

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gold, Steven M.	2. Court or Organization U.S. District Court EDNY	3. Date of Report 05/01/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court 225 Cadman Plaza East Chambers 1217S Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	P/time Adjunct Prof. of Law	Brooklyn Law School
2.	Board Member	
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Salary/Adj Prof of Law/Brooklyn Law School	\$23,612.00
2. 2015	Lecturer, Brooklyn Law School	\$1,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Salary/Executive Director, [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHASE CHECKING (J)	A	Interest	J	T					
2. CHASE PLUS SAVING (J)	A	Interest	K	T					
3. CHASE DYNAMIC YIELD STRAT. (J)									
4. - JPM Tr I MLT SC Inc Fund/JPM Unconstrained Debt Fund (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
5.					Buy (add'l)	05/28/15	J		
6.					Sold (part)	12/15/15	J		
7.					Buy (add'l)	12/30/15	J		
8. -Harbor High Yield Bond (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
9.					Buy (add'l)	05/28/15	J		
10.					Sold (part)	12/15/15	J		
11. - Harbor Convertible Sec (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
12.					Buy (add'l)	05/28/15	J		
13.					Sold (part)	12/15/15	J		
14. -Clearbridge Equity (J)	A	Int./Div.			Buy (add'l)	01/30/15	J		
15.					Buy (add'l)	05/28/15	J		
16.					Sold (part)	07/14/15	J		
17.					Sold	08/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -SIT Dividend Growth (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
19.					Buy (add'l)	05/28/15	J		
20.					Buy (add'l)	08/12/15	J		
21.					Sold (part)	12/15/15	J		
22.					Buy (add'l)	12/30/15	J		
23. -Tortoise MLP and Pipeling (J)	A	Int./Div.			Buy	01/30/15	J		
24.					Sold (part)	04/08/15	J		
25.					Buy (add'l)	05/28/15	J		
26.					Sold (part)	07/14/15	J		
27.					Sold (part)	11/23/15	J		
28.					Sold	11/24/15	J		
29. -Ridgeworth SEIX Floating (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
30.					Buy (add'l)	05/28/15	J		
31.					Sold (part)	12/15/15	J		
32.					Buy (add'l)	12/30/15	J		
33. -Matthews Asia Dividend (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
34.					Buy (add'l)	05/28/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.					Buy (add'l)	07/10/15	J		
36.					Sold (part)	12/15/15	J		
37.					Buy (add'l)	12/30/15	K		
38. -Eaton Vance Floating Rate (J)	A	Int./Div.			Buy (add'l)	01/30/15	J		
39.					Buy (add'l)	05/28/15	J		
40.					Sold (part)	08/05/15	J		
41.					Sold (part)	08/14/15	J		
42.					Sold	08/20/15	J		
43. - Blackrock High Yield Bond (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
44.					Buy (add'l)	05/28/15	J		
45.					Sold (part)	12/15/15	J		
46. -Cohen & Steers Pref's Sec & Inc (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
47.					Buy (add'l)	02/12/15	J		
48.					Buy (add'l)	05/28/15	J		
49.					Sold (part)	12/15/15	J		
50.					Buy (add'l)	12/30/15	J		
51. -HSBC Funds Total Return (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	05/28/15	J		
53.					Sold (part)	11/04/15	J		
54.					Sold (part)	12/15/15	J		
55. - Doubleline Total Return (J)	A	Int./Div.	K	T	Buy (add'l)	01/30/15	J		
56.					Sold (part)	03/30/15	J		
57.					Buy (add'l)	05/28/15	J		
58.					Sold (part)	06/09/15	J		
59.					Buy (add'l)	08/24/15	J		
60.					Sold (part)	12/15/15	J		
61.					Buy (add'l)	12/30/15	J		
62. - TRP Inst Floating Rate (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
63.					Buy (add'l)	05/28/15	J		
64.					Buy (add'l)	08/17/15	J		
65.					Sold (part)	12/15/15	J		
66.					Buy (add'l)	12/30/15	J		
67. -JPM Intrepid Eur Fund (J)	A	Int./Div.			Buy (add'l)	01/30/15	J		
68.					Sold (part)	05/05/15	J		

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69.					Sold	05/07/15	J		
