

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Weinstein, Jack B.	2. Court or Organization USDC-Eastern District of NY	3. Date of Report 08/25/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, N.Y. 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	TIAA Retirement Plans
2. 1998	New York State Retirement Plans
3. 2000	New York State Savings Plan Schooling (2)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Royalties, Foundation Press	\$1,316.00
2. 2014	Teachers Ins & Annuity Pensions	\$14,922.00
3. 2014	NY State Retirement System Pension	\$9,128.00
4. 2014	Judicial Retiree & Survivors	\$343,571.00
5. 2014	Wells Fargo Advisors - IRA	\$145,665.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo bank deposit sweep	A	Interest			Sold	11/13/14	J	A	
2. Atlas Pipeline Partners	A	Dividend			Sold	05/05/14	J	B	
3. Buckeye partners lp	B	Dividend			Sold	05/05/14	K	D	
4. Caterpillar Fin 2/17/14	A	Interest			Sold	02/18/14	J	A	
5. Sunoco inc - 10/15/14	A	Interest			Sold	10/15/14	J	A	
6. JP Morgan Chase & Co - 10/01/15	B	Interest	K	T					
7. Key Bank NA - 03/03/16	A	Interest	K	T					
8. Goldman Sachs Group Inc - 01/15/17	B	Interest			Sold	05/05/14	K	A	
9. JP Morgan Chase & Co - 06/27/17	A	Interest	K	T					
10. General Electric Cap Corp-9/15/17	B	Interest	K	T					
11. Walt Disney Company - 12/15/17	A	Interest	J	T					
12. Target corp 01/15/18	B	Interest			Sold	05/12/14	J	B	
13. Verizon communications - 02/15/18	B	Interest			Sold	05/12/14	J	B	
14. Archer Daniels - 03/15/18	A	Interest	J	T					
15. Metlife Inc - 08/15/18	A	Interest	J	T					
16. Vanderbilt University 04/01/19	B	Interest			Sold	05/09/14	J	B	
17. Buckeye partners lp-due 08/15/19	B	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Safeway Inc due 08/15/19	B	Interest			Sold	08/05/14	K	A	
19. Time Warner Cable Inc due 02/01/20- previously 08/15/19	A	Interest	J	T					
20. Alcoa inc due 08/15/20	B	Interest			Sold	08/05/14	J	A	
21. Chesapeake Energy Corp due 08/15/20	A	Interest	J	T					
22. Entergy corp due 09/15/20	A	Interest	J	T					
23. Hewlett-Packard co due 12/09/21	A	Interest	K	T	Sold (part)	07/23/14	K	B	
24. Raymond James financial senior note due 04/01/24	A	Interest	K	T					
25. Goldman Sachs Bank 01/14/19	B	Interest			Sold	08/05/14	J	A	
26. American Express Bank 03/18/19	A	Interest	J	T					
27. Hometrust bank clyde nc 08/26/21	A	Interest	K	T					
28. Anglogold holdings PLC due 04/15/20	B	Interest	K	T					
