

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Callaway, Henry A.	2. Court or Organization U.S. Bankruptcy Court for the Southern District of Alabama	3. Date of Report 02/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Bankruptcy Court 201 St. Louis St. Mobile, AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2017	Hand Arendall LLC 401(k)--participant in former employer's 401(k)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	McElhaney State Farm Agency, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Ala. State Bar Bankruptcy Section	June 1-4, 2017	Destin, FL	seminar	travel, lodging and food
2. Northern District of Florida Bankruptcy Section	October 19-20, 2017	Tallahassee, FL	seminar	travel, lodging and food
3. Mobile Bar Association	December 1-3, 2017	Point Clear, AL	seminar	lodging and food
4. University of Alabama	November 16-17, 2017	Birmingham, AL	seminar	travel, lodging and food
5. Alabama Turnaround Management Association	August 18, 2017	Birmingham, AL	seminar	travel and food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ServisFirst Bank accounts	A	Interest	J	T					
2. 401(k)--State Farm Associates Balanced Fund	A	Dividend	J	T					
3. IRA--Vanguard Wellington Fund	A	Dividend	K	T					
4. Hand Arendall LLC Retirement Plan and Trust									
5. -Vanguard Target Retirement 2025	B	Distribution	M	T	Sold (part)	01/09/17	J		
6.					Sold (part)	02/09/17	J		
7.					Sold (part)	03/09/17	J		
8.					Sold (part)	04/07/17	J		
9.					Sold (part)	05/09/17	J		
10.					Sold (part)	06/09/17	J		
11.					Buy (add'l)	07/07/17	L		
12.					Sold (part)	07/10/17	J		
13.					Sold (part)	08/09/17	J		
14.					Sold (part)	09/12/17	J		
15.					Buy (add'l)	10/02/17	M		
16.					Sold (part)	10/06/17	J		
17.					Buy (add'l)	10/31/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	11/09/17	K		
19.					Sold (part)	12/11/17	K		
20.					Buy (add'l)	12/13/17	K		
21. -Vanguard Dividend Growth Investor CL	D	Dividend	N	T	Sold (part)	09/26/17	J		
22.					Sold (part)	10/24/17	K		
23.					Sold (part)	12/06/17	J		
24. -Vanguard Short Term Investment Grade Admiral CL	B	Dividend	M	T	Buy (add'l)	05/15/17	M		
25.					Sold (part)	09/26/17	J		
26.					Sold (part)	12/06/17	K		
27. -Vanguard Healthcare Admiral CL	B	Dividend	M	T	Sold (part)	09/26/17	J		
28.					Buy (add'l)	12/08/17	J		
29. -Vanguard High Yield Corp Admiral CL	D	Dividend	M	T	Sold (part)	09/26/17	J		
30. -Vanguard Intl Growth Admiral CL	B	Dividend	M	T	Sold (part)	09/26/17	J		
31.					Sold (part)	10/24/17	J		
32.					Sold (part)	12/06/17	J		
33. -Primecap Odyssey Aggressive Growth		None	N	T	Sold (part)	09/26/17	J		
34.					Sold (part)	10/24/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	12/06/17	J		
36. -Vanguard S&P MidCap 400 Index ETF	B	Dividend	M	T	Sold (part)	05/11/17	M		
37.					Sold (part)	09/27/17	J		
38.					Sold (part)	10/25/17	J		
39.					Sold (part)	12/07/17	J		
40. -Vanguard S&P MidCap 400 Value ETF	B	Dividend	M	T	Sold (part)	05/11/17	M		
41.					Sold (part)	09/27/17	J		
42.					Buy (add'l)	10/27/17	J		
43.					Sold (part)	12/07/17	J		
44. -Vanguard Federal Money Market	A	Dividend	J	T	Buy (add'l)	05/11/17	M		
45.					Sold (part)	05/15/17	M		
46.					Buy (add'l)	09/26/17	K		
47.					Buy (add'l)	09/27/17	K		
48.					Sold (part)	10/03/17	L		
49.					Buy (add'l)	10/24/17	K		
50.					Buy (add'l)	10/25/17	J		
51.					Sold (part)	11/01/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	12/06/17	K		
53.					Buy (add'l)	12/07/17	J		
54.					Sold (part)	12/14/17	K		
55. -Vanguard Emerging Markets Index Admiral CL	A	Dividend	L	T	Buy	05/15/17	L		
56.					Buy (add'l)	09/26/17	J		
57.					Sold (part)	10/24/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry A. Callaway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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