.					Buy (add'l)	05/31/13	K		
66. Hotchkis & Wiley Mid Cap Val	A	Dividend	L	T	Buy	04/01/13	J		
67.					Buy (add'l)	05/31/13	K		
68. T Rowe Price Instl Lrge Cp Gr	A	Dividend	K	T	Buy	04/01/13	J		

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69.					Buy (add'l)	05/31/13	K		
70. Dodge & Cox Stock	A	Dividend	L	T	Buy	04/01/13	J		
71.					Buy (add'l)	05/31/13	K		
72. Fundamental Investors	A	Dividend	L	T	Buy	04/01/13	J		
73.					Buy (add'l)	05/31/13	L		
74. Invesco Comstock	A	Dividend	K	T	Buy	04/01/13	J		
75.					Buy (add'l)	05/31/13	K		
76. MFS Value	A	Dividend	L	T	Buy	04/01/13	J		
77.					Buy (add'l)	05/31/13	L		
78. Artisan Intl Value	A	Dividend	L	T	Buy	04/01/13	J		
79.					Buy (add'l)	05/31/13	L		
80. Euro Pacific Growth Fund C1 F1	A	Dividend	L	T	Buy	04/01/13	J		
81.					Buy (add'l)	05/31/13	K		
82. Mutual Global Discovery C1 Z	A	Dividend	L	T	Buy	04/01/13	J		
83.					Buy (add'l)	05/31/13	L		
84. Oppenheimer Intl Growth	A	Dividend	L	T	Buy	04/01/13	J		
85.					Buy (add'l)	05/31/13	L		

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86. T. Rowe Price Intl Discovery	A	Dividend	K	T	Buy	04/01/13	J		
87.					Buy (add'l)	05/31/13	K		
88. Dodge & Cox Income	A	Dividend	L	T	Buy	04/01/13	J		
89.					Buy (add'l)	05/31/13	L		
90. JP Morgan Core Bond	A	Dividend	L	T	Buy	04/01/13	J		
91.					Buy (add'l)	05/31/13	L		
92. Loomis Sayles Inv Grade Bd	A	Dividend	K	T	Buy	04/01/13	J		
93.					Buy (add'l)	05/31/13	K		
94. SmallCap World Fund C1 A	A	Dividend	J	T	Buy (add'l)	05/30/13	J		
95. Invesco Growth & Income Fund A	A	Dividend	K	T					
96. Nike	A	Dividend	J	T					
97. EdVest Wells Fargo Moderate Portfolio	A	Dividend	J	T					
98. Walt Disney	A	Dividend	J	T					
99. Putnam Multi-Cap Growth Fund-A		None	J	T					
100. Northwestern Mutual Whole Life Ins.		None	L	T					
101. Oppenheimer Champion Income Fund A	A	Dividend	J	T					
102. Columbia Marisco Growth					Sold	10/17/12	K	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I.

A. Foley & Lardner LLP: withdrew on January 10, 2013

B. Milwaukee Lawyers' Chapter of Federalist Society: resigned as director January 7, 2013

C. Milwaukee Youth Symphony Orchestra: resigned as director January 7, 2013.

Part II. Foley & Lardner LLP Withdrawal Agreement. Terms: 1. firm's consent to withdrawal on Jan. 10, 2013. 2. Setting of total participation in earnings for fiscal year ending Jan. 31, 2013. 3. Provide for transition of work to other firm attorneys. 4. Agreement to consult with firm as necessary regarding work and events that took place pre-withdrawal. 5. Termination of insurance and benefits programs, except vested rights in pension benefit plans; discontinuation of sharing in earnings after withdrawal date; payment of capital account. 6. Confidentiality of firm proprietary matters. 7. Release of Halfenger by firm. 8. Release of firm by Halfenger. 9. Acknowledgments and right to revoke.

Part V. Foley & Lardner LLP and the State Bar of Wisconsin's Bankruptcy, Insolvency, and Creditors' Rights section jointly sponsored a reception after my public investiture.

Part VII: "RPC Fund" is the Foley & Lardner Defined Contribution Plan (no control). Foley & Lardner capital account was cashed out on 1/30/2013. NML Whole Life insurance value is here listed based on the reported net cash value at line 100 (it is estimated to have been worth over \$50,000 on 12/31/13 based on a 9/13/13 annual report statement listing the value as \$48,439.72 and a 6/10/14 online value report of \$52,788.49); the initial report misreported the value by including the insurance pay-out component.

Part VII (amendment): all shares of Columbia Marisco Growth (listed on the initial report) were sold on October 17, 2012, outside the 2013 (first annual) report's reporting period. Shares worth approximately \$19,445 were owned in 2012, but sold on that date for a gain of \$1,189.60.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gerald M. Halfenger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544