29. Lloyd TSB bank due 01/21/21	B	Interest			Sold	05/09/14	K	B	
30. Virtus opportunities TR premium	A	Interest	K	T	Buy	01/10/14	K		
31. Virtus opportunities TR premium	A	Interest			Sold (part)	06/26/14	L	C	
32. Virtus opportunities TR-Virtus Dynamic	A	Interest	K	T	Buy	01/01/14	K		
33. Invesco Balanced Risk fund	A	Interest			Sold	05/09/14	K	A	
34. First Eagles Sogen Global FD	B	Interest			Sold	11/11/14	L	B	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. ING Mutual FDS Global BD - Name change to Voya Mutual	A	Interest	K	T					
36. Janus Invt FD Flexible FD	A	Interest	K	T					
37. Mainstay FDS TR Marketfield FD	A	Interest			Sold	11/11/14	L	D	
38. Virtus Opportunities TR-Virtus Dynamic	A	Interest			Sold	11/11/14	L	C	
39. Pimco All Asset Authority Fund	A	Interest			Sold	01/10/14	K	B	
40. Steelpath MLP FDS TR	C	Interest	L	T					
41. AT&T Inc	A	Dividend	K	T	Buy	05/05/14	K		
42. Conocophillips	A	Dividend	K	T	Buy	11/06/14	K		
43. Dow Chemical Company	A	Dividend	K	T	Buy	11/13/14	K		
44. EnSCO PLC Class A	B	Dividend	J	T	Buy	11/06/14	J		
45. Ford Motor Company	A	Dividend	K	T	Buy	11/12/14	K		
46. General Electric Company	A	Dividend	K	T	Buy	11/12/14	K		
47. Glaxosmithkline PLC-ADR	B	Dividend	K	T	Buy	11/06/14	K		
48. International Business Machine Corp	A	Dividend	K	T	Buy	11/13/14	K		
49. Kellogg Company	A	Dividend	K	T	Buy	11/13/14	K		
50. Sanofi ADR	A	Dividend	K	T	Buy	11/12/14	K		
51. Target corp	A	Dividend	K	T	Buy	11/12/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Verizon Communication COM	A	Dividend	K	T	Buy	05/05/14	K		
53. Blackrock FDS II	B	Interest	K	T	Buy	07/23/14	K		
54. Federated Income SECS	B	Interest	K	T	Buy	05/09/14	K		
55. Franklin Income FD	B	Interest	L	T	Buy	11/17/14	L		
56. Goldman Sachs TR	A	Interest	K	T	Buy	11/12/14	K		
57. Good Harbor Tactical Equity Income	A	Interest	K	T	Buy	06/26/14	K		
58. Hartford Mut FDS Inc	A	Interest	K	T	Buy	05/09/14	K		
59. JPMorgan Income Builder	B	Interest	K	T	Buy	11/13/14	K		
60. Mainstay FDS TR	B	Interest	K	T	Buy	11/06/14	K		
61. Pimco Income	B	Interest	L	T	Buy	11/06/14	L		
62. Pimco Eqs Long/short	A	Interest			Buy	01/10/14	L		
63. Pimco Eqs Long/short	A	Interest			Sold	11/06/14	L	D	
64. Pioneer SER TR	A	Interest	J	T	Buy	05/12/14	J		
65. Principal Global DIV Income Fd	A	Interest	K	T	Buy	11/12/14	K		
66. Putnam Diversified Income	B	Interest	L	T	Buy	11/06/14	L		
67. Putnam FDS TR Capital Spectrum FD	A	Interest			Buy	06/26/14	L		