70. -Metropolitan West Funds (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
71.					Buy (add'l)	05/28/15	J		
72.					Sold (part)	12/15/15	J		
73.					Buy (add'l)	12/30/15	J		
74. -Avenue Mutual Funds (J)	A	Int./Div.			Buy (add'l)	01/30/15	J		
75.					Sold (part)	05/06/15	J		
76.					Sold (part)	05/08/15	J		
77.					Sold (part)	08/07/15	J		
78.					Sold	08/14/15	J		
79. Columbia FD Ser TRT (J)	A	Int./Div.			Buy (add'l)	01/30/15	J		
80.					Sold (part)	04/08/15	J		
81.					Buy (add'l)	05/28/15	J		
82.					Sold (part)	10/29/15	J		
83.					Sold	12/15/15	J		
84. Lord Abbett Invnt Tr (J)	A	Int./Div.	J	T	Buy (add'l)	01/30/15	J		
85.					Buy (add'l)	05/28/15	J		

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86.					Buy (add'l)	08/18/15	J		
87.					Sold (part)	12/15/15	J		
88.					Buy (add'l)	12/30/15	J		
89. BMO Pryford Intl Stock (J)	A	Int./Div.	J	T	Buy	05/05/15	J		
90.					Buy (add'l)	05/07/15	J		
91.					Buy (add'l)	05/28/15	J		
92.					Buy (add'l)	07/10/15	J		
93.					Sold (part)	12/15/15	J		
94. Credit Suisse Warburg Pines High Inc (J)	A	Int./Div.	J	T	Buy	08/05/15	J		
95. Pimco Unconstrained Bond (J)	A	Int./Div.	J	T	Buy	08/07/15	J		
96.					Sold (part)	12/15/15	J		
97. John Hancock L/C Equity (J)	A	Int./Div.	J	T	Buy	08/12/15	J		
98.					Sold (part)	12/15/15	J		
99. Credit Suisse Floatig Rate High Inc (J)	A	Int./Div.	J	T	Buy	08/14/15	J		
100.					Buy (add'l)	10/26/15	J		
101.					Sold (part)	12/15/15	J		
102.					Buy (add'l)	12/30/15	J		

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103. Federated Inst Hi Yld Bond (J)	A	Int./Div.	J	T	Buy	11/23/15	J		
104.					Buy (add'l)	11/30/15	J		
105.					Sold (part)	12/15/15	J		
106. CHASE TAP ABSOLUTE RET (J)									
107. -JPM Str Inc Opp Fund (J)	A	Int./Div.			Sold	01/12/15	J		
108. -JPM Tr I MLT SC Inc Fund/ JPM Unconstrained Debt Fund (J)	A	Int./Div.			Sold	01/12/15	J		
109. -Prudential Invt Portfolio 9 (J)	A	Int./Div.			Sold	01/12/15	J		
110. -RBC Funds Tr Blubay (J)	A	Int./Div.			Sold	01/12/15	J		
111. -Eaton Vance Floating Rate (J)	A	Int./Div.			Sold	01/12/15	J		
112. -Goldman Sachs Tr Strg (J)	A	Int./Div.			Sold	01/12/15	J		
113. -Doubleline Total Return (J)	A	Int./Div.			Sold	01/12/15	J		
114. -HSBC Funds Total Return (J)	A	Int./Div.			Sold	01/12/15	J		
115. JPM Gbb Bd Opp FD (J)	A	Int./Div.			Sold	01/12/15	J		
116. Columbia FD SER TR (J)	A	Int./Div.			Sold	01/12/15	J		
117. VANGUARD HEALTHCARE FUND IRA	A	Dividend	L	T					
118. CHASE MANAGED ACCOUNT (IRA)									
119. -JP Morgan Core Bond Fund (IRA)	A	Int./Div.			Sold	04/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -SPDR S&P 500 ETF Trust (IRA)	A	Int./Div.			Sold (part)	01/30/15	J		
121.					Sold	04/21/15	J		
122. -JPM Strat Inc Opp (IRA)	A	Int./Div.			Sold	04/21/15	J		
123. -JPM Short Duration Bond (IRA)	A	Int./Div.			Sold	04/21/15	J		
124. -JPM TR I MLT SC Inc / JPM Unconstrained Debt (IRA)	A	Int./Div.			Sold	04/21/15	J		
125. -JPM TR I Infl Mngd Bd (IRA)	A	Int./Div.			Sold	04/21/15	J		
126. -JPM Tax Aware Equity (IRA)	A	Int./Div.			Sold	04/21/15	J		
127. -Aberdeen Asia Pacific	A	Int./Div.			Sold (part)	01/30/15	J		
128.					Sold	04/21/15	J		
129. -Pimco FDS Unconstr Bd (IRA)	A	Int./Div.			Sold	04/21/15	J		
130. -Pimco Commodities Plus Strat (IRA)	A	Int./Div.			Sold	03/26/15	J		
131. -Neub Berman Multi Cap Opp (IRA)	B	Int./Div.			Sold (part)	03/05/15	J		
132.					Sold	04/21/15	J		
133. -Primecap Odyssey Find (IRA)	A	Int./Div.			Sold (part)	03/05/15	J		
134.					Sold	04/21/15	J		
135. -Principal Invs Fd Midcap (IRA)	A	Int./Div.			Sold	03/05/15	J		
136. -Doubleline FDS TR	A	Int./Div.			Sold	04/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Gateway Fund	A	Int./Div.			Sold	04/21/15	J		
