

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Co., Western La.	3. Date of Report 08/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 300 Pannin St., Suite 5101 Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Lecturer	Centenary College of Louisiana
2.	Board of Directors Member	Children and Arthritis, Inc. (501(c) (3) organization)
3.	Advisory Board Member	Global Power Air Museum of Barksdale Air Force Base (501(c)(3) organization)
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Centenary College of Louisiana - Teaching	\$2,500
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Paralegal services - self-employed
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Supreme Court Committee on Bar Admissions, State of Louisiana	01/13/2014-01/14/2014	New Orleans, LA	Activity of professional association or civic organization	Transportation, meals, lodging
2. Federal Judicial Court/ Administrative Office of the United States Courts	05/06/2014-05/09/2014	San Antonio, TX	Circuit judicial conference	Transportation, meals, lodging
3. Federal Court	07/10/2014-07/11/2014	Lafayette, LA	Court governance or administrative/managerial meetings	Transportation, meals, lodging
4. Federal Judicial Court/ Administrative Office of the United States Courts	10/19/2014-10/22/2014	Austin, TX	FJC educational seminar or program	Transportation, meals, lodging

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5.	Federal Court	11/14/2014-11/14/2014	Monroe, LA	Court governance or administrative/managerial meetings	Transportation, meals, lodging
6.	Federal Court	12/11/2014-12/12/2014	New Orleans, LA	Court governance or administrative/managerial meetings	Transportation, meals, lodging
7.					
8.					
9.					
10.					
11.					
12.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Midland Mortgage	Mortgage - Rental Property #1, Ruston, LA	L
2.	Capital One Bank	Consumer Line of Credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Capital One Bank Accounts	A	Interest	M	T					
2. State Farm Life Insurance Co. Account		None	K	T					
3. Northwestern Mutual Life Insurance Co. Account	B	Int./Div.	J	T					
4. Timber Land, Lincoln Parish, Louisiana - 1/1/96, \$30,000	A	Rent	K	R					
5. Rental Property #1, Ruston, LA - 07/03/01, \$82,297	A	Rent	L	R					
6. IRA # 1 Raymond James Bank Deposit Program	A	Interest	K	T					
7. Barksdale Federal Credit Union		None	J	T					
8. Red River Syndicate LP	A	Int./Div.	K	U					
9. Janney, Montgomery Scott Brokerage Account - Cash	A	Interest	J	T					
10. IRA #1 Globalnet		None	J	T					
11. Giant Studios		None	J	T					
12. IRA #2 Raymond James Bank Deposit Program	A	Interest	L	T					
13. Raymond James Bank Deposit Program-T	A	Int./Div.	J	T					
14. Scottrade Cash Acct.	A	Interest	K	T					
15. Canadian Oil Sands- Scottrade	A	Dividend			Sold	10/14/14	J	A	
16. IRA #2 Encana	A	Dividend	J	T					
17. IRA #2 National Fuel Gas	A	Dividend			Sold	09/19/14	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. IRA #2 Kinder Morgan Partners	A	Distribution			Sold	09/19/14	K	D	
19. Rental Property #2, New Orleans, LA 1/1/09 \$55,000	A	Rent			Sold	05/19/14	K	D	
20. Breitburn Energy Partner-Janney	B	Distribution			Sold	03/05/14	J	A	
21. Cenovus Energy- Scottrade	A	Dividend			Sold	10/14/14	J	A	
22. Geron Corp- Scottrade		None			Sold	10/14/14	J	A	
23. IRA #2 Dendreon Corp		None			Sold	09/19/14	J	A	
24. IRA #2 MolyCorp Inc		None			Sold	09/19/14	J	A	
25. IRA #2 Sharps Compliance Corp		None			Sold	09/19/14	J	A	
26. IRA #2- Genesis Energy LP	A	Distribution	K	T	Buy (add'l)	12/09/14	J		
27. IRA #1- GNMA Series 2009-87	A	Interest	J	T					
28. IRA #3 Raymond James Bank Deposit Program	A	Interest	J	T					
29. JP Morgan -Cash Acct.		None	J	T					
30. Raymond James Bank Deposit Program-S		None	J	T					
31. IRA #2-Evolution Petroleum	A	Dividend			Sold (part)	09/19/14	J	B	
32.					Sold	09/22/14	J	B	
33. IRA #2-Interdigital Inc.	A	Dividend	J	T					
34. IRA #2-Guggenheim Canadian	A	Dividend			Sold	09/19/14	J	A	