68. Putnam FDS TR Capital Spectrum FD	A	Interest			Sold	11/06/14	L	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. Templeton Global Total Return	A	Interest	K	T	Buy	11/13/14	K		
70. Express Scripts HLDG Co	A	Interest			Buy	05/12/14	K		
71. Express Scripts HLDG Co	A	Interest			Sold	08/01/14	K	A	
72. JCPenney Co Inc	B	Interest			Buy	05/05/14	K		
73. JCPenney Co Inc	B	Interest			Sold	08/01/14	K	B	
74. Ishares core S&P Midcap ETF	A	Interest			Buy (add'l)	11/03/14	M		
75. Ishares core S&P Midcap ETF	A	Interest			Sold	11/07/14	M	A	
76. Ishares core S&P Midcap ETF S&P Index 500	A	Interest			Buy	10/01/14	M		
77. Ishares core S&P Midcap ETF S&P Index 500	A	Interest			Sold	11/07/14	M	A	
78. Proshare Ultra Midcap 400	A	Interest			Buy	11/03/14	K		
79. Proshare Ultra Midcap 400	A	Interest			Sold	11/07/14	K	A	
80. Proshare Ultra S&P ET	A	Interest			Buy	10/01/14	L		
81. Proshare Ultra S&P ET	A	Interest			Sold	10/16/14	L	A	
82. Ishares ETF 1-3 YR Treasury Bond	A	Interest			Buy	10/16/14	M		
83. Ishares ETF 1-3 YR Treasury Bond	A	Interest			Sold	11/07/14	M	A	
84. Ishares ETF 3-7 YR Treasury Bond	A	Interest			Buy	08/01/14	L		
85. Ishares ETF 3-7 YR Treasury Bond	A	Interest			Sold	09/02/14	L	A	

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. Proshares Trust ETF Ultra 7-10 Yr Treasury	A	Interest			Buy	10/16/14	L		
87. Proshares Trust ETF Ultra 7-10 Yr Treasury	A	Interest			Sold	11/07/14	L	A	
88. Ishares ETF Russell 2000	A	Interest			Buy	05/02/14	M		
89. Ishares ETF Russell 2000	A	Interest			Sold	10/01/14	M	A	
90. Ultra Russell 2000 Proshares ETF 2x	A	Interest			Buy	06/17/14	K		
91. Ultra Russell 2000 Proshares ETF 2x	A	Interest			Sold	07/16/14	K	A	
92. Ishares ETF 7-10 YR Treasury Bond	A	Interest			Buy	08/18/14	K		
93. Ishares ETF 7-10 YR Treasury Bond	A	Interest			Sold	09/16/14	K	A	
94. Anadarko Petroleum Corp.	A	Dividend			Sold	02/06/14	J	A	
95. Cabot Oil & Gas	A	Dividend			Sold	04/29/14	J	A	
96. Celgene Corp	A	Dividend			Sold	06/27/14	J	A	
97. Citigroup Inc	A	Dividend	J	T	Sold (part)	06/27/14	J	A	
98. Delta Air Lines Inc New	A	Dividend	J	T	Sold (part)	06/27/14	J	A	
99. Delta Air Lines Inc New	A	Dividend	J	T	Buy	07/08/14	J		
100. Deveon Energy Corp	A	Dividend			Sold	02/21/14	J	A	
101. Eli Lilly & Co	A	Dividend			Sold	01/28/14	J	A	
102. EOG Resources Inc	A	Dividend			Sold	03/1/14	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103. Gamestop Corp Class A	A	Dividend			Sold	01/15/14	J	A	