138. -T Rowe Price Overseas Stock (IRA)	A	Int./Div.			Sold (part)	03/05/15	J		
139.					Sold	04/21/15	J		
140. -Blackrock High Yield Bond (IRA)	A	Int./Div.			Sold	04/21/15	J		
141. -Columbia FDS Series TR II Mass Asia (IRA)	A	Int./Div.			Sold	04/21/15	J		
142. -Arbitrage Funds I (IRA)	A	Int./Div.			Sold	01/30/15	J		
143. -Ishares MSCI Emerging Mkts (IRA)	A	Int./Div.	J	T					
144. -Ishares MSCI EAFE Index Fd (IRA)	A	Int./Div.			Sold (part)	03/30/15	J		
145.					Sold	04/21/15	J		
146. -Ishares Core S&P Midcap (IRA)	A	Int./Div.			Sold (part)	03/05/15	J		
147.					Sold	04/21/15	J		
148. -SDR S&P 500 ETF Trust (IRA)	B	Int./Div.			Buy (add'l)	01/30/15	J		
149.					Buy (add'l)	03/05/15	J		
150.					Sold	04/21/15	J		
151. -MFS Int Value (IRA)	A	Int./Div.			Sold (part)	03/05/15	J		
152.					Sold	04/21/15	J		
153. -Equinox FDS Tr (IRA)	A	Int./Div.			Sold	04/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Dodge & Cox Int'l Stock (IRA)	A	Int./Div.			Sold (part)	01/30/15	J		
155.					Sold	04/21/15	J		
156. Brown Adv Japan Alpha (IRA)	A	Int./Div.			Sold	04/21/15	J		
157. Inv Balanced Risk Alloc-Y (IRA)	A	Int./Div.			Sold	04/21/15	J		
158. Fundvantage Tr Abs Rtr Instl/Gotham Absolute Retn (IRA)	A	Int./Div.			Sold	04/21/15	J		
159. Credit Suisse Comm. Retn Strat Fund (IRA)	A	Int./Div.			Buy	03/26/15	J		
160.					Sold	04/21/15	J		
161. Deutsche Xtrac MSCI EAFE Hedge Fd (IRA)	A	Int./Div.			Buy	03/30/15	J		
162.					Sold	04/21/15	J		
163. CHASE MANAGED ACCOUNT (IRA)									
164. Select Sector SPDR Health Care (IRA)	A	Int./Div.			Buy	04/27/15	J		
165.					Sold	10/06/15	J		
166. Select Sector SPDR Utilities (IRA)	A	Int./Div.			Buy	04/27/15	J		
167.					Sold	06/24/15	J		
168. MFS Ser Tr I Value Fund (IRA)	A	Int./Div.			Buy	04/27/15	K		
169.					Sold	10/06/15	K		
170. MFS Ser Tr X Intl Value (IRA)	A	Int./Div.			Buy	04/27/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	08/27/15	J		
172. JPMorgan Core Plus Bond (IRA)	A	Int./Div.			Buy	04/27/15	J		
173.					Buy (add'l)	08/27/15	J		
174.					Sold	10/06/15	J		
175. JPMorgan Emerging Mkts Equity (IRA)	A	Int./Div.			Buy	04/27/15	J		
176.					Sold (part)	08/27/15	J		
177.					Sold	10/06/15	J		
178. JPMorgan Equity Income (IRA)	A	Int./Div.			Buy	04/27/15	J		
179.					Sold	10/06/15	K		
180. iShares Russell Mid Cap (IRA)	A	Int./Div.			Buy	04/27/15	J		
181.					Buy (add'l)	08/27/15	J		
182.					Sold	10/06/15	J		
183. Goldman Sachs Emerging Mkts Debt Fd (IRA)	A	Int./Div.			Buy	04/27/15	J		
184.					Sold	06/24/15	J		
185. Federated Tot Retn Untrashort Bond Fund (IRA)	A	Int./Div.			Buy	04/27/15	K		
186.					Buy (add'l)	06/24/15	J		
187.					Sold (part)	08/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	10/06/15	K		
189. Dodge and Cox Inc Fund (IRA)	A	Int./Div.			Buy	04/27/15	J		
190.					Sold	10/06/15	J		
191. Cohen and SteersReal Estate Sec Fund (IRA)	A	Int./Div.			Buy	04/27/15	J		
192.					Sold (part)	06/24/15	J		
193.					Sold	08/27/15	J		
194. AB Discovery Value Fund (IRA)	A	Int./Div.			Buy	04/27/15	J		
195.					Sold	10/06/15	J		
196. Select Sector SPDR Financial (IRA)	A	Int./Div.			Buy	06/24/15	J		
197.					Sold	10/06/15	J		
198. Select Sector SPDR Ben Consumer Discretionary (IRA)	A	Int./Div.			Buy	08/27/15	J		
199.					Sold	10/06/15	J		
200. CHASE MANAGED ACCT (IRA) (S)									
201. JP Morgan Liquid Assets MMkt (IRA) (S)	A	Int./Div.			Closed	10/27/15	L		
202. T Rowe Price New Income (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		
203. Goldman Sachs Small Cap Value (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		
204. Oppenheimer Developing Markets (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Aston/Fairpointe Mid Cap (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		