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35. IRA #2-Ishares Comex Gold		None	J	T					
36. Apple Inc. - Janney	A	Dividend			Sold	03/05/14	J	A	
37. Linn Energy LLC - Janney	B	Distribution	J	T					
38. Chemtrade Logis - Scottrade	A	Dividend			Sold	10/14/14	J	A	
39. IRA #1-GNMA Series 2010-162	A	Interest	J	T					
40. Ani Pharmaceuticals Inc. - Janney		None			Sold	11/13/14	J	A	
41. Access Midstream Partners LP - Janney	A	Distribution			Sold	06/11/14	J	D	
42. Raymond James S- Capital Southwest Corporation	A	Dividend	J	T					
43. IRA #2-Apple Incorporated	A	Dividend	K	T	Buy (add'l)	09/22/14	J		
44. IRA #2-Chevron Corporation	A	Dividend	J	T					
45. IRA #2-Conocophillips	A	Dividend	J	T					
46. IRA #2-Proto Labs Incorporated		None	J	T	Sold (part)	09/19/14	J	A	
47. IRA #2-Regeneron Pharmaceuticals		None	J	T					
48. IRA #2-3-D Systems Corporation		None	J	T	Sold (part)	09/19/14	J	A	
49. IRA #2-Vimetx Hldg Corp		None			Sold	09/16/14	J	A	
50. IRA #2-Stratasys Limited Shs		None	J	T	Sold (part)	09/19/14	J	A	
51. IRA #2-Cole Real Estate Invts	A	Dividend	J	T					

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52. IRA #2-Cyrosone Incorporated	A	Dividend	J	T					
53. IRA #2-Energy Transfer Partners	A	Distribution	J	T					
54. IRA #2-Martin Midstream Partners LP	B	Distribution	J	T					
55. IRA #2-W P Carey Inc.	B	Dividend	K	T					
56. IRA #2-World PT Terms LP	A	Distribution			Sold	11/25/14	J	A	
57. IRA #2-Villere Balanced Fund Investor Class	A	Dividend	J	T					
58. IRA #2 - Fed Ex	A	Dividend	J	T	Buy	09/22/14	J		
59. IRA #2- Grifols	A	Dividend	J	T	Buy	03/31/14	J		
60. IRA #2- Hydrogenics		None	J	T	Buy	08/14/14	J		
61.					Sold (part)	11/25/14	J	A	
62. IRA #2- Energy Transfer Equity	A	Distribution	J	T	Buy	03/31/14	J		
63. IRA #2 - American Rlty Cap (X)	A	Dividend			Sold (part)	02/12/14	J	A	
64.					Buy (add'l)	02/21/14	J		
65.					Sold	10/30/14	J	A	
66. IRA #2 - Asterias		None			Buy	09/05/14	J		
67.					Sold	09/19/14	J	A	
68. Pacira Pharmaceuticals- Raymond James T		None	J	T	Buy	09/05/14	J		

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69. Gilead Sciences - Janney		None	J	T	Buy	03/05/14	J		
70. Enlink Midstream - Janney	A	Distribution	J	T	Buy	06/11/14	J		
71. Asterias Biotherapeutics - Scottrade	A	Dividend			Buy	07/22/14	J		
72.					Sold	10/14/14	J	A	
73. IberiaBank		None	K	T	Open	10/21/14	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ S. Maurice Hicks, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544