104. Grainger WW Inc	A	Dividend			Sold	06/27/14	J	A	
105. Green Mountain Coffee Roaster inc.	A	Dividend			Sold	01/23/14	J	B	
106. Home Depot Inc	A	Dividend			Sold	05/29/14	J	A	
107. Pepsico Inc	A	Dividend	J	T	Sold (part)	06/27/14	J	A	
108. Phillip Morris Intl Inc.	A	Dividend			Sold	02/10/14	J	A	
109. SLM Corp	A	Dividend			Sold	05/20/14	J	B	
110. SM Energy Co Com	A	Dividend			Sold	02/20/14	J	A	
111. Starbucks Corp	A	Dividend			Sold	04/29/14	J	A	
112. TE Connectivity LTD	A	Dividend			Sold	06/27/14	J	A	
113. Union Pacific Corp	A	Dividend	J	T	Sold (part)	03/19/14	J	A	
114. Visa Inc Class A	A	Dividend			Sold	01/15/14	J	A	
115. Wells Fargo bank deposit sweep	A	Dividend	K	T	Buy	01/01/14	K		
116. Abbott Laboratories	A	Dividend			Buy	01/23/14	J		
117. Abbott Laboratories	A	Dividend			Sold	04/21/14	J	A	
118. Abbvie Inc	A	Dividend			Buy	08/05/14	J		
119. Abbvie Inc	A	Dividend			Sold	09/18/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Weinstein, Jack B.

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08/25/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Activision Blizzard Inc	A	Dividend			Buy	01/08/14	J		
121. Activision Blizzard Inc	A	Dividend			Sold	06/27/14	J	A	
122. Attira Group Inc	A	Dividend			Buy	01/08/14	J		
123. Attira Group Inc	A	Dividend			Sold	04/30/14	J	A	
124. American Express Company	A	Dividend	J	T	Buy	09/16/14	J		
125. Archer-Daniels-Midland Co	A	Dividend	J	T	Buy	10/20/14	J		
126. Bank of America Corp	A	Dividend	J	T	Buy	03/04/14	J		
127. Bank of America Corp	A	Dividend			Sold (part)	06/27/14	J	A	
128. Best Buy Co Inc	A	Dividend			Buy	01/03/14	J		
129. Best Buy Co Inc	A	Dividend			Sold	01/17/14	J	B	
130. Biogen Idec Inc	A	Dividend	J	T	Buy	11/25/14	J		
131. Canadian Solar Inc	A	Dividend			Buy	03/21/14	J		
132. Canadian Solar Inc	A	Dividend			Sold	04/08/14	J	A	
133. Capital One Financial Corp	A	Dividend	J	T	Buy	05/01/14	J		
134. Coca Cola Enterprises	A	Dividend	J	T	Buy	06/18/14	J		
135. Coca Cola Enterprises	A	Dividend			Sold (part)	06/27/14	J	A	
136. Delphi Automotive PLC	A	Dividend			Buy	09/24/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Weinstein, Jack B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Delphi Automotive PLC	A	Dividend			Sold	10/28/14	J	A	
138. Ecolab Inc	A	Dividend	J	T	Buy	12/04/14	J		
139. Encana Corp	A	Dividend			Buy	05/21/14	J		
140. Encana Corp	A	Dividend			Sold	06/27/14	J	A	
141. Exxon Mobil Corp	A	Dividend			Buy	08/26/14	J		
142. Exxon Mobil Corp	A	Dividend			Sold	10/27/14	J	A	
143. First Solar Inc	A	Dividend			Buy	01/09/14	J		
144. First Solar Inc	A	Dividend			Sold	03/07/14	J	A	
145. Forest Labs Inc	A	Dividend			Buy	01/29/14	J		
146. Forest Labs Inc	A	Dividend			Sold	02/18/14	J	A	
147. GAP Inc	A	Dividend	J	T	Buy	10/23/14	J		
148. Gilead Sciences Inc	A	Dividend	J	T	Buy	03/17/14	J		
149. Gilead Sciences Inc	A	Dividend			Sold (part)	06/27/14	J	A	
150. Google Inc CL A Voting	A	Dividend	J	T	Buy	08/27/14	J		
151. Harley Davidson Inc	A	Dividend			Buy	06/17/14	J		
152. Harley Davidson Inc	A	Dividend			Sold	06/27/14	J	A	
153. Hewlett Packard Company	A	Dividend	J	T	Buy	09/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Weinstein, Jack B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. International Paper Co	A	Dividend			Buy	04/22/14	J		