206. Wells Fargo Advantage Growth (IRA) (S)	A	Int./Div.			Sold (part)	04/08/15	J		
207.					Sold	05/01/15	J		
208. SDPR S&P 500 ETF Trust (IRA) (S)	A	Int./Div.			Buy (add'l)	03/06/15	J		
209.					Sold	05/01/15	J		
210. Oakmark Fund I (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		
211. JP Morgan High Yield Bond (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		
212. JP Morgan Core Bond (IRA) (S)	A	Int./Div.			Buy (add'l)	05/01/15	J		
213.					Sold (part)	05/01/15	J		
214.					Buy (add'l)	08/27/15	J		
215.					Sold	10/06/15	J		
216. JP Morgan Small Cap Equity (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		
217. JP Morgan US Equity Fund (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		
218. JP Morgan Emerging Markets Equity (IRA) (S)	A	Int./Div.			Buy	05/01/15	J		
219.					Sold (part)	08/27/15	J		
220.					Sold	10/06/15	J		
221. JP Morgan Mid Cap Equity (IRA) (S)	A	Int./Div.			Sold	05/01/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. JP Morgan Intl Value (IRA) (S)	A	Int./Div.			Sold	04/17/15	J		
223. Blackrock High Yld Bond (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
224. Federated Instit'l High Yield Bond (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
225. Goldman Sachs Emerging Mkts (IRA)(S)	A	Int./Div.			Sold (part)	05/01/15	J		
226.					Sold	06/24/15	J		
227. -Causeway Cap Mngmnt (S)(IRA)	A	Int./Div.			Sold	05/01/15	J		
228. -Deleware Gp Emerg. Mkts (S)(IRA)	A	Int./Div.			Sold	05/01/15	J		
229. -Harbor Intl Fund (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
230. -JP Morgan Short Dur'n Bond (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
231. -JPMorgan Value Adv (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
232. -Mass. Invstrs Trust (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
233. -Sterling Cap FDS Capital (IRA)(S)	A	Int./Div.			Sold (part)	03/06/15	J		
234. -Pimco Short Term Fund (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
235. -iShares MSCI EAFE	A	Int./Div.			Sold	05/01/15	J		
236. Dodge & Cox Int'l Stock Fund (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
237. Blackrock Int'l Index Fd (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
238. Deutsche X Trackers MSCI EAFE Hedged Equity (IRA)(S)	A	Int./Div.			Buy	04/08/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	05/01/15	J		
240. MFS Res Intl Fd (IRA)(S)	A	Int./Div.			Buy	04/17/15	J		
241.					Sold	05/01/15	J		
242. Wells Fargo Adv Emerging Mkts (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
243. Vanguard Short Term Bond Fund (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
244. Select Sector SPDR Health Care (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		
245.					Sold	10/06/15	J		
246. Select Sector SPDR Utilities (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		
247.					Sold	06/24/15	J		
248. MFS Ser Tr 1 Value Fund (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		
249.					Sold	10/06/15	J		
250. MFS Ser Tr X Intl Value Fd (IRA)(S)	A	Int./Div.			Buy	05/01/15	K		
251.					Sold (part)	08/27/15	J		
252.					Sold	10/06/15	J		
253. JPMorgan Equity Inc Fund (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		
254.					Sold	10/06/15	J		
255. Federated Total Return (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Gold, Steven M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	06/24/15	J		
257.					Sold (part)	08/27/15	J		
258.					Sold	10/06/15	K		
259. iShares Russell MidCap (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		
260.					Buy (add'l)	08/27/15	J		
261.					Sold	10/06/15	J		
262. Dodge & Cox Income Fund (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		
263.					Sold	10/06/15	J		
264. Columbia FDS Ser Tr II Mass Asia (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
