

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bohm, Carlota M.	2. Court or Organization U.S. Bankruptcy Court for the Western District of PA	3. Date of Report 5/3/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 5/3/2012
7. Chambers or Office Address 54th Floor, US Steet Tower, 600 Grant Street, Pittsburgh PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Of Counsel	Houston Harbaugh PC / last day of employment was November 17, 2011
2. Trustee of two insurance trusts	Trust #1 and Trust #2 (see Part VII)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011	401(k) Houston Harbaugh PC Profit Sharing Plan & Trust - former law firm - no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Income from Houston Harbaugh PC	\$459,891.39
2. 2010	Income from Hoston Harbaugh PC	\$193,366.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Westinghousre Electric Corporation - salary
2. 2012	Westinghouse Electric Corporation - salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Houston Harbaugh	A	Interest	J	T					
2. - Federated Capital Presv. Isp									
3. Vanguard	A	Dividend	L	T					
4. - Vanguard Health Care Fund									
5. Roam North Shore, LP (1)	D	Int./Div.	L	T					
6. - Roam Bally IV, LP									
7. RBC Wealth Management									
8. - JT TW/WROS	A	Int./Div.	L	T					
9. * NEWFX									
10. *TUIXX									
11. *CBS									
12. *AGTHX									
13. *VIAB									
14. *AEPGX									
15. *GF									
16. IRA	A	Int./Div.	M	T					
17. * TUIXX									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000	E ~\$15,001 - \$50,000 F ~\$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$50,001 - \$1,000,000	L ~\$50,001 - \$100,000 P ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P ~\$250,001 - \$500,000	P ~\$500,001 - \$1,000,000 P ~\$1,000,001 - \$5,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. *AWSHX									
19. *AEPGX									
20. *IGAAX									
21. *SMCWX									
22. - PA UNF transfer to minors act	A	Int/Div.	M	T					
23. *TUIXX									
24. *CBS									
25. *AWSHX									
26. *AGTHX									
27. *VIAB									
28. *AEPGX									
29. - PA UNF transfer to minors act	A	Int/Div.	M	T					
30. *TUIXX									
31. *CBS									
32. *AWSHX									
33. *AGTHX									
34. *VIAB									

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35. *AEPGX									
36. Fidelity									
37. -Joint Wros	A	Int./Div.	N	T					
38. * Enron									
39. *Fidelity Cash Reserves									
40. *Lint Computers Inc.									
41. * Transocean									
42. * Fidelity Select Medical Equipment & Systems									
43. *Uonlive Corp									
44. -Utma	A	Int./Div.	J	T					
45. *Fidelity PA Muni Mkt									
46. *Baron Partners Fund									
47. *Fidelity Capital & Income									
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49. *Fidelity PA Muni Mkt									
50. *Baron Partners Fund									
51. *Fidelity Capital & Income									

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52. - IRA	A	Int./Div.	L	T					
53. * Fidelity Cash Reserve									
54. - Deferred Annuity	A	Int./Div.	M	T					
55. * Fidelity VIP Money Market									
56. Rental Property- Pgh PA (2011- \$150,000.00)	A	Rent	M	R					
57. Condo - Ocean City,MD (approx. 7 years ago pd.\$350,000)	A	None	N	R					
58.									
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

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69.									
70.									
71.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I - Trustee of two insurance trusts. Monies available only upon their death.

Part VII No. 5 - (1) received \$7,500.00 income from this investment in 2011

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Carlota M. Bohm**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544