155. International Paper Co	A	Dividend			Sold	06/27/14	J	A	
156. Johnson & Johnson	A	Dividend	J	T	Buy	07/29/14	J		
157. JPMorgan Chase & Co	A	Dividend			Buy	01/18/14	J		
158. JPMorgan Chase & Co	A	Dividend			Sold	02/19/14	J	A	
159. Juniper Network Inc	A	Dividend			Buy	07/09/14	J		
160. Juniper Network Inc	A	Dividend			Sold	10/14/14	J	A	
161. Lockheed Martin Corp	A	Dividend			Buy	01/28/14	J		
162. Lockheed Martin Corp	A	Dividend			Sold	06/27/14	J	A	
163. Lyondellbasell	A	Dividend			Buy	09/19/14	J		
164. Lyondellbasell	A	Dividend			Sold	10/14/14	J	A	
165. Macy's Inc	A	Dividend			Buy	11/04/14	J		
166. Macy's Inc	A	Dividend			Sold	11/19/14	J	A	
167. Marathon Oil Corp	A	Dividend			Buy	07/15/14	J		
168. Marathon Oil Corp	A	Dividend			Sold	10/22/14	J	A	
169. Micron Technology Inc	A	Dividend	J	T	Buy	01/14/14	J		
170. Micron Technology Inc	A	Dividend			Sold (part)	05/29/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Weinstein, Jack B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Microsoft Corp	A	Dividend	J	T	Buy	12/12/14	J		
172. Monster Beverage Corp	A	Dividend			Buy	07/24/14	J		
173. Monster Beverage Corp	A	Dividend			Sold	08/19/14	J	A	
174. Netapp Inc	A	Dividend			Buy	03/19/14	J		
175. Netapp Inc	A	Dividend			Sold	06/05/14	J	A	
176. Newell Rubbermaid Inc	A	Dividend			Buy	04/07/14	J		
177. Newell Rubbermaid Inc	A	Dividend			Sold	06/27/14	J	A	
178. Nielsen NV	A	Dividend	J	T	Buy	11/19/14	J		
179. Norfolk Southern Corp	A	Dividend	J	T	Buy	12/05/14	J		
180. Ocwen Finl Corp	A	Dividend			Buy	01/29/14	J		
181. Ocwen Finl Corp	A	Dividend			Sold	02/12/14	J	A	
182. Pfizer Inc	A	Dividend	J	T	Buy	06/25/14	J		
183. Pfizer Inc	A	Dividend			Sold (part)	06/27/14	J	A	
184. PPG Industries Inc	A	Dividend			Buy	01/21/14	J		
185. PPG Industries Inc	A	Dividend			Sold	04/21/14	J	A	
186. Prudential Financial Inc	A	Dividend	J	T	Buy	04/22/14	J		
187. Prudential Financial Inc	A	Dividend			Sold (part)	06/27/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Weinstein, Jack B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Qualcomm Inc	A	Dividend	J	T	Buy	07/31/14	J		
189. Questcore Pharmaceuticals	A	Dividend			Buy	03/20/14	J		
190. Questcore Pharmaceuticals	A	Dividend			Sold	04/07/14	J	A	
191. Ralph Lauren Corp	A	Dividend			Buy	01/10/14	J		
192. Ralph Lauren Corp	A	Dividend			Sold	02/13/14	J	A	
193. Raytheon Company	A	Dividend	J	T	Buy	04/29/14	J		
194. Raytheon Company	A	Dividend			Sold (part)	06/27/14	J	A	
195. Schlumberger LTD	A	Dividend			Buy	03/17/14	J		
196. Schlumberger LTD	A	Dividend			Sold	06/27/14	J	A	
197. Texas Instruments Inc	A	Dividend	J	T	Buy	04/29/14	J		
198. Texas Instruments Inc	A	Dividend			Sold (part)	06/27/14	J	A	
199. Thermo Fisher Scientific Inc	A	Dividend	J	T	Buy	04/07/14	J		
200. Thermo Fisher Scientific Inc	A	Dividend			Sold (part)	06/27/14	J	A	
201. Tyco International PLC	A	Dividend	J	T	Buy	12/15/14	J		
202. Valero Energy Corp New (Valero rfug & mktg)	A	Dividend	J	T	Buy	07/01/14	J		
203. Verify Corp	A	Dividend			Buy	04/22/14	J		
204. Verify Corp	A	Dividend			Sold	07/17/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Viacom Inc CL B	A	Dividend			Buy	01/15/14	J		
206. Viacom Inc CL B	A	Dividend			Sold	06/27/14	J	A	
207. Wynn Resorts	A	Dividend			Buy	04/08/14	J		
208. Wynn Resorts	A	Dividend			Sold	06/27/14	J	A	