265. Cohen & Steers Real Est Sec Fund (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		
266.					Sold (part)	06/24/15	J		
267.					Sold	08/27/15	J		
268. AB Discovery Value (IRA)(S)	A	Int./Div.			Buy	05/01/15	J		
269.					Sold	10/06/15	J		
270. Aberdeen Asia Pacific Equity (IRA)(S)	A	Int./Div.			Sold	05/01/15	J		
271. Select Sector SPDR Financial (IRA)(S)	A	Int./Div.			Buy	06/24/15	J		
272.					Sold	10/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Select Sector SPDR Ben Consumer	A	Int./Div.			Buy	08/27/15	J		
274.					Sold	10/06/15	J		
275. T ROWE PRICE RTMNT. PLAN (S)									
276. - T Rowe Price Personal Strategy Fund 403(b) (S)	A	Dividend	M	T					
277. - T Rowe Price Health Sciences Fund 403(b) (S)	B	Dividend	L	T					
278. - T Rowe Price Prime Reserve Fund 403(b) (S)	A	Dividend	K	T					
279. REFORM PENSION BOARD 403(b) (S)									
280. -Ref. Pens Bd. Appren & Inc. Fund 403(b) (S)	A	Int./Div.	M	T	Buy (add'l)	01/31/15	J		
281.					Buy (add'l)	04/30/15	J		
282.					Buy (add'l)	07/31/15	J		
283.					Buy (add'l)	10/31/15	J		
284.					Buy (add'l)	11/30/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Lines 3, 106, 118, 163, 200, 275 and 279 are headers. While I understand that notations such as (S) and (J) are not required, I find them useful to keep track of the various listed accounts.
- 2) The account referred to in Section VII as CHASE TAP ABSOLUTE RET (J) was closed in January of 2015 and the proceeds were transferred to the account referred to as CHASE DYNAMIC YIELD STRAT. (J).
- 3) The account referred to in Section VII on line 118 as CHASE MANAGED ACCOUNT (IRA) was closed in May 2015 and the balance was transferred to the account referred to on line 163 as CHASE MANAGED ACCOUNT (IRA). The latter account was closed in October 2015 and the balance was transferred to my federal government TSP account.
- 4) The account referred to in Section VII on line 200 as CHASE MANAGED ACCT (IRA) (S) was closed in October 2015 and the balance was moved to the account reported on line 279 as Reform Pension Board 403(b) (S).
- 5) The assets described in Section VII on line 146 as Ishares Core S&P Midcap (IRA) and on line 157 as Inv Balanced Risk Alloc-Y (IRA) were reported as sold in my 2014 report. Apparently, they should have been reported as a partial sales, because my 2015 records reflect sales without additional acquisitions.
- 6) The asset described in Section VII on line 143 as Ishares MSCI Emerging Mkts (IRA) is not reported as sold in my 2015 records. Either I neglected to report a sale in 2014, the sale took place in 2014 but was for less than \$1,000, or the investment was renamed and its sale appears elsewhere under its other name in the 2015 report.
- 7) The asset described in Section VII on line 202 as T Rowe Price New Income (IRA) (S) was sold in 2015 but for an amount less than \$1,000; I nevertheless reported the sale to avoid a finding under the "audit" feature of the FDR software. The assets described in Section VII on line 242 as Wells Fargo Adv Emerging Mkts (IRA)(S); on line 243 as Vanguard Short Term Bond Fund (IRA)(S); on line 264 as Columbia FDS Ser Tr II Mass Asia (IRA)(S); and on line 270 as Aberdeen Asia Pacific Equity (IRA)(S), were, according to my records, sold as reported in my 2015 report even though there is no record of my holding them in my 2014 report or acquiring them in my 2015 report. I infer that these assets were acquired in transactions of less than \$1,000 or that I inadvertently failed to report their acquisition in a prior year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven M. Gold**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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