209. Xilinx Inc	A	Dividend			Buy	05/12/14	J		
210. Xilinx Inc	A	Dividend			Sold	06/27/14	J	A	
211. Safeway Inc	A	Dividend	K	T	Buy	05/15/14	K		
212. Alcoa Inc	B	Interest	K	T	Buy	05/05/14	K		
213. New York ny city mun wtr fin auth 6/15/22	A	Interest			Sold	12/15/14	J	A	
214. New York st dorm auth rev aid long 11/1/15	A	Interest	J	T					
215. Tarrytown ny union free sch dist 1/15/17	A	Interest			Sold	01/10/14	J	A	
216. New York st dorm auth rochester ser a 7/1/23	A	Interest			Sold	06/13/14	J	A	
217. New York st environmental facs 12/15/20	A	Interest	J	T					
218. New York ny city mun wtr fin auth 6/15/22	A	Interest	J	T					
219. New York ny city genl oblig ser a 08/01/21	A	Interest			Sold	04/08/14	K	A	
220. Rockland co ny various purposes 8/15/21	A	Interest	J	T					
221. Buffalo ny fiscal stability auth 9/1/21	A	Interest	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Brookhaven NY open space preservation 11/1/21	A	Interest	J	T					
223. Suffolk co ny pub impt serial ser-a 5/15/22	A	Interest	J	T					
224. Florida st Brd Ed Pub 6/1/22	A	Interest			Sold	01/10/14	J	A	
225. New York city muni wfr fin auth 6/15/22	A	Interest			Sold	04/08/14	J	A	
226. Long Island power auth ny 12/1/22	A	Interest	K	T					
227. New York st dorm au memorial sloan 7/1/24	A	Interest	J	T					
228. New York ny city gentl oblig ser b 8/1/24	A	Interest			Sold	08/01/14	K	A	
229. New York gentl oblig 10/1/25	A	Interest	J	T					
230. Metropolitan transn auth ny ser a 11/15/25	A	Interest	J	T					
231. Metropolitan transn auth ny ser oid 11/15/25	A	Interest			Sold	02/28/14	J		
232. New York st twy auth gen rev ser g 1/1/26	B	Interest			Sold	06/13/14	K	A	
233. New York st twy auth st pers income 3/125/26	A	Interest	K	T					
234. New York st twy auth st pers income 3/15/26	A	Interest	K	T					
235. New York city muni wfr fin auth - 6/15/14	A	Interest	J	T					
236. New York st dorm auth revs - 7/1/28	A	Interest	J	T					
237. NY NY city transitional fin auth bldg due 1/15/31	B	Interest	K	T					
238. New York st dorm auth pers income 12/15/26	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. NY Dorm auth rev due 2/15/27	A	Interest	K	T					
240. Port Auth NY & NJ due 10/15/31	A	Interest	K	T					
241. NYS Dorm auth due 11/1/31	B	Interest	K	T					
242. Metropolitan transn auth NY due 11/15/32	A	Interest			Sold	06/13/14	J	A	
243. NY St enviro fcs corp due 6/15/33	A	Interest	K	T					
244. NY Dorm auth revs due 7/1/33	A	Interest	J	T					
245. Triborough brdg & tunl auth 11/15/33	B	Interest	K	T					
246. Metropolitan Transn auth ny rev due 11/15/34	A	Interest	K	T					
247. NYS Dorm auth st pers inc tax due 12/15/35	A	Interest	J	T					
248. New York St twy Auth due 1/1/37	B	Interest	K	T	Buy	08/26/14	K		
249. NY City Transitional Fin due 7/15/37	B	Interest	K	T	Buy	08/28/14	K		
250. Metropolitan Transit Auth due 11/15/38	B	Interest	K	T	Buy	08/26/14	K		
251. Goldman Sachs BK USA due 1/14/19	B	Interest	K	T	Buy	08/05/14	K		
252. Ultra Russell 2000 Proskauer ETF 2x	A	Interest			Buy	07/02/14	J		
253. Ultra Russell 2000 Proskauer ETF 2x	A	Interest			Sold	10/01/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jack B. Weinstein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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